

## CHAPTER III

### GEOMETRY OF NAKANO SEQUENCE SPACES

In this Chapter, we give necessary and sufficient conditions for a Nakano sequence space to have some particular properties.

More notations to be used later :

$$\begin{aligned} M &= \sup \{P_k : k \in \mathbb{N}\} \\ x|_i &= (x_1, x_2, x_3, \dots, x_i, 0, 0, 0, \dots) \\ x|_{i+\mathbb{N}} &= (0, 0, 0, \dots, 0, x_{i+1}, x_{i+2}, x_{i+3}, \dots) \end{aligned}$$

**Lemma 3.1** Let  $x = (x_k)$  be an element of  $\ell$ .

- (i) If  $0 < a < 1$ , then  $a^M \rho(\frac{x}{a}) \leq \rho(x)$ .
- (ii) If  $0 < a < 1$ , then  $\rho(ax) \leq a\rho(x) \leq \rho(x)$ .
- (iii) If  $a \geq 1$ , then  $\rho(x) \leq a^M \rho(\frac{x}{a})$ .
- (iv) If  $a \geq 1$ , then  $\rho(x) \leq a\rho(x) \leq \rho(ax)$ .

**Proof.** We prove here for (i) and (ii). The ones for (iii) and (iv) are similar to these cases. Let  $a$  be a real number such that  $0 < a < 1$ . We use the fact that  $a^M \leq a^{p_k} \leq a$  for all  $k \in \mathbb{N}$ . We consider

$$\begin{aligned} \rho(x) &= \sum_k |x_k|^{p_k} \\ &= \sum_k a^{p_k} \left| \frac{x_k}{a} \right|^{p_k} \\ &\geq \sum_k a^M \left| \frac{x_k}{a} \right|^{p_k} \\ &= a^M \sum_k \left| \frac{x_k}{a} \right|^{p_k} \\ &= a^M \rho\left(\frac{x}{a}\right) \end{aligned}$$

and

$$\begin{aligned}
 \rho(ax) &= \sum_K |ax_K|^{p_K} \\
 &= \sum_K a^{p_K} |x_K|^{p_K} \\
 &\leq \sum_K a |x_K|^{p_K} \\
 &= a \sum_K |x_K|^{p_K} \\
 &= a \rho(x) \\
 &\leq \rho(x).
 \end{aligned}$$

Therefore,  $a^M \rho(\frac{x}{a}) \leq \rho(x)$  and  $\rho(ax) \leq a \rho(x) \leq \rho(x)$  and the proofs of (i) and (ii) are complete.  $\square$

**Lemma 3.2** Let  $x$  be an element of  $\ell$ .

- (i) If  $\|x\| < 1$ , then  $\rho(x) \leq \|x\|$ .
- (ii) If  $\|x\| > 1$ , then  $\rho(x) \geq \|x\|$ .
- (iii)  $\|x\| = 1$  if and only if  $\rho(x) = 1$ .
- (iv)  $\|x\| < 1$  if and only if  $\rho(x) < 1$ .
- (v)  $\|x\| > 1$  if and only if  $\rho(x) > 1$ .

**Proof.** (i) : Let  $\varepsilon$  be any real number such that  $0 < \varepsilon < 1 - \|x\|$ . This implies  $\|x\| + \varepsilon < 1$ . By definition of  $\|\cdot\|$ , there exists  $\lambda > 0$  such that  $\|x\| + \varepsilon > \lambda > \|x\|$  and  $\rho(\frac{x}{\lambda}) \leq 1$ . Then

$$\begin{aligned}
 \rho(x) &\leq \rho\left(\left(\frac{\|x\| + \varepsilon}{\lambda}\right) x\right) && \text{(by Lemma 3.1 (iv))} \\
 &= \rho\left((\|x\| + \varepsilon) \frac{x}{\lambda}\right) \\
 &\leq (\|x\| + \varepsilon) \rho\left(\frac{x}{\lambda}\right) && \text{(by Lemma 3.1 (ii))} \\
 &\leq \|x\| + \varepsilon.
 \end{aligned}$$

That is

$$\rho(x) \leq \|x\| + \varepsilon \quad \text{for all } \varepsilon \in (0, 1 - \|x\|)$$

Putting  $A = \{\|x\| + \varepsilon : 0 < \varepsilon < 1 - \|x\|\}$ . We see that  $\|x\| = \inf A$ . Since  $\rho(x)$  is a lower bound of  $A$ ,  $\rho(x) \leq \|x\|$ .

(ii) : Let  $\varepsilon$  be any real number such that  $0 < \varepsilon < \frac{\|x\|-1}{\|x\|}$ . This implies

$1 < (1 - \varepsilon) \|x\| < \|x\|$ . By definition of  $\|\cdot\|$ , we have

$$\begin{aligned} 1 &< \rho\left(\frac{x}{(1-\varepsilon)\|x\|}\right) \\ &\leq \frac{1}{(1-\varepsilon)\|x\|} \rho(x) \quad (\text{by Lemma 3.1 (ii)}). \end{aligned}$$

That is

$$(1-\varepsilon) \|x\| \leq \rho(x) \quad \text{for all } \varepsilon \in (0, \frac{\|x\|-1}{\|x\|}).$$

Putting  $A = \{(1-\varepsilon) \|x\| : 0 < \varepsilon < \frac{\|x\|-1}{\|x\|}\}$ , then  $\|x\| = \sup A$ . Since  $\rho(x)$  is an upper bound of  $A$ ,  $\|x\| \leq \rho(x)$ .

(iii) : ( $\Rightarrow$ ) Suppose  $\|x\| = 1$ , and let  $\varepsilon$  be any positive real number.

There exists  $\lambda > 0$  such that  $1+\varepsilon > \lambda > \|x\| = 1$  and  $\rho(\frac{x}{\lambda}) \leq 1$ . By Lemma 3.1 (iii), we obtain

$$\begin{aligned} \rho(x) &\leq \lambda^M \rho\left(\frac{x}{\lambda}\right) \\ &\leq \lambda^M \\ &\leq (1+\varepsilon)^M. \end{aligned}$$

That is

$$[\rho(x)]^{\frac{1}{M}} \leq 1 + \varepsilon \quad \text{for all } \varepsilon > 0.$$

This implies  $\rho(x) \leq 1$ .

If  $\rho(x) < 1$ , we choose a real number  $a \in (0, 1)$  such that  $\rho(x) < a^M < 1$ .

From Lemma 3.1 (i), we obtain

$$\begin{aligned} \rho\left(\frac{x}{a}\right) &\leq \frac{1}{a^M} \rho(x) \\ &< 1 \quad (\rho(x) < a^M) \end{aligned}$$

which implies  $\|x\| \leq a$ . But  $a < 1$ , thus,  $\|x\| < 1$ , a contradiction. Therefore, we conclude that  $\rho(x) = 1$ .

( $\Leftarrow$ ) Suppose  $\rho(x) = 1$ . By definition of  $\|\cdot\|$ , we immediately have  $\|x\| \leq 1$ . If  $\|x\| < 1$ , then  $\rho(x) \leq \|x\| < 1$ . A contradiction. Therefore, we conclude that  $\|x\| = 1$ .

(iv) : ( $\Rightarrow$ ) Suppose  $\|x\| < 1$ . By Lemma 3.2 (i), we immediately have  $\rho(x) < 1$ .

( $\Leftarrow$ ) Suppose  $\|x\| \geq 1$ . If  $\|x\| = 1$ , then  $\rho(x) = 1$ , by Lemma 3.2(iii). If  $\|x\| > 1$ , then it follows from Lemma 3.2 (ii), that  $\rho(x) > 1$ .

Therefore, we can conclude that  $\rho(x) \geq 1$ .

(v) : This follows directly from Lemma 3.2 (iii) and (iv). □

**Lemma 3.3** Let  $x$  be an element of  $\ell$ .

(i) If  $0 < a < 1$  and  $\|x\| > a$ , then  $\rho(x) > a^M$ .

(ii) If  $a \geq 1$  and  $\|x\| < a$ , then  $\rho(x) < a^M$ .

**Proof.** (i) : Suppose  $0 < a < 1$  and  $\|x\| > a$ .

Then

$$\begin{aligned} \frac{1}{a} \|x\| > 1 &\Rightarrow \left\| \frac{x}{a} \right\| > 1 \\ &\Rightarrow \rho\left(\frac{x}{a}\right) > 1 \quad (\text{by Lemma 3.2 (v)}) \\ &\Rightarrow a^M \rho\left(\frac{x}{a}\right) > a^M \\ &\Rightarrow \rho(x) > a^M \quad (\text{by Lemma 3.1 (i)}). \end{aligned}$$

Therefore,  $\|x\| > a$  implies  $\rho(x) > a^M$ .

(ii) : Suppose  $a \geq 1$  and  $\|x\| < a$ .

Then

$$\begin{aligned} \left\| \frac{x}{a} \right\| < 1 &\Rightarrow \rho\left(\frac{x}{a}\right) < 1 && \text{(by Lemma 3.2 (iv))} \\ &\Rightarrow a^M \rho\left(\frac{x}{a}\right) < a^M \\ &\Rightarrow \rho(x) < a^M && \text{(by Lemma 3.1 (iii)).} \end{aligned}$$

Therefore,  $\|x\| < a$  implies  $\rho(x) < a^M$ . □

**Lemma 3.4** Let  $x^n$  be a sequence of elements of  $\ell$ .

(i) If  $\lim_{n \rightarrow \infty} \|x^n\| = 1$ , then  $\lim_{n \rightarrow \infty} \rho(x^n) = 1$ .

(ii) If  $\lim_{n \rightarrow \infty} \rho(x^n) = 0$ , then  $\lim_{n \rightarrow \infty} \|x^n\| = 0$ .

**Proof.** (i) : Suppose  $\lim_{n \rightarrow \infty} \|x^n\| = 1$ . Let  $\varepsilon$  be any positive real number less than one. By definition of a limit, there exists  $N \in \mathbb{N}$  such that

$$1 - \varepsilon < \|x^n\| < 1 + \varepsilon \quad \text{for all } n \geq N.$$

By Lemma 3.3, we obtain

$$(1 - \varepsilon)^M < \rho(x^n) < (1 + \varepsilon)^M \quad \text{for all } n \geq N,$$

which implies  $[\rho(x^n)]^{\frac{1}{M}} \rightarrow 1$ . That is  $\lim_{n \rightarrow \infty} \rho(x^n) = 1$ .

(ii) : Suppose  $\|x^n\| \nrightarrow 0$ . Thus we may assume that there exists  $\varepsilon \in (0, 1)$  such that  $\|x^n\| > \varepsilon$  for all  $n \in \mathbb{N}$ . By Lemma 3.3 (i), we immediately have  $\rho(x^n) > \varepsilon^M$  for all  $n \in \mathbb{N}$ . This implies  $\rho(x^n) \nrightarrow 0$ . □

**Lemma 3.5** For each  $k \in \mathbb{N}$ , let  $\pi_k : \ell \rightarrow \mathbb{R}$  be defined by  $\pi_k(x) = x_k$  for all  $x = (x_m) \in \ell$ . Then  $\pi_k$  is a continuous linear functional on  $\ell$ .

**Proof.** Let  $k \in \mathbb{N}$ . At first, we shall prove that  $\pi_k$  is a linear operator. Let  $x = (x_m)$ ,  $y = (y_m)$  be elements of  $\ell$ , and  $\alpha, \beta \in \mathbb{R}$ . Then

$$\begin{aligned}\pi_k(\alpha x + \beta y) &= \pi_k(\alpha(x_1, x_2, x_3, \dots) + \beta(y_1, y_2, y_3, \dots)) \\ &= \pi_k((\alpha x_1 + \beta y_1, \alpha x_2 + \beta y_2, \dots)) \\ &= \alpha x_k + \beta y_k \\ &= \alpha \pi_k(x) + \beta \pi_k(y).\end{aligned}$$

Therefore,  $\pi_k$  is a linear operator.

Next, we shall prove  $\pi_k$  is continuous at 0. Given  $\varepsilon > 0$ , we choose  $\delta = \varepsilon$ . If for each  $x = (x_m) \in \ell$  such that  $\|x\| < \delta$ , then

$$\begin{aligned}\pi_k(x) &= |x_k| \\ &\leq \|x\|_k \\ &\leq \|x\| \\ &< \delta = \varepsilon.\end{aligned}$$

Therefore,  $\pi_k$  is continuous at 0. By Theorem 2.4.2, we immediately have  $\pi_k$  a continuous function. Therefore,  $\pi_k$  is a continuous linear functional on  $\ell$ .  $\square$

**Corollary 3.6** Let  $(x^n) = ((x_k^n))$  be a weakly convergent sequence in  $\ell$ , say,  $x^n \xrightarrow{w} x = (x_k)$ . Then  $x_k^n \rightarrow x_k$  for all  $k \in \mathbb{N}$ .

**Proof.** By the definition of weak convergence and Lemma 3.5, we have

$$\lim_{n \rightarrow \infty} \pi_k(x^n) = \pi_k(x) \text{ for all } k \in \mathbb{N}. \text{ This implies } x_k^n \rightarrow x_k \text{ for all } k \in \mathbb{N}. \quad \square$$

**Theorem 3.7**  $\ell$  is a Banach space.

**Proof.** Let  $(x^n) = ((x_k^n))$  be a Cauchy sequence in  $\ell$ . Given  $\varepsilon \in (0, 1)$ . Thus, there exists  $N \in \mathbb{N}$  such that  $\|x^n - x^m\| < \varepsilon^M$  for all  $n, m \geq N$ . By Lemma 3.2 (i), we obtain

$$(3.1) \quad \rho(x^n - x^m) < \varepsilon^M \text{ for all } n, m \geq N.$$

This implies  $|x_k^n - x_k^m| < \varepsilon$  for all  $k \in \mathbb{N}$ , for all  $n, m \geq N$ . Thus  $(x_k^n)$  is a Cauchy sequence in  $\mathbb{R}$ , for all  $k \in \mathbb{N}$ . Since  $\mathbb{R}$  is complete, for each  $k \in \mathbb{N}$ , there exists  $x_k \in \mathbb{R}$  such that  $x_k^n \rightarrow x_k$ . That is  $x_k^n \rightarrow x_k$  for all  $k \in \mathbb{N}$ . Putting  $x = (x_k)$ . We shall show that  $x^n \rightarrow x$  and  $x \in \ell$ . For each  $r \in \mathbb{N}$ , by (3.1), we have

$$(3.2) \quad \rho([x^n - x^m]_r) < \varepsilon^M \text{ for all } n, m \geq N.$$

Since  $x_k^n \rightarrow x_k$  for all  $k = 1, 2, \dots, r$ ,  $\rho([x^n - x^m]_r) \rightarrow \rho([x^n - x]_r)$ , as  $m \rightarrow \infty$ , for all  $n \geq N$ . From (3.2), we have  $\rho([x^n - x]_r) \leq \varepsilon^M$  for all  $n \geq N$ . That is  $\rho([x^n - x]_r) \leq \varepsilon^M$  for all  $r \in \mathbb{N}$ , for all  $n \geq N$ . This implies

$$(3.3) \quad \rho(x^n - x) \leq \varepsilon^M \text{ for all } n \geq N.$$

By Lemma 3.3(i), we immediately have  $\|x^n - x\| \leq \varepsilon$  for all  $n \geq N$ . This means that  $x^n \rightarrow x$ . From (3.3), we see that  $x^n - x \in \ell$ . Since  $\ell$  is a linear space,  $x = x^N - (x^N - x) \in \ell$ . Therefore,  $\ell$  is complete.  $\square$

**Lemma 3.8** Assume  $x = (x_k)$ ,  $x^n = (x_k^n) \in \ell$  for all  $n \in \mathbb{N}$ , if  $\rho(x^n) \rightarrow \rho(x)$  and  $x_k^n \rightarrow x_k$  for all  $k \in \mathbb{N}$ , then  $x^n \rightarrow x$ .

**Proof.** Suppose that  $x^n \not\rightarrow x$ . Thus, by Lemma 3.4(ii), we have  $\rho(\frac{x^n - x}{2}) \not\rightarrow 0$ . Without loss of generality, we may assume that there exists  $\varepsilon \in (0, 1)$  such that  $\rho(\frac{x^n - x}{2}) > \varepsilon$  for all  $n \in \mathbb{N}$ . Since  $(\rho(\frac{x^n - x}{2}))$  is a bounded sequence, it has a convergent subsequence. Passing through a subsequence, if necessary, we can assume that

$$(3.1) \quad \rho\left(\frac{x^n - x}{2}\right) \rightarrow \varepsilon_0 \text{ for some } \varepsilon_0 \geq \varepsilon.$$

Since  $\rho(x) = \lim_{n \rightarrow \infty} \rho(x|_n)$  and  $(\rho(x|_n))$  is an increasing sequence,  $\rho(x) = \sup \{\rho(x|_n) : n \in \mathbb{N}\}$ . So there exists  $i \in \mathbb{N}$  such that  $\rho(x|_i) > \rho(x) - \frac{\varepsilon}{2}$ . This implies  $\rho(x|_{N \setminus i}) < \frac{\varepsilon}{2}$ . Since  $x_k^n \rightarrow x_k$  for all  $k \in \mathbb{N}$ ,  $\rho(x^n|_i) \rightarrow \rho(x|_i)$  and  $\rho\left(\frac{x^n - x}{2} \Big|_i\right) \rightarrow 0$ . We consider

$$\begin{aligned} \lim_{n \rightarrow \infty} \rho\left(\frac{x^n - x}{2}\right) &= \lim_{n \rightarrow \infty} [\rho\left(\frac{x^n - x}{2} \Big|_i\right) + \rho\left(\frac{x^n - x}{2} \Big|_{N \setminus i}\right)] \\ &= \lim_{n \rightarrow \infty} \rho\left(\frac{x^n - x}{2} \Big|_i\right) + \lim_{n \rightarrow \infty} \rho\left(\frac{x^n - x}{2} \Big|_{N \setminus i}\right) \\ &= 0 + \lim_{n \rightarrow \infty} \rho\left(\frac{x^n - x}{2} \Big|_{N \setminus i}\right) \\ &\leq \lim_{n \rightarrow \infty} \left[ \frac{1}{2} \rho(x^n|_{N \setminus i}) + \frac{1}{2} \rho(x|_{N \setminus i}) \right] \\ &= \frac{1}{2} \lim_{n \rightarrow \infty} \rho(x^n|_{N \setminus i}) + \frac{1}{2} \rho(x|_{N \setminus i}) \\ &= \frac{1}{2} \lim_{n \rightarrow \infty} [\rho(x^n) - \rho(x^n|_i)] + \frac{1}{2} \rho(x|_{N \setminus i}) \\ &= \frac{1}{2} \left[ \lim_{n \rightarrow \infty} \rho(x^n) - \lim_{n \rightarrow \infty} \rho(x^n|_i) \right] + \frac{1}{2} \rho(x|_{N \setminus i}) \\ &= \frac{1}{2} [\rho(x) - \rho(x|_i)] + \frac{1}{2} \rho(x|_{N \setminus i}) \end{aligned}$$



$$\begin{aligned}
 &= \frac{1}{2} \rho(x|_{N \setminus i}) + \frac{1}{2} \rho(x|_{N \setminus i}) \\
 &= \rho(x|_{N \setminus i}) \\
 &< \frac{\varepsilon}{2} \\
 &< \varepsilon_0.
 \end{aligned}$$

Therefore,  $\rho(\frac{x^n - x}{2}) \rightarrow \varepsilon_0$ . This is contradicting to (3.1). Hence  $x^n \rightarrow x$ .  $\square$

**Theorem 3.9**  $\ell$  has property (H).

**Proof.** Let  $x \in S(\ell)$ ,  $x^n \in B(\ell)$  for all  $n \in \mathbb{N}$  be such that  $x^n \xrightarrow{w} x$  and  $\|x^n\| \rightarrow 1 = \|x\|$ . This implies  $\rho(x) = 1$ ,  $x_k^n \rightarrow x_k$  for all  $k \in \mathbb{N}$ , by Corollary 3.6, and  $\rho(x^n) \rightarrow 1$ , by Lemma 3.4(i). By Lemma 3.8, it is obvious that  $x^n \rightarrow x$ . Hence  $\ell$  has property (H).  $\square$

**Theorem 3.10**  $\text{Ext } B(\ell) \subset S(\ell)$

**Proof.** Let  $x = (x_k) \in \text{Ext } B(\ell)$ , then  $\|x\| \leq 1$ . Suppose that  $\|x\| < 1$ , by Lemma 3.2 (iv), we obtain  $\rho(x) < 1$ . Putting  $t = 1 - \rho(x|_{N \setminus 1})$ . Thus,  $|x_1|^{p_1} < t$ . We choose a number  $r$  such that  $|x_1|^{p_1} < r < t$ . Since  $\lim_{\alpha \rightarrow x_1} |\alpha|^{p_1} = |x_1|^{p_1}$ , there exists  $\delta > 0$  such that

$$(3.1) \quad \text{if } \alpha \in \mathbb{R} \text{ and } |\alpha - x_1| < \delta, \text{ then } \left| |\alpha|^{p_1} - |x_1|^{p_1} \right| < \frac{t-r}{2}.$$

Since  $|(x_1 \pm \frac{\delta}{2}) - x_1| < \delta$  and by (3.1), we have  $|x_1 \pm \frac{\delta}{2}|^{p_1} - |x_1|^{p_1} < \frac{t-r}{2}$ , which implies

$$\begin{aligned}
 |x_1 \pm \frac{\delta}{2}|^{P_1} &< |x_1|^{P_1} + \frac{t-r}{2} \\
 &< r + \frac{t-r}{2} \\
 &= \frac{t+r}{2} \\
 &< \frac{t+t}{2} \\
 &= t.
 \end{aligned}$$

Let  $y = (y_k)$  and  $z = (z_k)$  where

$$(y_k, z_k) = \begin{cases} (x_1 + \frac{\delta}{2}, x_1 - \frac{\delta}{2}) & , \quad k=1 \\ (x_k, x_k) & , \quad k>1. \end{cases}$$

It is clear that  $y \neq z$ ,  $x = \frac{y+z}{2}$ , and  $\rho(y) = |x_1 + \frac{\delta}{2}|^{P_1} + \rho(x|_{N \setminus 1}) < t + \rho(x|_{N \setminus 1}) = 1$ . Similarly,  $\rho(z) < 1$ . Therefore,  $\|y\| \leq 1$  and  $\|z\| \leq 1$ . This is contradicting to  $x \in \text{Ext } B(\ell)$ . We conclude that  $\|x\| = 1$ , i.e.  $x \in S(\ell)$ .  $\square$

**Lemma 3.11** If  $x = (x_k) \in S(\ell)$ ,  $x^n = (x_k^n) \in B(\ell)$  for all  $n \in \mathbb{N}$ , and  $\|x^n + x\| \rightarrow 2$ , then  $x_k^n \rightarrow x_k$  for each  $k \in \mathbb{N}$  where  $P_k > 1$ .

**Proof.** Suppose  $x_k^n \not\rightarrow x_k$  for some  $k \in \mathbb{N}$  where  $P_k > 1$ . Without loss of generality we may assume that  $k = 1$ , and then assume that, for some  $\varepsilon > 0$ ,  $\rho\left(\frac{x^n - x}{2} \Big|_1\right) > \varepsilon$  for all  $n \in \mathbb{N}$ , since  $x_1^n \not\rightarrow x_1$ . Since  $f(x) = |x|^{P_1}$  defines a uniform convex function on  $[-1, 1]$ , there exists  $\delta > 0$  such that

$$\rho\left(\frac{x^n + x}{2} \mid_1\right) \leq (1-\delta) \left[ \frac{\rho(x^n|_1) + \rho(x|_1)}{2} \right] \text{ for all } n \in \mathbb{N}.$$

Thus, for each  $n \in \mathbb{N}$ , we have

$$\begin{aligned} \rho\left(\frac{x^n + x}{2}\right) &= \rho\left(\frac{x^n + x}{2} \mid_1\right) + \rho\left(\frac{x^n + x}{2} \mid_{N^c}\right) \\ &\leq (1-\delta) \left[ \frac{\rho(x^n|_1) + \rho(x|_1)}{2} \right] + \frac{\rho(x^n|_{N^c}) + \rho(x|_{N^c})}{2} \\ &= \frac{\rho(x^n) + \rho(x)}{2} - \delta \left[ \frac{\rho(x^n|_1) + \rho(x|_1)}{2} \right] \\ &\leq \frac{\rho(x^n) + \rho(x)}{2} - \delta \rho\left(\frac{x^n - x}{2} \mid_1\right) \\ &< \frac{1+1}{2} - \delta\epsilon \\ &= 1 - \delta\epsilon. \end{aligned}$$

This implies  $1 - \rho\left(\frac{x^n + x}{2}\right) \geq \delta\epsilon$  for all  $n \in \mathbb{N}$ , and thus  $\rho\left(\frac{x^n + x}{2}\right) \not\rightarrow 1$ . By

Lemma 3.4, we have  $\left\| \frac{x^n + x}{2} \right\| \not\rightarrow 1$ , a contradiction.  $\square$

**Corollary 3.12** If  $x = (x_k) \in S(\ell)$ ,  $y = (y_k) \in B(\ell)$ , and  $\|y+x\| = 2$ , then  $y_k = x_k$  for each  $k \in \mathbb{N}$  where  $P_k > 1$ .

**Proof.** Put  $y^n = y$  for all  $n \in \mathbb{N}$ . It is obvious that  $\|y^n + x\| \rightarrow 2$ . Thus, by Lemma 3.11, we have  $y_k^n \rightarrow x_k$  for all  $k \in \mathbb{N}$ . Therefore,  $y_k = \lim_{n \rightarrow \infty} y_k^n = x_k$  for all  $k \in \mathbb{N}$ . That is  $y_k = x_k$  for all  $k \in \mathbb{N}$ .  $\square$

**Theorem 3.13**  $\ell$  is rotund if and only if  $P_k = 1$  for at most one  $k$ .

**Proof.**  $(\Rightarrow)$  Suppose on the contrary that  $P_k = 1$  for at least 2  $k$ 's. Without loss of generality, we may assume that  $P_1 = P_2 = 1$ . We choose  $x = (\frac{1}{2}, \frac{1}{2}, 0, 0, 0, \dots)$ ,  $y = (\frac{3}{4}, \frac{1}{4}, 0, 0, 0, \dots)$  and  $z = (\frac{1}{4}, \frac{3}{4}, 0, 0, 0, \dots)$ . It is seen that  $\|x\| = \|y\| = \|z\| = 1$ ,  $x = \frac{y+z}{2}$ , but  $y \neq z$ . Thus we have  $x \notin \text{Ext } B(\ell)$ . Therefore,  $S(\ell) \neq \text{Ext } B(\ell)$ . That is  $\ell$  is not rotund.

$(\Leftarrow)$  Without loss of generality, we may assume  $P_1 = 1$  and  $P_k > 1$  for all  $k \geq 2$ . It suffices to show  $S(\ell) \subset \text{Ext } B(\ell)$ . Let  $x = (x_k) \in S(\ell)$ ,  $y = (y_k)$  and  $z = (z_k) \in B(\ell)$  be such that  $x = \frac{y+z}{2}$ . Then

$$\begin{aligned} 4 &= \|2x + y + z\| \\ &= \|(x+y) + (x+z)\| \\ &\leq \|x+y\| + \|x+z\| \\ &\leq \|x\| + \|y\| + \|x\| + \|z\| \\ &\leq 1 + 1 + 1 + 1 \\ &= 4 \end{aligned}$$

which implies  $\|y\| = 1$ ,  $\|z\| = 1$ ,  $\|x + y\| = 2$  and  $\|x + z\| = 2$ . By Corollary 3.12, we have  $x_k = y_k = z_k$  for all  $k \geq 2$ . Since  $1 = \rho(x) = \rho(y) = \rho(z)$ ,  $|x_1| = |y_1| = |z_1|$ . Next, we shall show that  $y_1 = z_1$ . Suppose  $y_1 \neq z_1$ . Thus,  $y_1 \neq 0$  or  $z_1 \neq 0$ . If  $y_1 \neq 0$ , then  $y_1 = -z_1$ , since  $|y_1| = |z_1|$ . Therefore  $x_1 = \frac{y_1 + z_1}{2} = 0$ . That is  $|y_1| \neq |x_1|$ , a contradiction. Similarly, for case  $z_1 \neq 0$ . Hence  $y_1 = z_1$ . We deduce that  $y_k = z_k$  for all  $k \in \mathbb{N}$ , i.e.  $y = z$ .

□

**Lemma 3.14** Assume  $x^n = (x_k^n)$ ,  $x = (x_k) \in \ell$  for all  $n \in \mathbb{N}$ , if  $\rho(x^n) \rightarrow 1$  and  $x_k^n \rightarrow x_k$  for all  $k \in \mathbb{N}$ , then  $\rho(x) \leq 1$ .

**Proof.** Suppose  $\rho(x) > 1$ , we choose a small number  $\varepsilon$  such that  $\rho(x) - \varepsilon > 1$ . Since  $\rho(x) = \sup \{\rho(x|_n) : n \in \mathbb{N}\}$ , there exists  $i \in \mathbb{N}$  such that  $\rho(x|_i) > \rho(x) - \varepsilon$ . We consider

$$\begin{aligned} \lim_{n \rightarrow \infty} \rho(x^n) &\geq \lim_{n \rightarrow \infty} \rho(x^n|_i) \\ &= \rho(x|_i) && (\text{since } x^n \rightarrow x \text{ componentwise}) \\ &> \rho(x) - \varepsilon \\ &> 1. \end{aligned}$$

That is  $\rho(x^n) \rightarrow 1$ , a contradiction. □

**Theorem 3.15**  $\ell$  is MLUR if and only if  $P_k = 1$  for at most one  $k$ .

**Proof.** ( $\Rightarrow$ ) Without loss of generality, we may assume that  $P_1 = P_2 = 1$ , and let  $x = (\frac{1}{2}, \frac{1}{2}, 0, 0, 0)$ ,  $y^n = (\frac{3}{4}, \frac{1}{4}, 0, 0, 0, \dots)$  and  $z^n = (\frac{1}{4}, \frac{3}{4}, 0, 0, 0, \dots)$  for all  $n \in \mathbb{N}$ . It is clear that  $\|x\| = 1$ ,  $\|y^n\| = \|z^n\| = 1$  for all  $n \in \mathbb{N}$ ,  $y^n + z^n \rightarrow 2x$  and  $\|y^n - z^n\| = 1 \not\rightarrow 0$ . This implies  $\ell$  is not MLUR.

( $\Leftarrow$ ) We may assume  $P_1 = 1$  and  $P_k > 1$  for all  $k \geq 2$ . Let  $x = (x_k) \in S(\ell)$ ,  $y^n = (y_k^n)$  and  $z^n = (z_k^n) \in B(\ell)$  for all  $n \in \mathbb{N}$  be such that  $y^n + z^n \rightarrow 2x$ . Then  $\|y^n + z^n + 2x\| \rightarrow 4$  and

$$(3.1) \quad y_1^n + z_1^n \rightarrow 2x_1$$

At first, we shall show that  $\|y^n + x\| \rightarrow 2$  and  $\|z^n + x\| \rightarrow 2$ . Suppose  $\|y^n + x\| \not\rightarrow 2$ . Thus, we may assume that there exists  $\varepsilon \in (0, 1)$  such that  $\|y^n + x\| < 2 - \varepsilon$  for all  $n \in \mathbb{N}$ . Thus for each  $n \in \mathbb{N}$ ,

$$\begin{aligned} \|y^n + z^n + 2x\| &\leq \|y^n + x\| + \|z^n + x\| \\ &< 2 - \varepsilon + \|z^n\| + \|x\| \\ &\leq 4 - \varepsilon. \end{aligned}$$

This implies  $4 - \|y^n + z^n + 2x\| > \varepsilon$  for all  $n \in \mathbb{N}$ . That is  $\|y^n + z^n + 2x\| \not\rightarrow 4$ , a contradiction. Therefore  $\|y^n + x\| \rightarrow 2$ . Similarly,  $\|z^n + x\| \rightarrow 2$ . By Lemma 3.11, We have  $y_k^n \rightarrow x_k$  and  $z_k^n \rightarrow x_k$  for all  $k \geq 2$ . Since  $\|y^n + x\| \rightarrow 2$  and  $\|x\| = 1$ ,  $\|y^n\| \rightarrow 1$ . Thus, by Lemma 3.4 (i), we have  $\rho(y^n) \rightarrow 1$ . Similarly,  $\rho(z^n) \rightarrow 1$ . Next, we shall show that  $y_1^n \rightarrow x_1$  and  $z_1^n \rightarrow x_1$ . Let  $(y_1^{n'})$  be any subsequence of  $(y_1^n)$ . Then  $(y_1^{n'})$  is a bounded sequence, which then possesses a subsequence  $(y_1^{n''})$  such that  $y_1^{n''} \rightarrow y_1$  for some  $y_1 \in \mathbb{R}$ . Thus, from (3.1) we have  $z_1^{n''} \rightarrow 2x_1 - y_1 = z_1$ . Let  $y = (y_k)$ ,  $z = (z_k)$  be defined by

$$(y_k, z_k) = \begin{cases} (y_1, z_1) & , \quad k = 1 \\ (x_k, x_k) & , \quad k \geq 2. \end{cases}$$

It is clear that  $x = \frac{y+z}{2}$ . Since  $\rho(y^{n''}) \rightarrow 1$ ,  $y_k^{n''} \rightarrow y_k$  for all  $k \in \mathbb{N}$ , we have  $\rho(y) \leq 1$ , by Lemma 3.14. Thus,  $\|y\| \leq 1$ . Similarly,  $\|z\| \leq 1$ . By assumption, we know that  $\ell$  is rotund, which implies  $x = y = z$ , that is  $x_1 = y_1 = z_1$ . Hence  $y_1^{n''} \rightarrow x_1$  and therefore  $y_1^n \rightarrow x_1$ . Similarly,  $z_1^n \rightarrow x_1$ . We know now that  $y^n \rightarrow x$  componentwise and  $\rho(y^n) \rightarrow 1 = \rho(x)$ . Therefore, by Lemma 3.8, we must have  $y^n \rightarrow x$ . Similarly,  $z^n \rightarrow x$ . Hence we deduce that  $y^n \rightarrow z^n$ .  $\square$

**Theorem 3.16**  $\ell$  has property (G) if and only if  $P_k = 1$  for at most one  $k$ .

**Proof.** From the relation

$$(G) \Leftrightarrow (K) + (R),$$

and the property (H) of  $\ell$ , we see that property (G) and property (R) are equivalent on  $\ell$ . Hence, by Theorem 3.13, we deduce that  $\ell$  has property (G) if and only if  $P_k = 1$  for at most one  $k$ .  $\square$