

# CHAPTER 3

## MAIN RESULTS

### 3.1 Forcing linearity number for $R^{(\mathbb{N})}$

In this section we want to find the forcing linearity numbers for a free module,  $V = R^{(\mathbb{N})}$ , over a local ring  $R$ . By a local ring we mean a commutative Noetherian ring with identity  $1_R \neq 0$ , not an integral domain such that  $R$  has a unique maximal ideal  $M$  consisting of all the nonunits of  $R$ .

**Definition 3.1.1.** For each  $i \in \mathbb{N}$  we define

$$e_i = (u_i)_{i \in \mathbb{N}} \text{ where } u_j = \begin{cases} 1 & \text{if } j = i, \\ 0 & \text{if } j \neq i. \end{cases}$$

Thus by Definition 3.1.1. we get  $e_1 = (1, 0, 0, 0, \dots)$  and  $e_2 = (0, 1, 0, 0, \dots)$ .

**Lemma 3.1.2.** If  $f \in M_R(R^{(\mathbb{N})})$  the set of all homogeneous functions on  $R^{(\mathbb{N})}$  and  $f(\sum_{i=1}^m r_i e_i) = \sum_{i=1}^m f(r_i e_i)$  for all  $r_i \in R$  and  $m \in \mathbb{N}$ , then  $f \in \text{End}_R(R^{(\mathbb{N})})$ .

**Proof.** Suppose that  $f \in M_R(R^{(\mathbb{N})})$  and  $f(\sum_{i=1}^m r_i e_i) = \sum_{i=1}^m f(r_i e_i)$  for all  $r_i \in R$  and  $m \in \mathbb{N}$ .

Let  $b = (b_i)_{i \in \mathbb{N}}$  and  $c = (c_i)_{i \in \mathbb{N}}$  be arbitrary in  $R^{(\mathbb{N})}$ .

Then there exists  $n \in \mathbb{N}$  such that  $b = \sum_{i=1}^n b_i e_i$  and  $c = \sum_{i=1}^n c_i e_i$ .

Thus

$$\begin{aligned} f(b + c) &= f(\sum_{i=1}^n (b_i + c_i) e_i) \\ &= \sum_{i=1}^n f((b_i + c_i) e_i). \end{aligned}$$

But  $f \in M_R(R^{(\mathbb{N})})$ , then

$$\begin{aligned} f((b_i + c_i)e_i) &= (b_i + c_i)f(e_i) \\ &= b_i f(e_i) + c_i f(e_i) \\ &= f(b_i e_i) + f(c_i e_i) \quad \text{for all } i = 1, 2, 3, \dots, n. \end{aligned}$$

Hence

$$\begin{aligned} f(b + c) &= \sum_{i=1}^n f((b_i + c_i)e_i) \\ &= \sum_{i=1}^n (f(b_i e_i) + f(c_i e_i)) \\ &= \sum_{i=1}^n f(b_i e_i) + \sum_{i=1}^n f(c_i e_i) \\ &= f\left(\sum_{i=1}^n b_i e_i\right) + f\left(\sum_{i=1}^n c_i e_i\right) \\ &= f(b) + f(c). \end{aligned}$$

Thus  $f \in \text{End}_R(R^{(\mathbb{N})})$ . □

**Proposition 3.1.3.** *Let  $R$  be a local ring and  $M$  a unique maximal ideal of  $R$  with  $\text{Ann}_R(M) = \{0\}$  and let  $V = R^{(\mathbb{N})}$ , then  $\text{fln}(V) \leq 1$ .*

**Proof.** Let  $W := \langle M e_1 \cup M e_2 \cup \dots \rangle$  and  $f \in M_R(V)$  such that  $f$  is linear on  $W$ .

Let  $f(e_i) = (\alpha_{i1}, \alpha_{i2}, \dots)$ .

Let  $(r_1, r_2, \dots) = r_1 e_1 + r_2 e_2 + \dots + r_m e_m$  in  $V$ , and  $f((r_1, r_2, \dots)) = (s_1, s_2, \dots)$ .

Then for all  $a \in M$ , we have

$$\begin{aligned} f(a(r_1, r_2, \dots)) &= a f((r_1, r_2, \dots)) = a(s_1, s_2, \dots) \\ &= a s_1 e_1 + a s_2 e_2 + \dots + a s_k e_k \quad \text{for some } k \in \mathbb{N} \\ &= (a s_1, a s_2, \dots). \end{aligned}$$

Since  $a(r_1, r_2, \dots) = a r_1 e_1 + a r_2 e_2 + \dots + a r_m e_m \in W$ , we have

$$\begin{aligned} f(a(r_1, r_2, \dots)) &= a r_1 f(e_1) + a r_2 f(e_2) + \dots + a r_m f(e_m) \\ &= a r_1 (\alpha_{11}, \alpha_{12}, \dots) + a r_2 (\alpha_{21}, \alpha_{22}, \dots) + \dots + a r_m (\alpha_{m1}, \alpha_{m2}, \dots). \end{aligned}$$

Thus we get

$$\begin{aligned}(as_1, as_2, \dots) &= ar_1(\alpha_{11}, \alpha_{12}, \dots) + ar_2(\alpha_{21}, \alpha_{22}, \dots) + \dots + ar_m(\alpha_{m1}, \alpha_{m2}, \dots) \\ &= (ar_1\alpha_{11}, ar_1\alpha_{12}, \dots) + (ar_2\alpha_{21}, ar_2\alpha_{22}, \dots) + \dots + (ar_m\alpha_{m1}, ar_m\alpha_{m2}, \dots).\end{aligned}$$

So  $as_j = ar_1\alpha_{1j} + ar_2\alpha_{2j} + \dots + ar_m\alpha_{mj}$ ,

and hence

$$as_j - (ar_1\alpha_{1j} + ar_2\alpha_{2j} + \dots + ar_m\alpha_{mj}) = 0,$$

then

$$(s_j - (r_1\alpha_{1j} + r_2\alpha_{2j} + \dots + r_m\alpha_{mj}))a = 0.$$

This means that  $s_j - (r_1\alpha_{1j} + r_2\alpha_{2j} + \dots + r_m\alpha_{mj}) = 0$  since  $\text{Ann}_R(M) = \{0\}$ .

Thus  $s_j = r_1\alpha_{1j} + r_2\alpha_{2j} + r_3\alpha_{3j} + \dots + r_m\alpha_{mj}$ ,  $j = 1, 2, \dots, k$ .

Then

$$\begin{aligned}f\left(\sum_{i=1}^m r_i e_i\right) &= f(r_1, r_2, \dots) \\ &= (r_1\alpha_{11} + r_2\alpha_{21} + \dots + r_m\alpha_{m1})e_1 + (r_1\alpha_{12} + r_2\alpha_{22} + \dots + r_m\alpha_{m2})e_2 + \dots + \\ &\quad (r_1\alpha_{1k} + r_2\alpha_{2k} + \dots + r_m\alpha_{mk})e_k \\ &= r_1(\alpha_{11}, \alpha_{12}, \dots) + r_2(\alpha_{21}, \alpha_{22}, \dots) + \dots + r_m(\alpha_{m1}, \alpha_{m2}, \dots) \\ &= r_1f(e_1) + r_2f(e_2) + \dots + r_mf(e_m) = f(r_1e_1) + f(r_2e_2) + \dots + f(r_me_m) \\ &= \sum_{i=1}^m f(r_i e_i).\end{aligned}$$

By Lemma 3.1.2. we get  $f \in \text{End}_R(V)$ .

Therefore we have  $f \ln(V) \leq 1$ .  $\square$

**Proposition 3.1.4.** *Let  $R$  be a local ring and  $M$  a unique maximal ideal of  $R$  with  $\text{Ann}_R(M) \neq 0$ . If  $V = R^{(\mathbb{N})}$ , then  $f \ln(V) \neq 0$ .*

**Proof.** Let  $0 \neq a_o \in \text{Ann}_R(M)$  and  $v = r_1e_1 + r_2e_2 + \dots + r_me_m \in V$ .

Define a function  $f : V \rightarrow V$  by

$$f(v) = \begin{cases} r_1a_o e_1 & \text{if } r_2 \in M, \\ 0 & \text{if } r_2 \in R \setminus M. \end{cases}$$

It is easy to see that  $f$  is well-defined.

Next, we show that  $f \in M_R(V)$ .

Let  $s \in R$ .

If  $r_2 \in R \setminus M$ , then  $f(v) = f(r_1e_1 + r_2e_2 + \cdots + r_me_m) = 0$ .

So if  $s \in R \setminus M$ , then  $sr_2 \in R \setminus M$ ,  $f(sv) = f(s(r_1e_1 + r_2e_2 + \cdots + r_me_m)) = f(sr_1e_1 + sr_2e_2 + \cdots + sr_me_m) = 0$ . But if  $s \in M$ , then  $sr_2 \in M$  since  $M$  is an ideal of  $R$ . Thus  $f(sv) = f(sr_1e_1 + sr_2e_2 + \cdots + sr_me_m) = sr_1a_oe_1 = 0$  since  $sr_1 \in M$ .

If  $r_2 \in M$ , then  $f(v) = f(r_1e_1 + r_2e_2 + \cdots + r_me_m) = r_1a_oe_1$  and that  $sf(v) = sf(r_1e_1 + r_2e_2 + \cdots + r_me_m) = s(r_1a_oe_1) = sr_1a_oe_1$ .

Since  $sr_2 \in M$ , we get

$$f(sv) = f(s(r_1e_1 + r_2e_2 + \cdots + r_me_m)) = f(sr_1e_1 + sr_2e_2 + \cdots + sr_me_m) = sr_1a_oe_1.$$

Hence  $f \in M_R(V)$ .

Next, we show that  $f$  is not linear on  $V$ .

Let  $v_1 = e_1, v_2 = e_2 \in V$ , then  $f(v_1 + v_2) = f(e_1 + e_2) = 0$  since  $1 \in R \setminus M$ .

Since  $v_1 = e_1 = 1e_1 + 0e_2 + \cdots + 0e_m$  and  $v_2 = e_2 = 0e_1 + 1e_2 + \cdots + 0e_m$ , then

$f(v_1) = (1)a_oe_1$  since  $0 \in M$  and  $f(v_2) = 0$  since  $1 \in R \setminus M$ .

Thus  $f(v_1 + v_2) = 0 \neq a_oe_1 = a_oe_1 + 0 = f(v_1) + f(v_2)$ .

Hence  $f$  is not linear on  $V$ .

Thus  $f \notin \text{End}_R(V)$  and that  $f \ln(V) \neq 0$ . □

**Lemma 3.1.5.** *Let  $R$  be a finite local ring and  $V = R^{(\mathbb{N})}$ . If  $T \subseteq V$ , then  $\mathcal{A} = \{Rw \mid w \in T\}$  has a maximal element.*

**Proof.** Let  $|R| = q$  and suppose that  $\mathcal{A} = \{Rw \mid w \in T\}$  where  $T \subseteq V$  does not have a maximal element.

We note that for each  $w \in T$ ,  $|Rw| \leq q$ .

Let  $Rw_1 \in \mathcal{A}$ , then there exists  $Rw_2 \in \mathcal{A}$  such that

$$Rw_1 \subsetneq Rw_2.$$

Since  $Rw_2 \in \mathcal{A}$ , then there exists  $Rw_3 \in \mathcal{A}$  such that

$$Rw_1 \subsetneq Rw_2 \subsetneq Rw_3.$$

By continuing in this way, we obtain an ascending chain of submodules of  $V$

$$Rw_1 \subsetneq Rw_2 \subsetneq Rw_3 \subsetneq \cdots \subsetneq Rw_q \subsetneq Rw_{q+1} \cdots$$

Since  $w_1 \in Rw_1$ , then we get  $|Rw_1| \geq 1$ .

Thus  $|Rw_{q+1}| > q$ , it is a contradiction and the prove is complete.  $\square$

**Proposition 3.1.6.** *If  $R$  is a finite local ring,  $M$  a unique maximal ideal of  $R$  with  $\text{Ann}(M) \neq 0$  and  $\mathfrak{S} = \{S_1, S_2, \dots, S_t\}$  is a collection of proper submodules of  $V = R^{(\mathbb{N})}$  which forces linearity, then  $\cup_{i=1}^t S_i = V$ .*

**Proof.** We suppose that  $\cup_{i=1}^t S_i \subsetneq V$  and show that  $\mathfrak{S}$  does not force linearity on  $V$ .

We note that  $R$  is finite, then the set  $\{Rw \mid w \notin \cup_{i=1}^t S_i\}$  has a maximal element by Lemma 3.1.5, say  $Rw_o$ . Also  $Rw_o \subsetneq V$  since  $V$  is not cyclic.

Now let  $0 \neq a \in \text{Ann}(M)$  and define  $f : V \rightarrow V$  by

$$f(x) = \begin{cases} rae_1 & \text{if } x = rw_o \in Rw_o, \\ 0 & \text{otherwise.} \end{cases}$$

We show that  $f$  is well-defined.

Suppose that  $s_1w_o = s_2w_o$ .

Then  $(s_1 - s_2)w_o = 0$ , so  $(s_1 - s_2) \in M$ , because if  $(s_1 - s_2) \notin M$ , then  $(s_1 - s_2)$  is a unit, so there exist  $(s_1 - s_2)^{-1}$  such that  $(s_1 - s_2)(s_1 - s_2)^{-1} = 1$ .

Thus  $w_o = 1w_o = (s_1 - s_2)^{-1}(s_1 - s_2)w_o = (s_1 - s_2)^{-1}0 = 0$ , a contradiction.

Hence  $(s_1 - s_2)ae_1 = ((s_1 - s_2)a)e_1 = 0e_1 = 0$ , i.e.,  $s_1ae_1 = s_2ae_1$ , thus  $f$  is well-defined.

Next, we show that  $f \in M_R(V)$ .

Let  $v \in V, r \in R$ . We consider in two cases:

**Case 1:**  $v \in Rw_o$ .

Then  $v = sw_o$  for some  $s \in R$ , so  $rv = rsw_o$ ,  $f(rv) = rae_1 = rf(v)$ .

**Case 2:**  $v \notin Rw_0$ . We consider in two subcases.

(1) If  $rv \notin Rw_0$ , then  $rf(v) = 0 = f(rv)$ .

(2) If  $rv \in Rw_0$ , then  $rv = sw_0 \in Rw_0$  for some  $s \in R$ . We consider as follow. If  $s \in M$  and  $f(rv) = sae_1 = 0 = r(0) = rf(v)$ . The case  $s \notin M$  can not occur since  $w_0 = s^{-1}sw_0 = s^{-1}rv \in Rv$  which implies  $Rw_0 \subseteq Rv$ .

If  $v \in \cup_{i=1}^t S_i$ , then  $w_0 \in Rv \subseteq S_i$  for some  $i$  which is a contradiction.

If  $v \notin \cup_{i=1}^t S_i$ , then  $v \in Rv = Rw_0$  since  $Rw_0$  is maximal which contradicts to our assumption.

Thus we have  $f \in M_R(V)$ .

Now, we show that  $f$  is linear on each  $S_i$  for all  $i = 1, 2, \dots, t$ .

Let  $v \in \cup_{i=1}^t S_i$ .

If  $v \notin Rw_0$ , then  $f(v) = 0$ .

If  $v \in Rw_0$ , say  $v = sw_0$ , then  $s \in M$ , for if  $s \in R \setminus M$ , hence  $s$  is a unit, thus there exists  $s^{-1}$ , then  $w_0 = 1w_0 = s^{-1}sw_0 = s^{-1}v \in \cup_{i=1}^t S_i$ , a contradiction.

Thus  $f(v) = sae_1 = 0$ .

Consequently,  $f(\cup_{i=1}^t S_i) = 0$ , then  $f(x + y) = 0 = 0 + 0 = f(x) + f(y)$  for all  $x, y \in S_i, \forall i = 1, 2, \dots, t$  and hence  $f$  is linear on each  $S_i \in \mathfrak{S}$ .

Finally let  $\hat{w} \in (V \setminus Rw_0)$ .

Then  $\hat{w} + w_0 \notin Rw_0$ , so  $f(\hat{w} + w_0) = 0 \neq ae_1 = f(w_0) = f(\hat{w}) + f(w_0)$ .

Therefore  $\mathfrak{S}$  does not force linearity on  $V$ . □

**Lemma 3.1.7.** *Let  $R$  be a local ring with unique maximal ideal  $M$ . Then  $V/MV$  is a vector space over the field  $R/M$  under addition and scalar multiplication defined by*

$$(v_1 + MV) + (v_2 + MV) = (v_1 + v_2) + MV.$$

and 
$$(r + M)(v + MV) = rv + MV.$$

**Proof.** Since  $R$  is a commutative ring with identity and  $M$  is a maximal ideal of  $R$ ,  $R/M$  is a field.

We will show that addition and scalar multiplication are well-defined.

Let  $x_1 + MV = y_1 + MV$  and  $x_2 + MV = y_2 + MV$  where  $x_1, x_2, y_1, y_2 \in V$ .

Then  $x_1 - y_1 \in MV$  and  $x_2 - y_2 \in MV$ , so  $(x_1 - y_1) + (x_2 - y_2) \in MV$ .

Thus  $(x_1 + x_2) - (y_1 + y_2) \in MV$ .

This means that  $(x_1 + x_2) + MV = (y_1 + y_2) + MV$ .

Next, let  $r_1 + M = r_2 + M$  and  $v_1 + MV = v_2 + MV$  where  $r_1, r_2 \in R$  and  $v_1, v_2 \in V$ .

Then  $r_1 - r_2 \in M$  and  $v_1 - v_2 \in MV$ .

Since  $MV$  is an  $R$ -module, then  $(r_1 - r_2)v_1 \in MV$  and  $r_2(v_1 - v_2) \in MV$ ,

then  $r_1v_1 - r_2v_1 \in MV$  and  $r_2v_1 - r_2v_2 \in MV$ .

Thus  $(r_1v_1 - r_2v_1) + (r_2v_1 - r_2v_2) \in MV$ , so  $(r_1v_1 - r_2v_2) \in MV$ .

Hence  $r_1v_1 + MV = r_2v_2 + MV$ .

Therefore  $(r_1 + M)(v_1 + MV) = (r_2 + M)(v_2 + MV)$ .

Next, we show that  $V/MV$  is a vector space over the field  $R/M$  under addition and scalar multiplication defined above.

Let  $v_1 + MV, v_2 + MV \in V/MV$  and  $r_1 + M, r_2 + M \in R/M$  where  $v_1, v_2 \in V$  and  $r_1, r_2 \in R$ .

Then

$$\begin{aligned} (r_1 + M)((v_1 + MV) + (v_2 + MV)) &= (r_1 + M)((v_1 + v_2) + MV) \\ &= r_1(v_1 + v_2) + MV \end{aligned}$$

$$= (r_1v_1 + r_1v_2) + MV$$

$$= (r_1v_1 + MV) + (r_1v_2 + MV)$$

$$= (r_1 + M)(v_1 + MV) + (r_1 + M)(v_2 + MV)$$

and

$$((r_1 + M) + (r_2 + M))(v_1 + MV) = ((r_1 + r_2) + M)(v_1 + MV)$$

$$= (r_1 + r_2)v_1 + MV$$

$$= (r_1v_1 + r_2v_1) + MV$$

$$= (r_1v_1 + MV) + (r_2v_1 + MV)$$

$$= (r_1 + M)(v_1 + MV) + (r_2 + M)(v_1 + MV)$$



and

$$\begin{aligned}
 (r_1 + M)((r_2 + M)(v_1 + MV)) &= (r_1 + M)(r_2v_1 + MV) \\
 &= r_1(r_2v_1) + MV \\
 &= (r_1r_2)v_1 + MV \\
 &= (r_1r_2)(v_1 + MV) \\
 &= ((r_1r_2) + M)(v_1 + MV) \\
 &= ((r_1 + M)(r_2 + M))(v_1 + MV)
 \end{aligned}$$

and

$$(1 + M)(v_1 + MV) = 1v_1 + MV = v_1 + MV$$

Thus we get  $V/MV$  is a vector space over the field  $R/M$ . □

**Lemma 3.1.8.** *Let  $V$  be a vector space over the field  $F$  and  $S_1, S_2, \dots, S_k$  be finitely many subspaces of  $V$  with  $k < |F| + 1$ . Then  $S_1 \cup S_2 \cup \dots \cup S_k$  is a subspace if and only if some  $S_i$  contains the others.*

**Proof.** See [7] page 128.

**Theorem 3.1.9.** *Let  $R$  be a local ring with unique maximal ideal  $M$  and  $V = R^{(\mathbb{N})}$  and  $\text{Ann}_R(M) \neq 0$ . If every proper submodule of  $V$  contains  $MV$  and  $R/M$  is infinite, then  $\text{fln}(V) = \infty$ .*

**Proof.** Suppose that there exists a finite set  $\mathfrak{S} = \{S_1, S_2, \dots, S_t\}$  of proper submodules of  $V$  which forces linearity, then by Proposition 3.1.6. we get  $V = \cup_{i=1}^t S_i$ .

By Lemma 3.1.7, we get  $V/MV$  is a vector space over  $R/M$  and for each  $1 \leq i \leq t$  we get  $S_i/MV$  is a proper subspace of  $V/MV$  since  $MV \leq S_i$ .

Since  $\cup_{i=1}^t (S_i/MV) = (\cup_{i=1}^t S_i)/MV = V/MV$ ,  $\cup_{i=1}^t (S_i/MV)$  is a vector space on  $R/M$ .

Because  $|R/M|$  is infinite, we get  $t < |R/M| + 1$ .

Thus by Lemma 3.1.8. there exists  $1 \leq j \leq t$  such that  $S_i/MV \subseteq S_j/MV$  for all  $i \neq j$ , so  $S_j/MV = \cup_{i=1}^t (S_i/MV) = V/MV$ , which contradicts to  $S_j/MV$  is a proper subspace of  $V/MV$ . Hence  $\text{fln}(V) = \infty$ . □



**Theorem 3.1.10.** *Let  $R$  be local ring with maximal ideal  $M$  such that  $R/M$  is a field of cardinality  $q$ . Suppose that  $V = R^{(\mathbb{N})}$ , then  $\text{fln}(V) \leq q + 2$ .*

**Proof.** Since  $R$  is a commutative Noetherian ring,  $M$  is finitely generated.

Let  $M = \langle m_1, m_2, \dots, m_k \rangle$  and let  $(R/M)^* = \{u_1 + M, u_2 + M, \dots, u_{q-1} + M\}$ ; i.e.,  $u_1, u_2, \dots, u_{q-1}$  is a system of representatives for  $(R/M)^*$ .

Define  $S' = \langle m_1 e_1, \dots, m_k e_1, e_2, e_3, \dots \rangle$ ,  $S'' = \langle e_1, m_1 e_2, \dots, m_k e_2, e_3, \dots \rangle$  and  $S_i = \langle e_1 + u_i e_2, m_1 e_2, \dots, m_k e_2, e_3, \dots \rangle$  for  $i = 1, 2, \dots, q - 1$ .

Thus  $V = S' \cup S'' \cup (\cup_{i=1}^{q-1} S_i)$ .

Let  $S = \langle e_1, e_2 \rangle$  and consider  $\mathfrak{S} = \{S', S'', S_1, \dots, S_{q-1}, S\}$ . Then all elements in  $\mathfrak{S}$  are distinct, so  $|\mathfrak{S}| = q + 2$ .

Suppose that  $f \in M_R(V)$  is linear on each submodule in  $\mathfrak{S}$  and let  $v = a_1 e_1 + a_2 e_2 + \dots + a_m e_m$  be arbitrary in  $V$ .

If  $a_1$  or  $a_2 \in M$ , then  $v \in S'$  or  $S''$  and  $f(a_1 e_1 + a_2 e_2 + \dots + a_m e_m) = f(a_1 e_1) + f(a_2 e_2) + \dots + f(a_m e_m)$ .

If both  $a_1, a_2 \in R \setminus M$ , then  $v = a_1(e_1 + a_1^{-1} a_2 e_2) + a_3 e_3 + \dots + a_m e_m$ .

Since  $a_1, a_2 \notin M$ , then  $a_1^{-1} a_2 \notin M$ , so there exist  $u_l$  with  $1 \leq l \leq q - 1$  such that  $a_1^{-1} a_2 + M = u_l + M$ , this means that  $(a_1^{-1} a_2 - u_l) \in M$ , then  $a_1^{-1} a_2 - u_l = \hat{m}$  where  $\hat{m} \in M$ , i.e.,  $a_1^{-1} a_2 = u_l + \hat{m}$ ,  $\hat{m} \in M$ . From  $\hat{m} = r_1 m_1 + r_2 m_2 + \dots + r_k m_k$  where  $r_i \in R$ , we get  $a_1 \hat{m} e_2 = a_1 r_1 m_1 e_2 + a_1 r_2 m_2 e_2 + \dots + a_1 r_k m_k e_2$ .

Thus  $v = a_1(e_1 + u_l e_2) + a_1 \hat{m} e_2 + a_3 e_3 + \dots + a_m e_m \in S_l$ . Since  $f$  is linear on  $S_l$ ,  

$$f(a_1 e_1 + a_2 e_2 + \dots + a_m e_m) = f(a_1(e_1 + u_l e_2) + a_1 \hat{m} e_2 + a_3 e_3 + \dots + a_m e_m)$$

$$= f(a_1 e_1 + a_1 u_l e_2) + f(a_1 \hat{m} e_2) + f(a_3 e_3) + \dots + f(a_m e_m).$$

Since  $f$  is linear on  $S$ , then  $f(a_1 e_1 + a_1 u_l e_2) = f(a_1 e_1) + f(a_1 u_l e_2)$ .

Hence

$$\begin{aligned} f(v) &= f(a_1 e_1) + f(a_1 u_l e_2) + f(a_1 \hat{m} e_2) + f(a_3 e_3) + \dots + f(a_m e_m) \\ &= f(a_1 e_1) + f([a_1 u_l + a_1 \hat{m}] e_2) + f(a_3 e_3) + \dots + f(a_m e_m) \quad \text{since } f \text{ is linear on } S' \\ &= f(a_1 e_1) + f(a_2 e_2) + \dots + f(a_m e_m). \end{aligned}$$

Thus from Lemma 3.1.2., we get  $f$  is linear on  $V$  and  $\mathfrak{S}$  forces linearity on  $V$ .  $\square$

### 3.2 General properties of $\mathbb{Z}_{p^k}^{(\mathbb{N})}$

In this section we want to find the forcing linearity numbers of a free module  $V = \mathbb{Z}_{p^k}^{(\mathbb{N})}$ , over a local ring  $\mathbb{Z}_{p^k}$ . It is easy to see that  $\mathbb{Z}_{p^k}$  is a commutative local ring with identity, not an integral domain such that  $\langle \bar{p} \rangle$  is a unique maximal ideal of  $\mathbb{Z}_{p^k}$ . Moreover  $\langle \bar{p} \rangle$  consisting of all nonunits of  $\mathbb{Z}_{p^k}$ .

Let  $V = \mathbb{Z}_{p^k}^{(\mathbb{N})}$  and  $u, v$  be fixed positive integers with  $u < v$ .

We let  $M_i = \langle e_1, e_2, \dots, e_{i-1}, pe_i, e_{i+1}, \dots \rangle$  and

$$K_{uv}(t) = \langle e_1, e_2, \dots, e_{u-1}, e_u + te_v, e_{u+1}, \dots, e_{v-1}, pe_v, e_{v+1}, \dots \rangle \text{ where } 1 \leq t \leq p-1$$

$$= \{(\bar{x}_1, \bar{x}_2, \bar{x}_3, \dots) \in V \mid (\bar{x}_u, \bar{x}_v) \in \langle (\bar{1}, \bar{t}) \rangle \oplus \langle (\bar{0}, \bar{p}) \rangle, 1 \leq t \leq p-1\}.$$

**Lemma 3.2.1.**  $Ann(\langle \bar{p} \rangle) = \{\bar{0}, \overline{p^{k-1}}, \overline{2p^{k-1}}, \dots, (p-1)\overline{p^{k-1}}\}.$

**Proof.** Let  $\bar{a} \in Ann(\langle \bar{p} \rangle)$ . Then  $ax \equiv 0 \pmod{p^k}$  for all  $\bar{x} \in \langle \bar{p} \rangle$ .

By taking  $x = p$ , we get  $p^k \mid ap$  which implies  $p^{k-1} \mid a$ .

Thus  $a = mp^{k-1}$  for some  $m \in \mathbb{Z}$ .

Since  $0 \leq a < p^k$ , then  $0 \leq mp^{k-1} < p^k$ , so  $0 \leq m < p$ .

Therefore  $a \in \{\bar{0}, \overline{p^{k-1}}, \overline{2p^{k-1}}, \dots, (p-1)\overline{p^{k-1}}\}.$

Clearly  $\{\bar{0}, \overline{p^{k-1}}, \overline{2p^{k-1}}, \dots, (p-1)\overline{p^{k-1}}\} \subseteq Ann(\langle \bar{p} \rangle).$

Hence  $Ann(\langle \bar{p} \rangle) = \{\bar{0}, \overline{p^{k-1}}, \overline{2p^{k-1}}, \dots, (p-1)\overline{p^{k-1}}\}.$  □

**Lemma 3.2.2.** If  $\mathfrak{S} = \{S_1, S_2, \dots, S_t\}$  is a collection of proper submodules of  $V = \mathbb{Z}_{p^k}^{(\mathbb{N})}$  which forces linearity, then  $\cup_{i=1}^t S_i = V$ .

**Proof.** Let  $\mathfrak{S} = \{S_1, S_2, \dots, S_t\}$  be a collection of proper submodules of

$V = \mathbb{Z}_{p^k}^{(\mathbb{N})}$  which forces linearity.

Since  $\mathbb{Z}_{p^k}$  is a finite local ring which has  $\langle \bar{p} \rangle$  as a unique maximal ideal of  $\mathbb{Z}_{p^k}$  with  $Ann(\langle \bar{p} \rangle) \neq \bar{0}$ , then by Proposition 3.1.6. we get  $\cup_{i=1}^t S_i = V$ . □

**Theorem 3.2.3.** (First Sylow Theorem) Let  $G$  be a group of order  $p^n m$ , with  $n \geq 1$ ,  $p$  prime, and  $\gcd(p, m) = 1$ . Then  $G$  contains a subgroup of order  $p^i$  for each  $1 \leq i \leq n$  and every subgroup of  $G$  of order  $p^i$  ( $i < n$ ) is normal in some subgroup

of order  $p^{i+1}$ .

**Proof.** See [3] page 94. □

**Lemma 3.2.4.** *If  $V = \mathbb{Z}_{p^k} \oplus \mathbb{Z}_{p^k}$  and  $M$  is a maximal submodule of  $V$ , then  $|M| = p^{2k-1}$ .*

**Proof.** Let  $M$  be a maximal submodule of  $V$ . Then  $M$  is a subgroup of  $\mathbb{Z}_{p^k} \oplus \mathbb{Z}_{p^k}$ . Suppose  $|M| < p^{2k-1}$ . By First Sylow Theorem,  $M$  is contained in some subgroup  $K$  of order  $p^{2k-1}$ . We prove that  $K$  is a submodule of  $V$ .

Let  $\bar{s} \in \mathbb{Z}_{p^k}$  and  $x \in K$ .

If  $\bar{s} = \bar{0}$ ,  $\bar{s}x = \bar{0}x = 0 \in K$ .

If  $\bar{1} \leq \bar{s} < \overline{p^k}$ , then  $\bar{s}x = (\underbrace{\bar{1} + \bar{1} + \cdots + \bar{1}}_{s \text{ times}})x = \underbrace{x + x + \cdots + x}_{s \text{ times}}$ .

Because  $x \in K$  and  $K$  is a group,  $\underbrace{x + x + \cdots + x}_{s \text{ times}} \in K$ . Thus  $\bar{s}x \in K$  and get  $K$  is a submodule of  $V$ .

Thus  $M \subsetneq K \subsetneq V$ , which contradicts to the maximality of  $V$ . □

**Proposition 3.2.5.** (i) *If  $\bar{x} \in \mathbb{Z}_{p^k}$  and  $s \in \mathbb{Z}$ , then  $s\bar{x} = \overline{s\bar{x}}$ .*

(ii)  *$\mathbb{Z}_{p^k}(\bar{x}, \bar{y}) = \mathbb{Z}(\bar{x}, \bar{y})$  for all  $\bar{x}, \bar{y} \in \mathbb{Z}_{p^k}$ .*

**Proof.** (i) Let  $\bar{x} \in \mathbb{Z}_{p^k}$  and  $s \in \mathbb{Z}$ , we consider in three cases:

**Case**  $s = 0$  : We get  $0\bar{x} = \bar{0} = \bar{0}\bar{x} = \overline{0\bar{x}}$ .

**Case**  $s > 0$  : Then  $s\bar{x} = \underbrace{\bar{x} + \bar{x} + \cdots + \bar{x}}_{s \text{ times}} = \overline{\underbrace{x + x + \cdots + x}_{s \text{ times}}} = \overline{s\bar{x}}$ .

**Case**  $s < 0$  : Then  $-s > 0$  and  $s\bar{x} = -(-s)\bar{x} = -(\overline{-s\bar{x}}) = -(\overline{-sx}) = \overline{s\bar{x}}$  since  $\overline{s\bar{x}}$  is an inverse of  $\overline{-sx}$ .

Thus by three cases we get  $s\bar{x} = \overline{s\bar{x}}$  for  $\bar{x} \in \mathbb{Z}_{p^k}, s \in \mathbb{Z}$ .

(ii)  $(\Rightarrow)$  Let  $a \in \mathbb{Z}_{p^k}(\bar{x}, \bar{y})$ . Then  $a = \bar{s}(\bar{x}, \bar{y})$  for some  $0 \leq s < p^k$ .

Since  $a = \bar{s}(\bar{x}, \bar{y}) = (\bar{s}\bar{x}, \bar{s}\bar{y}) = (\overline{s\bar{x}}, \overline{s\bar{y}}) = (s\bar{x}, s\bar{y}) = s(\bar{x}, \bar{y})$  where  $s \in \mathbb{Z}$  by (i), we get  $a \in \mathbb{Z}(\bar{x}, \bar{y})$ .

Thus  $\mathbb{Z}_{p^k}(\bar{x}, \bar{y}) \subseteq \mathbb{Z}(\bar{x}, \bar{y})$ .

$(\Leftarrow)$  Let  $b \in \mathbb{Z}(\bar{x}, \bar{y})$ . Then  $b = r(\bar{x}, \bar{y})$  for some  $r \in \mathbb{Z}$ .

Since  $b = r(\bar{x}, \bar{y}) = (r\bar{x}, r\bar{y}) = (\bar{r}\bar{x}, \bar{r}\bar{y}) = (\bar{r}\bar{x}, \bar{r}\bar{y}) = \bar{r}(\bar{x}, \bar{y})$  where  $\bar{r} \in \mathbb{Z}_{p^k}$  by (i), we get  $a \in \mathbb{Z}_{p^k}(\bar{x}, \bar{y})$ .

Thus  $\mathbb{Z}(\bar{x}, \bar{y}) \subseteq \mathbb{Z}_{p^k}(\bar{x}, \bar{y})$ .

Hence  $\mathbb{Z}_{p^k}(\bar{x}, \bar{y}) = \mathbb{Z}(\bar{x}, \bar{y})$  for all  $\bar{x}, \bar{y} \in \mathbb{Z}_{p^k}$ . □

**Lemma 3.2.6.** *If  $V$  is a finitely generated abelian group generated by  $n$  elements, then every subgroup  $M$  of  $V$  can be generated by  $m$  elements with  $m \leq n$ .*

**Proof.** See[3] page 74. □

**Proposition 3.2.7.** *Let  $V = \mathbb{Z}_{p^k} \oplus \mathbb{Z}_{p^k}$  and  $M$  a maximal submodule of  $V$  over  $\mathbb{Z}_{p^k}$ . Then there exist  $(\bar{1}, \bar{g})$  or  $(\bar{g}', \bar{1}) \in M$  for some  $g, g' \in \mathbb{Z}_{p^k}$ .*

**Proof.** Suppose  $(\bar{1}, \bar{g})$  and  $(\bar{g}', \bar{1}) \notin M$  for all  $g$  and  $g' \in \mathbb{Z}_{p^k}$ .

If there is an element  $(\bar{x}, \bar{y}) \in M$  such that  $|\bar{x}, \bar{y}| = p^k$ . Then  $\gcd(x, p) = 1$  or  $\gcd(y, p) = 1$

If  $\gcd(x, p) = 1$ , then there is  $\bar{z} \in \mathbb{Z}_{p^k}$  such that  $\bar{z}(\bar{x}, \bar{y}) = (\bar{z}\bar{x}, \bar{z}\bar{y}) = (\bar{1}, \bar{z}\bar{y}) \in M$ , which is a contradiction.

If  $\gcd(y, p) = 1$ , then there is  $\bar{s} \in \mathbb{Z}_{p^k}$  such that  $\bar{s}(\bar{x}, \bar{y}) = (\bar{s}\bar{x}, \bar{s}\bar{y}) = (\bar{s}\bar{x}, \bar{1}) \in M$ , which is a contradiction.

Thus every element  $(\bar{x}, \bar{y}) \in M$ ,  $|\bar{x}, \bar{y}| < p^k$ .

Since  $V$  is generated by two elements,  $M$  can be generated by at most two elements by Lemma 3.2.6, that is  $M = \mathbb{Z}(\bar{x}, \bar{y}) + \mathbb{Z}(\bar{u}, \bar{v})$  for some  $(\bar{x}, \bar{y}), (\bar{u}, \bar{v}) \in M$ .

Thus  $|M| < p^{2k-1}$ .

By Proposition 3.2.5, we have  $\mathbb{Z}(\bar{x}, \bar{y}) + \mathbb{Z}(\bar{u}, \bar{v}) = \mathbb{Z}_{p^k}(\bar{x}, \bar{y}) + \mathbb{Z}_{p^k}(\bar{u}, \bar{v})$ .

Thus we get  $M = \mathbb{Z}_{p^k}(\bar{x}, \bar{y}) + \mathbb{Z}_{p^k}(\bar{u}, \bar{v})$ . And that  $|M| < p^{2k-1}$ .

But  $M$  is a maximal submodule of  $V$ , so  $|M| = p^{2k-1}$ , which is a contradiction.

Therefore, there exists  $(\bar{1}, \bar{g})$  or  $(\bar{g}', \bar{1}) \in M$ . □

**Lemma 3.2.8.** Let  $(\bar{0}, \bar{p}), (\bar{p}, \bar{0}) \in \mathbb{Z}_{p^k} \oplus \mathbb{Z}_{p^k}$ , then

$$(1) \mathbb{Z}_{p^k}(\bar{0}, \bar{p}) = \{(\bar{0}, \bar{x}) \mid x = up ; \text{ where } 0 \leq u \leq p^{k-1} - 1\}$$

and

$$(2) \mathbb{Z}_{p^k}(\bar{p}, \bar{0}) = \{(\bar{y}, \bar{0}) \mid y = vp ; \text{ where } 0 \leq v \leq p^{k-1} - 1\}.$$

Moreover  $|\mathbb{Z}_{p^k}(\bar{0}, \bar{p})| = p^{k-1} = |\mathbb{Z}_{p^k}(\bar{p}, \bar{0})|$ .

**Proof.** (1) ( $\subseteq$ ) Let  $a \in \mathbb{Z}_{p^k}(\bar{0}, \bar{p})$ , then  $a = \bar{t}(\bar{0}, \bar{p}) = (\bar{0}, \bar{tp})$  where  $0 \leq t < p^k$ .

If  $0 \leq t \leq p^{k-1} - 1$ , we get  $a \in \{(\bar{0}, \bar{x}) \mid x = up ; \text{ where } 0 \leq u \leq p^{k-1} - 1\}$ .

If  $t > p^{k-1} - 1$ , then by division algorithm there exists  $q, s \in \mathbb{Z}$  such that  $t = p^{k-1}q + s$  where  $0 \leq s < p^{k-1}$ .

$$\text{Then } \bar{tp} = \bar{p}\bar{t} = \bar{p}(\overline{p^{k-1}q + s}) = \overline{p^k q} + \overline{ps} = \bar{0} + \overline{ps} = \overline{ps} = \overline{sp}.$$

Since  $0 \leq s < p^{k-1}$ , this means that  $0 \leq s \leq p^{k-1} - 1$ .

Then  $a = (\bar{0}, \bar{tp}) \in \{(\bar{0}, \bar{x}) \mid x = up ; \text{ where } 0 \leq u \leq p^{k-1} - 1\}$ .

Thus  $\mathbb{Z}_{p^k}(\bar{0}, \bar{p}) \subseteq \{(\bar{0}, \bar{x}) \mid x = up ; \text{ where } 0 \leq u \leq p^{k-1} - 1\}$ .

( $\supseteq$ ) Let  $a \in \{(\bar{0}, \bar{x}) \mid x = up ; \text{ where } 0 \leq u \leq p^{k-1} - 1\}$ .

Then there exists  $0 \leq b \leq p^{k-1} - 1$  such that  $a = (\bar{0}, \bar{bp}) = \bar{b}(\bar{0}, \bar{p})$ .

Since  $0 \leq b \leq p^{k-1} - 1$ , then  $\bar{b} \in \mathbb{Z}_{p^k}$ .

Thus  $a \in \mathbb{Z}_{p^k}(\bar{0}, \bar{p})$  and  $\{(\bar{0}, \bar{x}) \mid x = up ; \text{ where } 0 \leq u \leq p^{k-1} - 1\} \subseteq \mathbb{Z}_{p^k}(\bar{0}, \bar{p})$ .

Thus we get  $\mathbb{Z}_{p^k}(\bar{0}, \bar{p}) = \{(\bar{0}, \bar{x}) \mid x = up ; \text{ where } 0 \leq u \leq p^{k-1} - 1\}$ .

Next, we show that  $|\mathbb{Z}_{p^k}(\bar{0}, \bar{p})| = p^{k-1}$ .

Let  $(\bar{0}, \bar{x}_1) = (\bar{0}, \bar{x}_2)$ , then there is  $0 \leq y_1, y_2 \leq p^{k-1} - 1$  such that  $x_1 = y_1p$  and  $x_2 = y_2p$ .

Suppose that  $y_1 \geq y_2$ . Then  $0 \leq y_1 - y_2 \leq p^{k-1} - 1$ .

Thus  $(\bar{0}, \bar{y}_1p) = (\bar{0}, \bar{y}_2p)$ , so  $\bar{y}_1p = \bar{y}_2p$  and  $\bar{0} = \bar{y}_1p - \bar{y}_2p = (\bar{y}_1 - \bar{y}_2)p$ .

Hence  $\bar{y}_1 - \bar{y}_2 = \bar{0}$  since  $0 \leq y_1 - y_2 \leq p^{k-1} - 1$ .

Thus  $y_1 = y_2$ .

Hence  $\{(\bar{0}, \bar{x}) \mid x = up ; \text{ where } 0 \leq u \leq p^{k-1} - 1\}$  has order  $p^{k-1}$ .

This means that  $|\mathbb{Z}_{p^k}(\bar{0}, \bar{p})| = p^{k-1}$ .

(2) By using the same proof as given in (1), we get

$\mathbb{Z}_{p^k}(\bar{p}, \bar{0}) = \{(\bar{y}, \bar{0}) \mid y = vp ; \text{ where } 0 \leq v \leq p^{k-1} - 1\}$  and  $|\mathbb{Z}_{p^k}(\bar{p}, \bar{0})| = p^{k-1}$ .  $\square$

**Lemma 3.2.9.** *Let  $V = \mathbb{Z}_{p^k} \oplus \mathbb{Z}_{p^k}$  and  $M$  a maximal submodule of  $V$ . Then  $M$  contains elements  $x, y$  such that  $|x| = p^k$ ,  $|y| = p^{k-1}$  and  $M = \langle x \rangle \oplus \langle y \rangle$ .*

**Proof.** Let  $M$  be a maximal submodule of  $V$ .

By Proposition 3.2.7. we get  $(\bar{1}, \bar{g})$  or  $(\bar{g}', \bar{1}) \in M$  where  $0 \leq g, g' < p^k$ .

We consider in two cases.

**Case i:**  $(\bar{1}, \bar{g}) \in M$  where  $0 \leq g < p^k$ .

We defined  $f : M \rightarrow M$  by

$$f((\bar{x}, \bar{y})) = (\bar{x}, \bar{xg})$$

Let  $(\bar{x}_1, \bar{y}_1), (\bar{x}_2, \bar{y}_2) \in M$  and  $(\bar{x}_1, \bar{y}_1) = (\bar{x}_2, \bar{y}_2)$ .

So  $\bar{x}_1 = \bar{x}_2, \bar{y}_1 = \bar{y}_2$  and  $\bar{x}_1 \bar{g} = \bar{x}_2 \bar{g}$ .

We get,  $f((\bar{x}_1, \bar{y}_1)) = (\bar{x}_1, \bar{x}_1 \bar{g}) = (\bar{x}_2, \bar{x}_2 \bar{g}) = f((\bar{x}_2, \bar{y}_2))$ .

Thus  $f$  is well-defined.

Let  $K = \{\bar{y} \mid (\bar{0}, \bar{y}) \in \text{Ker } f \text{ and } 0 \leq y < p^k\}$  and  $K' = \{y \mid \bar{y} \in K\}$ .

Thus  $K' \subseteq \mathbb{N} \cup \{0\}$ .

Let  $d$  be the smallest positive element in  $K'$ .

We claim that  $\text{Ker } f = \{(\bar{0}, \bar{y}) \mid \bar{y} \in \mathbb{Z}_{p^k}\} \cap M = \mathbb{Z}_{p^k}(\bar{0}, \bar{d})$ .

Since  $f((\bar{0}, \bar{y})) = (\bar{0}, \bar{0g}) = (\bar{0}, \bar{0})$ , it follows that  $\{(\bar{0}, \bar{y}) \mid \bar{y} \in \mathbb{Z}_{p^k}\} \cap M \subseteq \text{Ker } f$ .

Let  $(\bar{a}, \bar{b}) \in \text{Ker } f$ .

Thus  $(\bar{a}, \bar{ag}) = f((\bar{a}, \bar{b})) = (\bar{0}, \bar{0})$ , so  $\bar{a} = \bar{0}$  and

$(\bar{a}, \bar{b}) = (\bar{0}, \bar{b}) \in \{(\bar{0}, \bar{y}) \mid \bar{y} \in \mathbb{Z}_{p^k}\} \cap M$ .

Thus  $\text{Ker } f = \{(\bar{0}, \bar{y}) \mid \bar{y} \in \mathbb{Z}_{p^k}\} \cap M$ .

Let  $a \in \mathbb{Z}_{p^k}(\bar{0}, \bar{d})$ .

Then  $a = e(\bar{0}, \bar{d}) = (\bar{0}, \bar{ed})$  where  $e \in \mathbb{Z}_{p^k}$ , so  $f(a) = f(\bar{0}, \bar{ed}) = (\bar{0}, \bar{0g}) = (\bar{0}, \bar{0})$ .

Thus  $a \in \text{Ker } f$  and  $\mathbb{Z}_{p^k}(\bar{0}, \bar{d}) \subseteq \text{Ker } f$ .

Let  $(\bar{0}, \bar{m}) \in \text{Ker } f$ .

Consider  $m$  and  $d$ , by division algorithm we get  $m = xd + r$  for some  $x \in \mathbb{Z}$ ,

$0 \leq r < d$ .

Since  $(\bar{0}, \bar{xd})$  and  $(\bar{0}, \bar{m}) \in \text{Ker } f$ , then  $(\bar{0}, \bar{r}) \in \text{Ker } f$ .

If  $r \neq 0$ , then  $r \in K'$  and  $r < d$  which is a contradiction.

This implies that  $\bar{m} = \bar{xd} \in \mathbb{Z}_{p^k}$  and so  $(\bar{0}, \bar{m}) = x(\bar{0}, \bar{d}) \in \mathbb{Z}(\bar{0}, \bar{d})$ .



But by Proposition 3.2.5.  $\mathbb{Z}(\bar{0}, \bar{d}) = \mathbb{Z}_{p^k}(\bar{0}, \bar{d})$ , so  $(\bar{0}, \bar{m}) \in \mathbb{Z}_{p^k}(\bar{0}, \bar{d})$ .

Thus  $\text{Ker } f \subseteq \mathbb{Z}_{p^k}(\bar{0}, \bar{d})$ .

Therefor  $\text{Ker } f = \{(\bar{0}, \bar{y}) \mid \bar{y} \in \mathbb{Z}_{p^k}\} \cap M = \mathbb{Z}_{p^k}(\bar{0}, \bar{d})$  where  $d$  is the smallest positive element in  $K'$ .

Next, we show that  $f$  is an idempotent endomorphism of  $M$ .

Let  $(\bar{x}, \bar{y}) \in M$ . We get

$$f f((\bar{x}, \bar{y})) = f((\bar{x}, \overline{xg})) = (\bar{x}, \overline{xg}) = f((\bar{x}, \bar{y})).$$

Thus  $f$  is an idempotent.

Let  $\bar{n} \in \mathbb{Z}_{p^k}$ ,  $(\bar{x}, \bar{y}) \in M$ . We have,

$$\bar{n} f((\bar{x}, \bar{y})) = \bar{n}(\bar{x}, \overline{xg}) = (\overline{n\bar{x}}, \overline{n\bar{x}g}) \text{ and}$$

$$f(\bar{n}(\bar{x}, \bar{y})) = f((\overline{n\bar{x}}, \overline{n\bar{y}})) = (\overline{n\bar{x}}, \overline{n\bar{x}g}).$$

Thus  $f \in M_{\mathbb{Z}_{p^k}}(M)$ .

Next, we show that  $f \in \text{End}_{\mathbb{Z}_{p^k}}(M)$ .

Let  $(\bar{x}_1, \bar{y}_1), (\bar{x}_2, \bar{y}_2) \in M$ . We get,

$$f((\bar{x}_1, \bar{y}_1) + (\bar{x}_2, \bar{y}_2)) = f((\bar{x}_1 + \bar{x}_2, \bar{y}_1 + \bar{y}_2)) = (\overline{x_1 + x_2}, \overline{(x_1 + x_2)g}) \text{ and}$$

$$f((\bar{x}_1, \bar{y}_1)) + f((\bar{x}_2, \bar{y}_2)) = (\overline{x_1}, \overline{x_1g}) + (\overline{x_2}, \overline{x_2g}) = (\overline{x_1 + x_2}, \overline{(x_1 + x_2)g}).$$

Thus  $f((\bar{x}_1, \bar{y}_1) + (\bar{x}_2, \bar{y}_2)) = f((\bar{x}_1, \bar{y}_1)) + f((\bar{x}_2, \bar{y}_2))$  and so  $f \in \text{End}_{\mathbb{Z}_{p^k}}(M)$ .

Now, we show that  $\text{Im } f = \mathbb{Z}_{p^k}(\bar{1}, \bar{g})$ .

By the definition of  $f$  we see that  $\text{Im } f$  is a submodule of  $\mathbb{Z}_{p^k}(\bar{1}, \bar{g})$ .

Let  $(\bar{a}, \bar{b}) \in \mathbb{Z}_{p^k}(\bar{1}, \bar{g})$ , so  $(\bar{a}, \bar{b}) = \bar{m}(\bar{1}, \bar{g})$  for some  $\bar{m} \in \mathbb{Z}_{p^k}$ .

And  $f((\bar{m}, \overline{mg})) = (\bar{m}, \overline{mg}) = (\bar{a}, \bar{b})$ , then  $(\bar{a}, \bar{b}) \in \text{Im } f$ .

Thus  $\text{Im } f = \mathbb{Z}_{p^k}(\bar{1}, \bar{g})$ .

By Lemma 2.3.3,  $M = \mathbb{Z}_{p^k}(\bar{1}, \bar{g}) \oplus \mathbb{Z}_{p^k}(\bar{0}, \bar{d}) = \langle (\bar{1}, \bar{g}) \rangle \oplus \langle (\bar{0}, \bar{d}) \rangle$  where  $0 \leq g < p^k$ .

Since  $|M| = p^{2k-1}$  and  $\gcd(1, p) = 1$ , then  $|\langle (\bar{1}, \bar{g}) \rangle| = p^k$  and  $|\langle (\bar{0}, \bar{d}) \rangle| = p^{k-1}$ .

**Case ii:**  $(\bar{g}', \bar{1}) \in M$  where  $0 \leq g' < p^k$ .

We defined  $f : M \rightarrow M$  by

$$f((\bar{x}, \bar{y})) = (\overline{yg'}, \bar{y})$$

Let  $L = \{\bar{x} \mid (\bar{x}, \bar{0}) \in \text{Ker } f \text{ and } 0 \leq x < p^k\}$  and  $L' = \{x \mid \bar{x} \in K\}$ .

Let  $c$  be the smallest positive element in  $L'$ .

Then  $f$  is an idempotent endomorphism with



$\text{Ker} f = \{(\bar{x}, \bar{0}) | \bar{x} \in \mathbb{Z}_{p^k}\} \cap M = \mathbb{Z}_{p^k}(\bar{c}, \bar{0})$  where  $c$  is the smallest positive element in  $L'$ .

By the same prove as we give in case i, we get

$$M = \mathbb{Z}_{p^k}(\bar{g}', \bar{1}) \oplus \mathbb{Z}_{p^k}(\bar{c}, \bar{0}) = \langle(\bar{g}', \bar{1})\rangle \oplus \langle(\bar{c}, \bar{0})\rangle \text{ where } 0 \leq g' < p^k.$$

Since  $|M| = p^{2k-1}$  and  $\gcd(1, p) = 1$ , then  $|(\bar{g}', \bar{1})| = p^k$  and  $|(\bar{c}, \bar{0})| = p^{k-1}$ .  $\square$

By Lemma 3.2.8. and in case i of Lemma 3.2.9, we get  $\mathbb{Z}_{p^k}(\bar{0}, \bar{p}) \subseteq \text{Ker} f = \mathbb{Z}_{p^k}(\bar{0}, \bar{d})$ , so  $\mathbb{Z}_{p^k}(\bar{0}, \bar{p}) = \mathbb{Z}_{p^k}(\bar{0}, \bar{d})$  since they have the same order  $p^{k-1}$ .

Similarly, we get  $\mathbb{Z}_{p^k}(\bar{p}, \bar{0}) = \mathbb{Z}_{p^k}(\bar{c}, \bar{0})$ .

**Lemma 3.2.10.** *If  $V = \mathbb{Z}_{p^k} \oplus \mathbb{Z}_{p^k}$  is a module over the ring  $\mathbb{Z}_{p^k}$ , then all distinct maximal submodules of  $V$  are of the forms  $\langle(\bar{1}, \bar{t})\rangle \oplus \langle(\bar{0}, \bar{p})\rangle$  where  $0 \leq t \leq p-1$  and  $\langle(\bar{0}, \bar{1})\rangle \oplus \langle(\bar{p}, \bar{0})\rangle$ .*

**Proof.** Let  $M$  be a maximal submodule of  $V$ . Thus  $|M| = p^{2k-1}$ .

Then  $M = \langle(\bar{1}, \bar{t})\rangle \oplus \langle(\bar{0}, \bar{p})\rangle$  or  $\langle(\bar{t}', \bar{1})\rangle \oplus \langle(\bar{p}, \bar{0})\rangle$  where  $\bar{t}, \bar{t}' \in \mathbb{Z}_{p^k}$ .

We claim that  $\langle(\bar{1}, \bar{t})\rangle \oplus \langle(\bar{0}, \bar{p})\rangle = \langle(\bar{1}, \bar{s})\rangle \oplus \langle(\bar{0}, \bar{p})\rangle$  where  $0 \leq s \leq p-1$ .

Consider  $\langle(\bar{1}, \bar{t})\rangle \oplus \langle(\bar{0}, \bar{p})\rangle$ , we have

$$t = ap + s \text{ for some } a \in \mathbb{Z}, 0 \leq s \leq p-1 \text{ by division algorithm.}$$

$$(\bar{1}, \bar{t}) = (\bar{1}, \overline{ap+s}) = (\bar{1}, \bar{s}) + \bar{a}(\bar{0}, \bar{p}) \in \langle(\bar{1}, \bar{s})\rangle \oplus \langle(\bar{0}, \bar{p})\rangle. \text{ So } \langle(\bar{1}, \bar{t})\rangle \oplus \langle(\bar{0}, \bar{p})\rangle \subseteq \langle(\bar{1}, \bar{s})\rangle \oplus \langle(\bar{0}, \bar{p})\rangle.$$

Since  $\langle(\bar{1}, \bar{t})\rangle \oplus \langle(\bar{0}, \bar{p})\rangle$  and  $\langle(\bar{1}, \bar{s})\rangle \oplus \langle(\bar{0}, \bar{p})\rangle$  have order  $p^{2k-1}$ ,

thus  $\langle(\bar{1}, \bar{t})\rangle \oplus \langle(\bar{0}, \bar{p})\rangle = \langle(\bar{1}, \bar{s})\rangle \oplus \langle(\bar{0}, \bar{p})\rangle$  where  $0 \leq s \leq p-1$ .

For the case  $M = \langle(\bar{t}', \bar{1})\rangle \oplus \langle(\bar{p}, \bar{0})\rangle$ ,

we claim that  $\langle(\bar{t}', \bar{1})\rangle \oplus \langle(\bar{p}, \bar{0})\rangle = \langle(\bar{r}, \bar{1})\rangle \oplus \langle(\bar{p}, \bar{0})\rangle$  where  $0 \leq r \leq p-1$ .

Consider  $\langle(\bar{t}', \bar{1})\rangle \oplus \langle(\bar{p}, \bar{0})\rangle$ , we have

$$t' = bp + r \text{ for some } b \in \mathbb{Z}, 0 \leq r \leq p-1 \text{ by division algorithm.}$$

$$(\bar{t}', \bar{1}) = (\overline{bp+r}, \bar{1}) = (\bar{r}, \bar{1}) + \bar{b}(\bar{p}, \bar{0}) \in \langle(\bar{r}, \bar{1})\rangle \oplus \langle(\bar{p}, \bar{0})\rangle. \text{ Hence } \langle(\bar{t}', \bar{1})\rangle \oplus \langle(\bar{p}, \bar{0})\rangle \subseteq \langle(\bar{r}, \bar{1})\rangle \oplus \langle(\bar{p}, \bar{0})\rangle.$$

Since  $\langle(\bar{t}', \bar{1})\rangle \oplus \langle(\bar{p}, \bar{0})\rangle$  and  $\langle(\bar{r}, \bar{1})\rangle \oplus \langle(\bar{p}, \bar{0})\rangle$  have order  $p^{2k-1}$ ,

thus  $\langle(\bar{t}', \bar{1})\rangle \oplus \langle(\bar{p}, \bar{0})\rangle = \langle(\bar{r}, \bar{1})\rangle \oplus \langle(\bar{p}, \bar{0})\rangle$  where  $0 \leq r \leq p-1$ .

Consider  $\langle(\bar{r}, \bar{1})\rangle \oplus \langle(\bar{p}, \bar{0})\rangle$  where  $0 \leq r \leq p-1$ .

If  $r = 0$ , then  $\langle(\bar{r}, \bar{1})\rangle \oplus \langle(\bar{p}, \bar{0})\rangle = \langle(\bar{0}, \bar{1})\rangle \oplus \langle(\bar{p}, \bar{0})\rangle$ .

If  $r \neq 0$ , then  $\gcd(r, p) = 1$ , there exists  $\bar{c} \in \mathbb{Z}_{p^k}$  such that  $\bar{c}\bar{r} = \bar{1} \in \mathbb{Z}_{p^k}$  and  $(\bar{r}, \bar{1}) = \bar{r}(\bar{1}, \bar{c}) \in \langle(\bar{1}, \bar{c})\rangle \oplus \langle(\bar{p}, \bar{0})\rangle$ .

Since  $\langle(\bar{r}, \bar{1})\rangle \oplus \langle(\bar{p}, \bar{0})\rangle$  and  $\langle(\bar{1}, \bar{c})\rangle \oplus \langle(\bar{p}, \bar{0})\rangle$  have order  $p^{2k-1}$ , thus  $\langle(\bar{r}, \bar{1})\rangle \oplus \langle(\bar{p}, \bar{0})\rangle = \langle(\bar{1}, \bar{c})\rangle \oplus \langle(\bar{p}, \bar{0})\rangle$ .

Next, consider  $\langle(\bar{1}, \bar{c})\rangle \oplus \langle(\bar{p}, \bar{0})\rangle$ , we get

$$c = dp + g \text{ for some } d \in \mathbb{Z}, 0 \leq g \leq p-1.$$

Then  $(\bar{1}, \bar{c}) = (\bar{1}, \overline{dp+g}) = (\bar{1}, \bar{g}) + \bar{d}(\bar{0}, \bar{p})$  and we have  $(\bar{p}, \bar{0}) = \bar{p}(\bar{1}, \bar{g}) + (-\bar{g})(\bar{0}, \bar{p})$ .

Since  $\langle(\bar{1}, \bar{c})\rangle \oplus \langle(\bar{p}, \bar{0})\rangle$  and  $\langle(\bar{1}, \bar{g})\rangle \oplus \langle(\bar{0}, \bar{p})\rangle$  have order  $p^{2k-1}$ , we have  $\langle(\bar{1}, \bar{c})\rangle \oplus \langle(\bar{p}, \bar{0})\rangle = \langle(\bar{1}, \bar{g})\rangle \oplus \langle(\bar{0}, \bar{p})\rangle$  where  $0 \leq g \leq p-1$ .

Hence maximal submodules of  $V$  are of the form

$$\langle(\bar{1}, \bar{t})\rangle \oplus \langle(\bar{0}, \bar{p})\rangle \text{ where } 0 \leq t \leq p-1$$

$$\text{and } \langle(\bar{0}, \bar{1})\rangle \oplus \langle(\bar{p}, \bar{0})\rangle.$$

□

Note that if  $V = \mathbb{Z}_p \oplus \mathbb{Z}_p$ , then  $M$  are of the forms  $\langle(\bar{1}, \bar{t})\rangle \oplus \langle(\bar{0}, \bar{0})\rangle$  where  $0 \leq t \leq p-1$  or  $\langle(\bar{0}, \bar{1})\rangle \oplus \langle(\bar{0}, \bar{0})\rangle$ . □

**Lemma 3.2.11.** For each  $i, u, v \in \mathbb{N}$ ,  $M_i$  and  $K_{uv}(t)$  where  $1 \leq t \leq p-1$  are maximal submodules of  $V = \mathbb{Z}_{p^k}^{(\mathbb{N})}$ .

**Proof.** Let  $\pi_j : \mathbb{Z}_{p^k}^{(\mathbb{N})} \rightarrow \mathbb{Z}_{p^k}$  be the projection map.

Let  $M_i \subseteq G \subseteq V$  with  $G \neq M_i$ .

We show that  $G = V$ .

Since  $\pi_j(M_i) = \mathbb{Z}_{p^k}$  for all  $j \neq i$ , then  $\pi_j(G) = \mathbb{Z}_{p^k}$  for all  $j \neq i$  since  $M_i \subseteq G$ .

At the component  $i$  we get  $\pi_j(M_i) = \langle\bar{p}\rangle$ . But  $\langle\bar{p}\rangle = \pi_j(M_i) \subsetneq \pi_j(G)$ , then  $\pi_j(G) = \mathbb{Z}_{p^k}$  since  $\langle\bar{p}\rangle$  is maximal ideal of  $\mathbb{Z}_{p^k}$ .

Thus we get  $\pi_j(G) = \mathbb{Z}_{p^k}$  for all  $j \in \mathbb{N}$ , then  $G = V$ .

Therefore  $M_i$  is a maximal submodule of  $V$ .

Let  $K_{uv}(t) \subseteq S \subseteq V$  with  $S \neq V, 1 \leq t \leq p-1$ .

Next, we show that  $S = K_{uv}(t)$

Since  $K_{uv}(t) = \{(\bar{x}_1, \bar{x}_2, \bar{x}_3, \dots) | (\bar{x}_u, \bar{x}_v) \in \langle(\bar{1}, \bar{t}) \oplus (\bar{0}, \bar{p})\rangle\}$ .

Then  $\pi_j(K_{uv}(t)) = \mathbb{Z}_{p^k}$  for all  $j \neq u, v$ .

Thus we get  $\pi_j(S) = \mathbb{Z}_{p^k}$  for all  $j \neq u, v$  since  $K_{uv}(t) \subseteq S$ .

For each  $(\bar{s}_k) \in S$ .

Consider at components  $u, v$  of  $(\bar{s}_k) \in S$ .

If  $(\bar{s}_u, \bar{s}_v) \notin \langle(\bar{1}, \bar{t}) \oplus (\bar{0}, \bar{p})\rangle$ , then we get  $\langle(\bar{1}, \bar{t}) \oplus (\bar{0}, \bar{p})\rangle + \langle(\bar{s}_u, \bar{s}_v)\rangle \subseteq \pi_u(S) \oplus \pi_v(S)$  since  $K_{uv}(t) \subseteq S$ .

By the maximality of  $\langle(\bar{1}, \bar{t}) \oplus (\bar{0}, \bar{p})\rangle$ , we get  $\mathbb{Z}_{p^k} \oplus \mathbb{Z}_{p^k} = \langle(\bar{1}, \bar{t}) \oplus (\bar{0}, \bar{p})\rangle + \langle(\bar{s}_u, \bar{s}_v)\rangle \subseteq \pi_u(S) \oplus \pi_v(S)$ , then  $\pi_u(S) \oplus \pi_v(S) = \mathbb{Z}_{p^k} \oplus \mathbb{Z}_{p^k}$ , so  $S = V$ , a contradiction.

Then  $(\bar{s}_u, \bar{s}_v) \in \langle(\bar{1}, \bar{t}) \oplus (\bar{0}, \bar{p})\rangle$ ,  $S \subseteq K_{uv}(t)$ .

Thus  $K_{uv}(t)$  where  $1 \leq t \leq p-1$  are maximal submodules of  $V$ .  $\square$

**Proposition 3.2.12.** Let  $V = \mathbb{Z}_{p^k}^{(\mathbb{N})}$ . Then maximal submodules of  $V$  are of the form  $M_i$  or  $K_{uv}(t)$  where  $1 \leq t \leq p-1$ .

**Proof.** Let  $W$  be a maximal submodule of  $V$ .

For each  $u, v \in \mathbb{N}$ , let

$$W_{uv} = \{(\bar{x}_u, \bar{x}_v) \in \mathbb{Z}_{p^k} \oplus \mathbb{Z}_{p^k} \mid \text{there is } (\bar{a}_i) \in W \text{ such that } \bar{x}_u = \bar{a}_u \text{ and } \bar{x}_v = \bar{a}_v\}.$$

We prove that  $W_{uv}$  is a submodule of  $\mathbb{Z}_{p^k} \oplus \mathbb{Z}_{p^k}$ .

Let  $(\bar{b}_u, \bar{b}_v), (\bar{c}_u, \bar{c}_v) \in W_{uv}$ , then there exists  $(-\bar{c}_u, -\bar{c}_v) \in W_{uv}$  such that

$$\bar{c}_u + (-\bar{c}_u) = \bar{0} \text{ and } \bar{c}_v + (-\bar{c}_v) = \bar{0} \text{ since } W \text{ is subgroup of } V.$$

Then  $(\bar{b}_u, \bar{b}_v) + (-\bar{c}_u, -\bar{c}_v) = (\bar{b}_u - \bar{c}_u, \bar{b}_v - \bar{c}_v) \in W_{uv}$  because  $W$  is subgroup of  $V$ .

Hence  $W_{uv}$  is a subgroup of  $\mathbb{Z}_{p^k} \oplus \mathbb{Z}_{p^k}$ .

Let  $\bar{s} \in \mathbb{Z}_{p^k}$  and  $(\bar{x}_u, \bar{x}_v) \in W_{uv}$ .

If  $\bar{s} = \bar{0}$ ,  $\bar{s}(\bar{x}_u, \bar{x}_v) = \bar{0}(\bar{x}_u, \bar{x}_v) = (\bar{0}, \bar{0}) \in W_{uv}$ .

$$\text{If } \bar{1} \leq \bar{s} < \bar{p}^k, \text{ then } \bar{s}(\bar{x}_u, \bar{x}_v) = s(\bar{x}_u, \bar{x}_v) = \underbrace{(\bar{x}_u, \bar{x}_v) + (\bar{x}_u, \bar{x}_v) + \dots + (\bar{x}_u, \bar{x}_v)}_{s \text{ times}}.$$

Since  $(\bar{x}_u, \bar{x}_v) \in W_{uv}$  and  $W_{uv}$  is a group,  $(\bar{x}_u, \bar{x}_v) + (\bar{x}_u, \bar{x}_v) + \dots + (\bar{x}_u, \bar{x}_v) \in W_{uv}$ .

Thus  $\bar{s}(\bar{x}_u, \bar{x}_v) \in W_{uv}$  for all  $\bar{s} \in \mathbb{Z}_{p^k}$  and  $(\bar{x}_u, \bar{x}_v) \in W_{uv}$ .

Therefore  $W_{uv}$  is a submodule of  $\mathbb{Z}_{p^k} \oplus \mathbb{Z}_{p^k}$ .

Since  $\mathbb{Z}_{p^k} \oplus \mathbb{Z}_{p^k}$  is finitely generated, every submodule of  $\mathbb{Z}_{p^k} \oplus \mathbb{Z}_{p^k}$  is contained in a maximal submodule. Thus  $W_{uv} \subseteq \langle(\bar{1}, \bar{t})\rangle \oplus \langle(\bar{0}, \bar{p})\rangle$  where  $1 \leq t \leq p-1$

or  $W_{uv} \subseteq \langle (\bar{0}, \bar{1}) \rangle \oplus \langle (\bar{p}, \bar{0}) \rangle$ , by Lemma 3.2.10. Therefore  $W \subseteq K_{uv}(t)$  where  $1 \leq t \leq p-1$  or  $W \subseteq M_u$ . Because  $W$  is maximal, so  $W = K_{uv}(t)$  or  $W = M_u$  where  $1 \leq t \leq p-1$ .  $\square$

**Proposition 3.2.13.** *If  $V = \mathbb{Z}_{p^k}^{(\mathbb{N})}$ , then  $f\ln(V) \neq 0$ .*

**Proof.** Since  $\mathbb{Z}_{p^k}$  is a local ring having  $\langle \bar{p} \rangle$  as a unique maximal ideal with  $\text{Ann}(\langle \bar{p} \rangle) \neq 0$ , then  $f\ln(V) \neq 0$  by Proposition 3.1.4.  $\square$

**Lemma 3.2.14.** *Let  $V = \mathbb{Z}_{p^k}^{(\mathbb{N})}$ . Then*

- (i)  $V = M_i \cup M_j \cup (\cup_{t=1}^{p-1} K_{ij}(t))$ .
- (ii)  $M_i \cap M_j \cap (\cap_{t=1}^{p-1} K_{ij}(t)) = \langle e_1, e_2, \dots, e_{i-1}, pe_i, e_{i+1}, \dots, e_{j-1}, pe_j, e_{j+1}, \dots \rangle$ .

**Proof.** (i) Let  $v \in V$ .

Thus  $v = v_1e_1 + v_2e_2 + \dots + v_me_m$ , we consider in two cases :

**Case i:**  $\bar{v}_i \in \langle \bar{p} \rangle$  or  $\bar{v}_j \in \langle \bar{p} \rangle$ . Then we get  $v \in M_i \cup M_j$ .

**Case ii:**  $\bar{v}_i \notin \langle \bar{p} \rangle$  and  $\bar{v}_j \notin \langle \bar{p} \rangle$ .

Then  $\gcd(p, v_i) = 1$ . This means that  $\langle \bar{v}_i \rangle = \mathbb{Z}_{p^k}$ .

Then there exists  $\bar{n} \in \mathbb{Z}_{p^k}$  such that  $\bar{v}_i \bar{n} = \bar{v}_j$ .

Thus

$$\begin{aligned} v &= v_1e_1 + v_2e_2 + \dots + v_{i-1}e_{i-1} + v_ie_i + v_{i+1}e_{i+1} + \dots + v_{j-1}e_{j-1} \\ &\quad + (v_in)e_j + v_{j+1}e_{j+1} + \dots + v_me_m \quad (\text{since } \bar{v}_j = \bar{v}_i \bar{n}) \\ &= v_1e_1 + v_2e_2 + \dots + v_{i-1}e_{i-1} + v_i(e_i + ne_j) + v_{i+1}e_{i+1} + \dots + \\ &\quad v_{j-1}e_{j-1} + v_{j+1}e_{j+1} + \dots + v_me_m. \end{aligned}$$

By division algorithm, there exist,  $s, l \in \mathbb{Z}$  such that  $1 \leq s \leq p-1$  and  $n = lp + s$  since  $p \nmid v_j$ .

Thus we have

$$\begin{aligned}
 v &= v_1e_1 + v_2e_2 + \cdots + v_{i-1}e_{i-1} + v_i(e_i + (s + lp)e_j) + v_{i+1}e_{i+1} + \cdots \\
 &\quad + v_{j-1}e_{j-1} + v_{j+1}e_{j+1} + \cdots + v_me_m \\
 &= v_1e_1 + v_2e_2 + \cdots + v_{i-1}e_{i-1} + v_i(e_i + se_j) + v_{i+1}e_{i+1} + \cdots + v_{j-1}e_{j-1} \\
 &\quad + v_i(lpe_j) + v_{j+1}e_{j+1} + \cdots + v_me_m,
 \end{aligned}$$

so that  $v \in K_{ij}(s)$  where  $1 \leq s \leq p-1$ .

Thus from both cases we get  $V \subseteq M_i \cup M_j \cup (\cup_{t=1}^{p-1} K_{ij}(t))$ .

Therefore  $V = M_i \cup M_j \cup (\cup_{t=1}^{p-1} K_{ij}(t))$ .

(ii) Let  $a \in \langle e_1, e_2, \dots, e_{i-1}, pe_i, e_{i+1}, \dots, e_{j-1}, pe_j, e_{j+1}, \dots \rangle$  and  $1 \leq t \leq p-1$ .

Then

$$\begin{aligned}
 a &= a_1e_1 + a_2e_2 + \cdots + a_{i-1}e_{i-1} + a_i(pe_i) + a_{i+1}e_{i+1} + \cdots + a_{j-1}e_{j-1} \\
 &\quad + a_j(pe_j) + a_{j+1}e_{j+1} + \cdots + a_me_m \\
 &= (\bar{a}_1, \bar{a}_2, \dots, \bar{a}_{i-1}, \bar{a}_ip, \bar{a}_{i+1}, \dots, \bar{a}_{j-1}, \bar{a}_jp, \bar{a}_{j+1}, \dots).
 \end{aligned}$$

Thus  $a \in M_i \cap M_j$ .

We have  $p|(a_jp - a_ipt)$  for all  $1 \leq t \leq p-1$  since  $p|a_ipt$  and  $p|a_jp$ .

Then there exists  $a'_i \in \mathbb{Z}$  for all  $1 \leq t \leq p-1$  such that  $a'_ip = a_jp - a_ipt$ .

Hence  $a_jp = (a_it + a'_i)p$ .

Thus

$$\begin{aligned}
 a &= (\bar{a}_1, \bar{a}_2, \dots, \bar{a}_{i-1}, \bar{a}_ip, \bar{a}_{i+1}, \dots, \bar{a}_{j-1}, \overline{(a_it + a'_i)p}, \bar{a}_{j+1}, \dots) \\
 &= a_1e_1 + a_2e_2 + \cdots + a_{i-1}e_{i-1} + a_ipe_i + a_{i+1}e_{i+1} + \cdots + a_{j-1}e_{j-1} \\
 &\quad + ((a_it + a'_i)p)e_j + a_{j+1}e_{j+1} + \cdots + a_me_m \\
 &= a_1e_1 + a_2e_2 + \cdots + a_{i-1}e_{i-1} + a_ip(e_i + te_j) + a_{i+1}e_{i+1} + \cdots + a_{j-1}e_{j-1} \\
 &\quad + a'_i(pe_j) + a_{j+1}e_{j+1} + \cdots + a_me_m.
 \end{aligned}$$

Then  $a \in K_{ij}(t)$  for all  $1 \leq t \leq p-1$ . So

$$\langle e_1, e_2, \dots, e_{i-1}, pe_i, e_{i+1}, \dots, e_{j-1}, pe_j, e_{j+1}, \dots \rangle \subseteq M_i \cap M_j \cap (\cap_{t=1}^{p-1} K_{ij}(t)).$$

It is clear that  $M_i \cap M_j \subseteq \langle e_1, e_2, \dots, e_{i-1}, pe_i, e_{i+1}, \dots, e_{j-1}, pe_j, e_{j+1}, \dots \rangle$ .

Next, we show that  $\cap_{t=1}^{p-1} K_{ij}(t) \subseteq \langle e_1, e_2, \dots, e_{i-1}, pe_i, e_{i+1}, \dots, e_{j-1}, pe_j, e_{j+1}, \dots \rangle$ .

Let  $x = (\bar{x}_i) \in \cap_{t=1}^{p-1} K_{ij}(t)$ .

Then  $x \in K_{ij}(t)$  for all  $1 \leq t \leq p-1$ .

Consider at components  $i, j$ .

Let  $\bar{x}_i = \bar{a}$ , then  $\bar{x}_j = \overline{at} + \overline{bp}$  where  $\bar{a}$  and  $\bar{b} \in \mathbb{Z}_{p^k}$ .

Thus  $\bar{x}_j = \bar{a} + \overline{b_1p} = \overline{2a} + \overline{b_2p} = \overline{3a} + \overline{b_3p} = \dots = \overline{(p-1)a} + \overline{b_{p-1}p}$  where  $\bar{b}_1, \bar{b}_2, \bar{b}_3, \dots, \bar{b}_{p-1} \in \mathbb{Z}_{p^k}$  since  $1 \leq t \leq p-1$ .

This means that  $\bar{a} + \overline{b_1p} = \overline{2a} + \overline{b_2p}$ , so  $\overline{b_1p} - \overline{b_2p} = \bar{a}$ .

Since  $\overline{b_1p} - \overline{b_2p} \in \mathbb{Z}_{p^k}p = \langle \bar{p} \rangle$ , then  $\bar{a} \in \langle \bar{p} \rangle$  and that  $\overline{at} \in \langle \bar{p} \rangle$  where  $1 \leq t \leq p-1$  since  $\langle \bar{p} \rangle$  is an ideal of  $\mathbb{Z}_{p^k}$ .

Thus  $\bar{x}_j = \overline{at} + \overline{bp} \in \langle \bar{p} \rangle$ .

Hence  $\bar{x}_i, \bar{x}_j \in \langle \bar{p} \rangle$ .

Consequently  $x \in \langle e_1, e_2, \dots, e_{i-1}, pe_i, e_{i+1}, \dots, e_{j-1}, pe_j, e_{j+1}, \dots \rangle$ .

Thus  $\cap_{t=1}^{p-1} K_{ij}(t) \subseteq \langle e_1, e_2, \dots, e_{i-1}, pe_i, e_{i+1}, \dots, e_{j-1}, pe_j, e_{j+1}, \dots \rangle$ .

Therefore  $M_i \cap M_j \cap (\cap_{t=1}^{p-1} K_{ij}(t)) = \langle e_1, e_2, \dots, e_{i-1}, pe_i, e_{i+1}, \dots, e_{j-1}, pe_j, e_{j+1}, \dots \rangle$ .  $\square$

Let  $M_i \cap M_j \cap (\cap_{t=1}^{p-1} K_{ij}(t)) = \langle e_1, e_2, \dots, e_{i-1}, pe_i, e_{i+1}, \dots, e_{j-1}, pe_j, e_{j+1}, \dots \rangle := B$  and  $g_t = e_i + te_j$  where  $1 \leq t \leq p-1$ . Then  $M_i = \langle B, e_j \rangle$ ,  $e_j \notin M_j \cup (\cup_{t=1}^{p-1} K_{ij}(t))$  and  $M_j = \langle B, e_i \rangle$ ,  $e_i \notin M_i \cup (\cup_{t=1}^{p-1} K_{ij}(t))$ .

**Lemma 3.2.15.** (i)  $K_{ij}(t) = \langle B, g_t \rangle$  for all  $1 \leq t \leq p-1$ .

(ii)  $g_t \notin M_i \cup M_j \cup (\cup_{s \neq t} K_{ij}(s))$  where  $1 \leq s \neq t \leq p-1$ .

**Proof.** (i) Let  $1 \leq t \leq p-1$  and  $a \in K_{ij}(t)$ .

$$\begin{aligned} \text{Then } a &= (\bar{a}_1, \bar{a}_2, \dots, \bar{a}_{i-1}, \bar{a}_i, \bar{a}_{i+1}, \dots, \bar{a}_{j-1}, \overline{a_i t} + \overline{a_j p}, \bar{a}_{j+1}, \dots) \\ &= (\bar{0}, \bar{0}, \dots, \bar{0}, \bar{a}_i, \bar{0}, \dots, \bar{0}, \overline{a_i t}, \bar{0}, \dots) + (\bar{a}_1, \bar{a}_2, \dots, \bar{a}_{i-1}, \bar{0}, \bar{a}_{i+1}, \dots, \bar{a}_{j-1}, \overline{a_j p}, \bar{a}_{j+1}, \dots) \\ &= a_i g_t + (\bar{a}_1, \bar{a}_2, \dots, \bar{a}_{i-1}, \bar{0}, \bar{a}_{i+1}, \dots, \bar{a}_{j-1}, \overline{a_j p}, \bar{a}_{j+1}, \dots). \end{aligned}$$

Then  $a \in \langle B, g_t \rangle$  since  $(\bar{a}_1, \bar{a}_2, \dots, \bar{a}_{i-1}, \bar{0}, \bar{a}_{i+1}, \dots, \bar{a}_{j-1}, \overline{a_j p}, \bar{a}_{j+1}, \dots) \in B$ .

Conversely, by above lemma we have  $B \subseteq K_{ij}(t)$  and  $g_t \in K_{ij}(t)$ , so  $\langle B, g_t \rangle \subseteq K_{ij}(t)$ .



Thus  $K_{ij}(t) = \langle B, g_t \rangle$  for all  $1 \leq t \leq p-1$ .

(ii) Obviously,  $g_t \notin M_i \cup M_j$  since  $g_t = e_i + te_j$  and  $1 \leq t \leq p-1$ .

Suppose that there exists  $s$  such that  $1 \leq s \neq t \leq p-1$  and  $g_t \in K_{ij}(s)$ .

Then there exists  $a \in K_{ij}(s)$  such that

$$g_t = a = (\bar{a}_1, \bar{a}_2, \dots, \bar{a}_{i-1}, \bar{a}_i, \bar{a}_{i+1}, \dots, \bar{a}_{j-1}, \bar{a}_i s + \bar{a}_j p, \bar{a}_{j+1}, \dots).$$

Consider components  $i$  and  $j$  of  $g_t$ , we get  $\bar{a}_i = \bar{1}$  and  $\bar{t} = \bar{a}_i s + \bar{a}_j p = \bar{s} + \bar{a}_j p$  where  $\bar{a}_j \in \mathbb{Z}_{p^k}$ .

If  $\bar{a}_j = \bar{0}$ , then  $\bar{t} = \bar{s}$  which implies  $s = t$ , a contradiction.

If  $\bar{a}_j \neq \bar{0}$ , then  $\bar{t} = \bar{s} + \bar{a}_j p \notin \{\bar{1}, \bar{2}, \dots, \overline{p-1}\}$ , a contradiction.

Thus we must have  $g_t \notin K_{ij}(s)$  for all  $s$  distinct from  $t$ .

Hence  $g_t \notin M_i \cup M_j \cup (\cup_{s \neq t} K_{ij}(s))$  where  $1 \leq s \neq t \leq p-1$ . □

**Proposition 3.2.16.** Let  $\mathfrak{S} = \{M_i, M_j, K_{ij}(1), K_{ij}(2), \dots, K_{ij}(p-2), K_{ij}(p-1)\}$ .

Then  $\mathfrak{S}$  does not force linearity on  $V = \mathbb{Z}_{p^k}^{(\mathbb{N})}$ .

**Proof.** Let  $S_1 = K_{ij}(1), S_2 = K_{ij}(2), \dots, S_{p-1} = K_{ij}(p-1), S_p = M_i, S_{p+1} = M_j$  and  $\bar{0} \neq a \in \text{Ann}(\langle \bar{p} \rangle)$ , then  $ag_1 \neq 0$  where  $g_1 = e_i + e_j$ . We have by Lemma 3.2.15. that  $K_{ij}(1) = \langle B, g_1 \rangle$ , so for convenience let  $B = \langle b_1, b_2, b_3, \dots \rangle$ .

We choose  $\overline{p^{k-1}} \in \text{Ann}(\langle \bar{p} \rangle)$  and define  $f : V \rightarrow V$  by

$$f(v) = \begin{cases} \beta p^{k-1} g_1 & , \quad v \in S_1 = K_{ij}(1) \text{ and } v = \sum_{y=1} \beta_y b_y + \beta g_1, \\ 0 & , \quad v \in S_x, x \neq 1. \end{cases}$$

We first show that  $f$  is well-defined.

If  $v \in S_1$  is also represented as  $v = \sum_{y=1} \gamma_y b_y + \gamma g_1$ , then we have  $(\beta - \gamma)g_1 \in B$ .

This means that  $\overline{\beta - \gamma} \in \langle \bar{p} \rangle$ , since if  $\overline{\beta - \gamma} \notin \langle \bar{p} \rangle$ , then  $\gcd(\beta - \gamma, p) = 1$ , we must have  $\langle \overline{\beta - \gamma} \rangle = \mathbb{Z}_{p^k}$ , so there exists  $m \in \mathbb{Z}_{p^k}$  such that  $m(\beta - \gamma) = \bar{1}$ , then  $g_1 = 1g_1 = m(\beta - \gamma)g_1 \in B$ , a contradiction.

Since  $p^{k-1} \in \text{Ann}(\langle \bar{p} \rangle)$ , then  $(\beta - \gamma)p^{k-1}g_1 = 0$ , i.e.,  $\beta p^{k-1}g_1 = \gamma p^{k-1}g_1$ .

Suppose that  $v \in S_1 \cap S_x, x \neq 1$ .

Thus  $v$  also has representation,  $v = \sum_{y=1} \delta_y b_y + \delta g_1$  since  $v \in S_1$ , then

$$v - \sum_{y=1} \delta_y b_y = \delta g_1.$$



Since  $\sum_{y=1} \delta_y b_y \in S_x$  by Lemma 3.2.14, then  $\delta g_1 \in S_x$ , which implies  $\bar{\delta} \in \langle \bar{p} \rangle$ , since if  $\bar{\delta} \notin \langle \bar{p} \rangle$ , then  $\gcd(\delta, p) = 1$ , we must have  $\langle \bar{\delta} \rangle = \mathbb{Z}_{p^k}$ , so there exists  $\bar{n} \in \mathbb{Z}_{p^k}$  such that  $\bar{n}(\bar{\delta}) = \bar{1}$ , then  $g_1 = 1g_1 = n(\delta)g_1 \in S_x$ , a contradiction by Lemma 3.2.15.

Consequently  $f(v) = \delta p^{k-1} e_j = 0$ .

Thus  $f$  is well-defined on  $V$ .

We note that  $f$  is not the zero function since  $f(g_1) = p^{k-1} g_1 \neq 0$ .

Next, we show that  $f \in M_{\mathbb{Z}_{p^k}}(\mathbb{Z}_{p^k}^{(\mathbb{N})})$ .

For  $v \in S_1$ , say  $v = \sum_{y=1} \beta_y b_y + \beta g_1$  and for any  $\bar{r} \in \mathbb{Z}_{p^k}$ , we have  $rv \in S_1$ , so  $rf(v) = r\beta p^{k-1} g_1 = f(rv)$ .

Now suppose  $v \in S_x, x \neq 1$ .

Then  $f(v) = 0$  and for any  $\bar{r} \in \mathbb{Z}_{p^k}$ ,  $rf(v) = 0$ .

Moreover,  $rv \in S_x$ , which implies  $f(rv) = 0$ .

Thus  $rf(v) = f(rv)$  for all  $\bar{r} \in \mathbb{Z}_{p^k}$  and  $v \in V$ .

Hence  $f \in M_{\mathbb{Z}_{p^k}}(\mathbb{Z}_{p^k}^{(\mathbb{N})})$ .

Next, we show that  $f$  is linear on each  $S_x$  in  $\mathfrak{S}$ .

For each  $v_1 = \sum_{y=1} \beta_y b_y + \beta g_1, v_2 = \sum_{y=1} \delta_y b_y + \delta g_1 \in S_1$ , we have  $f(v_1) = \beta p^{k-1} g_1$  and  $f(v_2) = \delta p^{k-1} g_1$ . Since  $S_1$  is a module,  $v_1 + v_2 \in S_1$ , so  $f(v_1 + v_2) = f(\sum_{y=1} (\delta_y + \beta_y) b_y + (\delta + \beta) g_1) = (\delta + \beta) p^{k-1} g_1 = \delta p^{k-1} g_1 + \beta p^{k-1} g_1 = f(v_1) + f(v_2)$ . Then  $f$  is linear on  $S_1$ .

Since  $f(S_x) = 0$  for all  $x \neq 1$ , then  $f$  is linear on  $S_x, x \neq 1$ .

Hence  $f$  is linear on each  $S_x$  in  $\mathfrak{S}$ .

Because  $g_1 + g_2 \notin S_1$  since if  $g_1 + g_2 \in S_1$ , we get  $g_2 = (g_1 + g_2) - g_1 \in S_1$ , a contradiction by Lemma 3.2.15.

However,  $f(g_1) + f(g_2) = p^{k-1} g_1 + 0 \neq 0 = f(g_1 + g_2)$ .

This shows that  $f \notin \text{End}_{\mathbb{Z}_{p^k}}(V)$ .

Thus  $\mathfrak{S}$  does not force linearity on  $V$ .  $\square$

Next, we show that  $f \ln(V) \leq p + 2$  where  $V = \mathbb{Z}_{p^k}^{(\mathbb{N})}$ .

**Proposition 3.2.17.** *Let  $V = \mathbb{Z}_{p^k}^{(\mathbb{N})}$ , then  $f \ln(V) \leq p + 2$ .*

**Proof.** Since  $V = \mathbb{Z}_{p^k}^{(\mathbb{N})}$  where  $\mathbb{Z}_{p^k}$  is a local ring and has  $\langle \bar{p} \rangle$  as a unique maximal ideal of  $\mathbb{Z}_{p^k}$ . Moreover  $\mathbb{Z}_{p^k}/\langle p \rangle$  is a field of cardinality  $p$ . Then by Theorem 3.1.10. we get  $f \ln(V) \leq p + 2$ .  $\square$

**Lemma 3.2.18.** *Let  $\cup_{i=1}^{\ell} S_i = V$  where  $S_i$  is a maximal submodule of  $V$  and  $\ell \leq p$ , then*

- (i)  $\langle \bar{p} \rangle V$  is a submodule of  $S_i$ .
- (ii)  $S_i / \langle \bar{p} \rangle V$  is a proper subspace of  $V / \langle \bar{p} \rangle V$  over the field  $\mathbb{Z}_{p^k} / \langle \bar{p} \rangle$ .
- (iii)  $\cup_{i=1}^{\ell} [S_i / \langle \bar{p} \rangle V]$  is a subspace of  $V / \langle \bar{p} \rangle V$  over the field  $\mathbb{Z}_{p^k} / \langle \bar{p} \rangle$ .

**Proof.** (i) Clearly  $\langle \bar{p} \rangle V$  is a submodule of  $V$ .

Next, we will show that  $\langle \bar{p} \rangle V \subseteq S_i$  where  $S_i$  is maximal submodule of  $V$ .

Let  $S_i$  be a maximal submodule of  $V$  and  $(\bar{a}_k) \in \langle \bar{p} \rangle V$ , then we consider in two case:

**Case i:**  $S_i = M_j$ .

Let  $(\bar{a}_k) \in \langle \bar{p} \rangle V = \langle pe_1, pe_2, pe_3, \dots \rangle$ .

Then

$$\begin{aligned} (\bar{a}_k) &= a_1 pe_1 + a_2 pe_2 + \dots + a_{j-1} pe_{j-1} + a_j pe_j + a_{j+1} pe_{j+1} + \dots + a_m pe_m \\ &= (a_1 p) e_1 + (a_2 p) e_2 + \dots + (a_{j-1} p) e_{j-1} + a_j (pe_j) + (a_{j+1} p) e_{j+1} + \dots + (a_m p) e_m \end{aligned}$$

Thus  $(\bar{a}_k) \in \langle e_1, e_2, \dots, e_{j-1}, pe_j, e_{j+1}, \dots \rangle = M_j$ .

Hence  $\langle \bar{p} \rangle V \subseteq M_j$ .

**Case ii:**  $S_i = K_{uv}(t)$  where  $1 \leq t \leq p-1$ .

Let  $(\bar{a}_k) \in \langle \bar{p} \rangle V = \langle pe_1, pe_2, pe_3, \dots \rangle$ .

Then

$$\begin{aligned} (\bar{a}_k) &= a_1 pe_1 + a_2 pe_2 + \dots + a_{u-1} pe_{u-1} + a_u pe_u + a_{u+1} pe_{u+1} + \dots \\ &\quad + a_{v-1} pe_{v-1} + a_v pe_v + a_{v+1} pe_{v+1} + \dots + a_m pe_m \\ &= a_1 pe_1 + a_2 pe_2 + \dots + a_{u-1} pe_{u-1} + a_u p(e_u + te_v) + a_{u+1} pe_{u+1} + \dots \\ &\quad + a_{v-1} pe_{v-1} + (a_v - a_u t) pe_v + a_{v+1} pe_{v+1} + \dots + a_m pe_m. \end{aligned}$$

Thus  $(\bar{a}_k) \in \langle e_1, e_2, \dots, e_{u-1}, (e_u + te_v), e_{u+1}, \dots, e_{v-1}, pe_v, e_{v+1}, \dots \rangle$ .

Hence  $(\bar{a}_k) \in K_{uv}(t)$  where  $1 \leq t \leq p-1$ .

Thus from both cases we get  $\langle \bar{p} \rangle V \subseteq S_i$ .

Therefore  $\langle \bar{p} \rangle V$  is submodule of  $S_i$ .

(ii) By (i) we have  $\langle \bar{p} \rangle V$  is a submodule of  $S_i$ , then  $S_i / \langle \bar{p} \rangle V$  is defined, so we get  $S_i / \langle \bar{p} \rangle V$  is a subspace of  $V / \langle \bar{p} \rangle V$  over the field  $\mathbb{Z}_{p^k} / \langle \bar{p} \rangle$  see Theorem 3.1.7. and proper since  $S_i$  is maximal submodule of  $V$ .

(iii) Since  $\cup_{i=1}^{\ell} [S_i / \langle \bar{p} \rangle V] = (\cup_{i=1}^{\ell} S_i) / \langle \bar{p} \rangle V = V / \langle \bar{p} \rangle V$ . □

**Proposition 3.2.19.** *If  $\mathfrak{S} = \{S_1, S_2, \dots, S_{\ell}\}$ ,  $\ell \leq p$  is a collection of maximal submodules of  $V = \mathbb{Z}_{p^k}^{(N)}$ , then  $\mathfrak{S}$  does not force linearity on  $V$ .*

**Proof.** Suppose that  $\mathfrak{S}$  forces linearity on  $V$ , then by Lemma 3.2.2. we get  $\cup_{i=1}^{\ell} S_i = V$ .

We have  $V / \langle \bar{p} \rangle V$  is a vector space over the field  $\mathbb{Z}_{p^k} / \langle \bar{p} \rangle$  under addition and scalar multiplication defined by

$$(v_1 + \langle \bar{p} \rangle V) + (v_2 + \langle \bar{p} \rangle V) = (v_1 + v_2) + \langle \bar{p} \rangle V.$$

$$\text{and } (r + \langle \bar{p} \rangle)(v + \langle \bar{p} \rangle V) = rv + \langle \bar{p} \rangle V.$$

Since  $\mathfrak{S} = \{S_1, S_2, \dots, S_{\ell}\}$  is a collection of maximal submodules of  $V$ , we must have  $V / \langle \bar{p} \rangle V = \cup_{i=1}^{\ell} S_i / \langle \bar{p} \rangle V = \cup_{i=1}^{\ell} (S_i / \langle \bar{p} \rangle V)$ .

We have  $S_1 / \langle \bar{p} \rangle V, S_2 / \langle \bar{p} \rangle V, \dots, S_{\ell} / \langle \bar{p} \rangle V$  are finitely many subspaces of  $V / \langle \bar{p} \rangle V$  over  $\mathbb{Z}_{p^k} / \langle \bar{p} \rangle$  with  $\ell \leq p = |\mathbb{Z}_{p^k} / \langle \bar{p} \rangle|$  and  $\cup_{i=1}^{\ell} (S_i / \langle \bar{p} \rangle V)$  is a subspace of  $V / \langle \bar{p} \rangle V$ .

By Lemma 3.1.8. we get there exists  $j$  such that  $1 \leq j \leq \ell$  and  $S_j / \langle \bar{p} \rangle V \supseteq S_i / \langle \bar{p} \rangle V$  for all  $i \neq j$ .

We prove that  $S_i \subseteq S_j$  for all  $i \neq j$ .

Suppose not, then there exists  $i \neq j$  and  $S_i \not\subseteq S_j$ . So there exists  $x \in S_i$  and  $x \notin S_j$ .

This means that  $x + \langle \bar{p} \rangle V \in S_i / \langle \bar{p} \rangle V$  and  $x + \langle \bar{p} \rangle V \notin S_j / \langle \bar{p} \rangle V$ .

Hence  $S_i / \langle \bar{p} \rangle V \not\subseteq S_j / \langle \bar{p} \rangle V$ , a contradiction.

Thus  $S_i \subseteq S_j$  for all  $i \neq j$ .

Therefore  $V = \cup_{i=1}^{\ell} S_i \subseteq S_j$ , so  $V = S_j$  which contradicts to the maximality of  $S_j$ .

Hence  $\mathfrak{S} = \{S_1, S_2, \dots, S_{\ell}\}$  does not force linearity on  $V$ . □

**Lemma 3.2.20.** *Every proper submodule of  $V = \mathbb{Z}_{p^k}^{(\mathbb{N})}$  is contained in a maximal submodule.*

**Proof.** Let  $W$  be a proper submodule of  $V$ .

For each  $u, v \in \mathbb{N}$ , let

$$W_{uv} = \{(\bar{x}_u, \bar{x}_v) \in \mathbb{Z}_{p^k} \oplus \mathbb{Z}_{p^k} \mid \text{there is } (\bar{a}_i) \in W \text{ such that } x_u = a_u \text{ and } x_v = a_v\}.$$

We prove that  $W_{uv}$  is a submodule of  $\mathbb{Z}_{p^k} \oplus \mathbb{Z}_{p^k}$ .

Let  $(\bar{b}_u, \bar{b}_v), (\bar{c}_u, \bar{c}_v) \in W_{uv}$ , then there exists  $(-\bar{c}_u, -\bar{c}_v) \in W_{uv}$  such that  $\bar{c}_u + (-\bar{c}_u) = \bar{0}$  and  $\bar{c}_v + (-\bar{c}_v) = \bar{0}$  since  $W$  is subgroup of  $V$ .

Then  $(\bar{b}_u, \bar{b}_v) + (-\bar{c}_u, -\bar{c}_v) = (\bar{b}_u - \bar{c}_u, \bar{b}_v - \bar{c}_v) \in W_{uv}$  because  $W$  is subgroup of  $V$ .

Hence  $W_{uv}$  is a subgroup of  $\mathbb{Z}_{p^k} \oplus \mathbb{Z}_{p^k}$ .

Let  $\bar{s} \in \mathbb{Z}_{p^k}$  and  $(\bar{x}_u, \bar{x}_v) \in W_{uv}$ .

$$\text{If } \bar{s} = \bar{0}, \bar{s}(\bar{x}_u, \bar{x}_v) = \bar{0}(\bar{x}_u, \bar{x}_v) = (\bar{0}, \bar{0}) \in W_{uv}.$$

$$\text{If } \bar{1} \leq \bar{s} < \bar{p}^k, \text{ then } \bar{s}(\bar{x}_u, \bar{x}_v) = s(\bar{x}_u, \bar{x}_v) = \underbrace{(\bar{x}_u, \bar{x}_v) + (\bar{x}_u, \bar{x}_v) + \cdots + (\bar{x}_u, \bar{x}_v)}_{s \text{ times}}.$$

Since  $(\bar{x}_u, \bar{x}_v) \in W_{uv}$  and  $W_{uv}$  is a group,  $(\bar{x}_u, \bar{x}_v) + (\bar{x}_u, \bar{x}_v) + \cdots + (\bar{x}_u, \bar{x}_v) \in W_{uv}$ .

Thus  $\bar{s}(\bar{x}_u, \bar{x}_v) \in W_{uv}$  for all  $\bar{s} \in \mathbb{Z}_{p^k}$  and  $(\bar{x}_u, \bar{x}_v) \in W_{uv}$ .

Therefore  $W_{uv}$  is a submodule of  $\mathbb{Z}_{p^k} \oplus \mathbb{Z}_{p^k}$ .

Since  $\mathbb{Z}_{p^k} \oplus \mathbb{Z}_{p^k}$  is finitely generated, every submodule of  $\mathbb{Z}_{p^k} \oplus \mathbb{Z}_{p^k}$  is contained in a maximal submodule. Thus  $W_{uv} \subseteq \langle (\bar{1}, \bar{t}) \rangle \oplus \langle (\bar{0}, \bar{p}) \rangle$  where  $1 \leq t \leq p-1$  or  $W_{uv} \subseteq \langle (\bar{0}, \bar{1}) \rangle \oplus \langle (\bar{p}, \bar{0}) \rangle$ , by Lemma 3.2.10. Therefore  $W \subseteq K_{uv}(t)$  where  $1 \leq t \leq p-1$  or  $W \subseteq M_u$ .

By Proposition 3.2.12, maximal submodules of  $V$  are of the form  $M_i$  or  $K_{uv}(t)$

where  $1 \leq t \leq p-1, i, u, v \in \mathbb{N}$ .

Thus the prove is complete.  $\square$

**Corollary 3.2.21.** *If  $\mathfrak{S} = \{S_1, S_2, \dots, S_\ell\}$ ,  $\ell \leq p$  is a collection of proper submodule of  $V = \mathbb{Z}_{p^k}^{(\mathbb{N})}$ , then  $\mathfrak{S}$  does not force linearity on  $V$ .*

**Proof.** Let  $\mathfrak{S} = \{S_1, S_2, \dots, S_\ell\}$ ,  $\ell \leq p$  be a collection of proper submodules of  $V$ , then by Lemma 3.2.20. we get  $\mathfrak{S}' = \{S'_1, S'_2, \dots, S'_t\}$ ,  $1 \leq t \leq \ell$  the collection of maximal submodules of  $V$  such that for each  $1 \leq i \leq t$  there exists  $1 \leq j \leq t$  with

$$S_i \subseteq S'_j.$$

Thus by Proposition 3.2.19, we get  $\mathfrak{S}'$  does not force linearity on  $V$ , this means that there exists a homogeneous function  $f$  such that  $f|_{S'_j}$  are linear but  $f$  is not linear on  $V$  where  $1 \leq j \leq t$ .

Thus  $f$  is linear on each  $S_i$  in  $\mathfrak{S}$  but not linear on  $V$ .

So  $\mathfrak{S}$  does not force linearity on  $V$ . □

By Proposition 3.2.17, we have  $fln(V) \leq p + 2$  and Proposition 3.2.13 and Corollary 3.2.21 show that  $fln(V) \notin \{0, 1, 2, \dots, p\}$ . Hence  $fln(V) = p + 1$  or  $p + 2$ .

### 3.3 Forcing linearity number for $\mathbb{Z}_2^{(\mathbb{N})}$

Let  $V = \mathbb{Z}_2^{(\mathbb{N})}$  and  $u, v$  be fixed positive integers such that  $u < v$ .

Then  $M_i = \langle e_1, e_2, \dots, e_{i-1}, 0, e_{i+1}, \dots \rangle$  and

$$\begin{aligned} K_{uv}(1) &= \langle e_1, e_2, \dots, e_{u-1}, e_u + e_v, e_{u+1}, \dots, e_{v-1}, 0, e_{v+1}, \dots \rangle \\ &= \{(x_1, x_2, x_3, \dots) \in V \mid (x_u, x_v) \in \langle (1, 1) \rangle \oplus \langle (0, 0) \rangle\}. \\ &= \{(x_1, x_2, x_3, \dots) \mid (x_u, x_v) \in \langle (1, 1) \rangle\} \end{aligned}$$

since  $p = 2$  and  $k = 1$ .

**Lemma 3.3.1.** *Let  $M_i, M_j, M_k$  where  $i < j < k$  be maximal submodules of  $V$ , then  $M_i \cup M_j \cup M_k \subsetneq V$ .*

**Proof.** Let  $U = M_i \cup M_j \cup M_k$ , then we choose  $(a_t) \in V$  be such that

$$a_t = \begin{cases} 1 & , \quad t \in \{i, j, k\}, \\ 0 & , \quad \text{otherwise.} \end{cases}$$

Thus it is obvious that  $(a_t) \notin U$ . □

We aim to prove that

$$K_{i_1 j_1}(1) \cup K_{i_2 j_2}(1) \cup K_{i_3 j_3}(1) = V \text{ where } i_1 \leq i_2 \leq i_3 \text{ iff } i_1 = i_2, j_2 = j_3 \text{ and } j_1 = i_3.$$

Let  $S = \{i_1, i_2, i_3\}$ ,  $T = \{j_1, j_2, j_3\}$  and  $X = S \cup T$ .

For each  $x, y \in X$  define

$$x \sim y \Leftrightarrow x = y.$$

Then  $\sim$  is an equivalence relation on  $X$ . Let  $\{P_1, P_2, P_3, \dots, P_n\}$  be the partition of  $X$  with respect to  $\sim$ . Let  $C = \bigcup_{k=1}^{s_1} P_{i_k}$  where  $P_{i_k} \cap T = \emptyset$  and  $C' = \bigcup_{k=1}^{s_2} P_{j_k}$  where  $P_{j_k} \cap S = \emptyset$ .

**Lemma 3.3.2.** (i)  $C = S \setminus T$  and  $C' = T \setminus S$ .

(ii)  $i_1 \in C$  and  $j_3 \in C'$ .

**Proof.** (i) Let  $x \in C$ , then there exist  $k$  such that  $x \in P_{i_k}$  where  $P_{i_k} \cap T = \emptyset$ .

Thus  $x \in P_{i_k}$  and  $x \notin T$ . Since  $P_{i_k} \subset S$ , then  $x \in S$  and  $x \notin T$ , so  $x \in S \setminus T$ , consequently  $C \subseteq S \setminus T$ .

Converse, let  $x \in S \setminus T$ . Then  $x \in S$  and  $x \notin T$ .

Thus there exist  $k$  such that  $x \in P_{i_k}$  where  $P_{i_k} \cap T = \emptyset$ .

Hence  $x \in \bigcup_{k=1}^s P_{i_k} = C$ . Then  $x \in C$ .

Consequently, we get  $S \setminus T \subseteq C$ .

Thus complete the prove and similarly we can show that  $C' = T \setminus S$ .

(ii) is obvious. □

**Proposition 3.3.3.** Let  $K_{i_1 j_1}(1), K_{i_2 j_2}(1)$  and  $K_{i_3 j_3}(1)$  be all distinct. Then  $K_{i_1 j_1}(1) \cup K_{i_2 j_2}(1) \cup K_{i_3 j_3}(1) = V$  where  $i_1 \leq i_2 \leq i_3$  if and only if  $i_1 = i_2, j_2 = j_3$  and  $j_1 = i_3$ .

**Proof.** ( $\Rightarrow$ ) Let  $S = \{i_1, i_2, i_3\}$ ,  $T = \{j_1, j_2, j_3\}$  and  $X = S \cup T$ .

Then  $i_1 < j_1, i_2 < j_2$  and  $i_3 < j_3$ .

For each  $x, y \in X$  define

$$x \sim y \iff x = y.$$

Then  $\sim$  is an equivalence relation on  $X$ . Let  $\{P_1, P_2, \dots, P_n\}$  be the partition of  $X$  with respect to  $\sim$ . Let  $C = \bigcup_{k=1}^{s_1} P_{i_k}$  where  $P_{i_k} \cap T = \emptyset$  and  $C' = \bigcup_{k=1}^{s_2} P_{j_k}$  where



$$P_{j_k} \cap S = \emptyset.$$

For convenience let  $U = K_{i_1 j_1}(1) \cup K_{i_2 j_2}(1) \cup K_{i_3 j_3}(1)$ .

We first show that  $i_1 = i_2$ .

Suppose not, so  $i_2 \neq i_1$  and  $i_3 \neq i_1$ . Then we consider in three cases :

**Case 1:** If  $S \cap T = \emptyset$ , then we choose  $(a_k) \in V$  be such that

$$a_k = \begin{cases} 1 & \text{if } k \in C, \\ 0 & \text{otherwise.} \end{cases}$$

Thus we get,

$$(a_k) \notin K_{i_1 j_1}(1) \text{ since } a_{i_1} = 1, a_{j_1} = 0.$$

$$(a_k) \notin K_{i_2 j_2}(1) \text{ since } a_{i_2} = 1, a_{j_2} = 0.$$

$$(a_k) \notin K_{i_3 j_3}(1) \text{ since } a_{i_3} = 1, a_{j_3} = 0.$$

Then  $(a_k) \notin U$ , a contradiction.

**Case 2:** If  $|S \cap T| = 1$ , then suppose  $C_1 = S \cap T$  and consider as follow:

If  $j_1 = i_2$ , then we choose  $(a_k) \in V$  be such that

$$a_k = \begin{cases} 1 & \text{if } k \in C_1 \cup \{i_3\}, \\ 0 & \text{otherwise.} \end{cases}$$

Thus we get,

$$(a_k) \notin K_{i_1 j_1}(1) \text{ since } a_{i_1} = 0, a_{j_1} = 1.$$

$$(a_k) \notin K_{i_2 j_2}(1) \text{ since } a_{i_2} = 1, a_{j_2} = 0.$$

$$(a_k) \notin K_{i_3 j_3}(1) \text{ since } a_{i_3} = 1, a_{j_3} = 0.$$

Then  $(a_k) \notin U$ , a contradiction.

If  $j_1 = i_3$ , then we choose  $(a_k) \in V$  as follow

$$a_k = \begin{cases} 1 & \text{if } k \in C_1 \cup \{i_2\}, \\ 0 & \text{otherwise.} \end{cases}$$



Thus we get,

$(a_k) \notin K_{i_1 j_1}(1)$  since  $a_{i_1} = 0, a_{j_1} = 1$ .

$(a_k) \notin K_{i_2 j_2}(1)$  since  $a_{i_2} = 1, a_{j_2} = 0$ .

$(a_k) \notin K_{i_3 j_3}(1)$  since  $a_{i_3} = 1, a_{j_3} = 0$ .

Then  $(a_k) \notin U$ , a contradiction.

If  $j_2 = i_3$ , then we choose  $(a_k) \in V$  be such that

$$a_k = \begin{cases} 1 & \text{if } k \in C_1 \cup \{i_1\}, \\ 0 & \text{otherwise.} \end{cases}$$

Thus we get ,

$(a_k) \notin K_{i_1 j_1}(1)$  since  $a_{i_1} = 1, a_{j_1} = 0$ .

$(a_k) \notin K_{i_2 j_2}(1)$  since  $a_{i_2} = 0, a_{j_2} = 1$ .

$(a_k) \notin K_{i_3 j_3}(1)$  since  $a_{i_3} = 1, a_{j_3} = 0$ .

Then  $(a_k) \notin U$ , a contradiction.

**Case 3:** If  $|S \cap T| = 2$ , then  $j_1 = i_2$  and  $j_2 = i_3$ . Suppose  $C_1 = \{j_1\}$ , then we choose  $(a_k) \in V$  as follow

$$a_k = \begin{cases} 1 & \text{if } k \in C_1 \cup C', \\ 0 & \text{otherwise.} \end{cases}$$

Thus we get,

$(a_k) \notin K_{i_1 j_1}(1)$  since  $a_{i_1} = 0, a_{j_1} = 1$ .

$(a_k) \notin K_{i_2 j_2}(1)$  since  $a_{i_2} = 1, a_{j_2} = 0$ .

$(a_k) \notin K_{i_3 j_3}(1)$  since  $a_{i_3} = 0, a_{j_3} = 1$ .

Then  $(a_k) \notin U$ , a contradiction.

Hence by the three cases, we get  $i_1 = i_2$ .

So  $j_1 \neq j_2$ .

Suppose  $j_1 < j_2$

Now, we will show that  $j_2 = j_3$ .

Suppose that  $j_2 \neq j_3$ , then we have  $j_1 \neq j_2$  and  $j_2 \neq j_3$ .

**Case 1:** If  $S \cap T = \emptyset$ , then we choose  $(a_k) \in V$  be such that

$$a_k = \begin{cases} 1 & \text{if } k \in C, \\ 0 & \text{otherwise.} \end{cases}$$

Thus we get,

$(a_k) \notin K_{i_1 j_1}(1)$  since  $a_{i_1} = 1, a_{j_1} = 0$ .

$(a_k) \notin K_{i_2 j_2}(1)$  since  $a_{i_2} = 1, a_{j_2} = 0$ .

$(a_k) \notin K_{i_3 j_3}(1)$  since  $a_{i_3} = 1, a_{j_3} = 0$ .

Then  $(a_k) \notin U$ , a contradiction.

**Case 2:** If  $|S \cap T| = 1$ , then suppose  $C_1 = S \cap T$  and consider as follow:

If  $j_1 = i_3$ , then we choose  $(a_k) \in V$  be such that

$$a_k = \begin{cases} 1 & \text{if } k \in C_1 \cup \{j_2\}, \\ 0 & \text{otherwise.} \end{cases}$$

Thus we get,

$(a_k) \notin K_{i_1 j_1}(1)$  since  $a_{i_1} = 0, a_{j_1} = 1$ .

$(a_k) \notin K_{i_2 j_2}(1)$  since  $a_{i_2} = 0, a_{j_2} = 1$ .

$(a_k) \notin K_{i_3 j_3}(1)$  since  $a_{i_3} = 1, a_{j_3} = 0$ .

Then  $(a_k) \notin U$ , a contradiction.

If  $j_2 = i_3$ , then we choose  $(a_k) \in V$  be such that

$$a_k = \begin{cases} 1 & \text{if } k \in C_1 \cup \{j_1\}, \\ 0 & \text{otherwise.} \end{cases}$$

Thus we get,

$(a_k) \notin K_{i_1 j_1}(1)$  since  $a_{i_1} = 0, a_{j_1} = 1$ .

$(a_k) \notin K_{i_2 j_2}(1)$  since  $a_{i_2} = 0, a_{j_2} = 1$ .

$(a_k) \notin K_{i_3 j_3}(1)$  since  $a_{i_3} = 1, a_{j_3} = 0$ .

Then  $(a_k) \notin U$ , a contradiction.

Hence by both cases we must have  $j_2 = j_3$ .

Finally, we will show that  $j_1 = i_3$ . Suppose that  $j_1 \neq i_3$ , then we choose  $(a_k) \in V$  as follow

$$a_k = \begin{cases} 1 & \text{if } k \in \{i_1, i_3\}, \\ 0 & \text{otherwise.} \end{cases}$$

Thus we get,

$(a_k) \notin K_{i_1 j_1}(1)$  since  $a_{i_1} = 1, a_{j_1} = 0$ .

$(a_k) \notin K_{i_2 j_2}(1)$  since  $a_{i_2} = 1, a_{j_2} = 0$ .

$(a_k) \notin K_{i_3 j_3}(1)$  since  $a_{i_3} = 1, a_{j_3} = 0$ .

Then  $(a_k) \notin U$ , a contradiction.

Hence  $j_1 = i_3$ .

( $\Leftarrow$ ) Let  $i_1 = i_2 = i$ ,  $j_2 = j_3 = \ell$  and  $j_1 = i_3 = j$ .

We show that  $K_{ij}(1) \cup K_{i\ell}(1) \cup K_{j\ell}(1) = V$ .

Let  $(a_k) \in V$ . We consider the components  $i, j$  and  $\ell$  of  $(a_k)$ .

Since  $\mathbb{Z}_2 = \{0, 1\}$  there are at least two components from  $i, j$  and  $\ell$  which have the same values.

Then  $(a_k) \in K_{ij}(1)$  or  $K_{i\ell}(1)$  or  $K_{j\ell}(1)$ .

Thus  $V \subseteq K_{ij}(1) \cup K_{i\ell}(1) \cup K_{j\ell}(1)$  and the prove is complete.  $\square$

Next, we prove that, if  $M_\ell$ ,  $K_{ij}(1)$  and  $S$  are maximal submodules of  $V$  with  $M_\ell \cup K_{ij}(1) \cup S = V$ , then  $S = M_i$  or  $M_j$  and  $\ell = i$  or  $j$ .

**Lemma 3.3.4.**  $K_{i_1 j_1}(1) \cup K_{i_2 j_2}(1) \subsetneq V$  where  $i_1 \leq i_2$ .

**Proof.** We have  $i_1 < j_1, i_2 < j_2$  and  $i_1 \leq i_2$ .

If  $i_1 = i_2$ , then  $j_1 \neq j_2$ . So choose  $(a_k) \in V$  be such that

$$a_k = \begin{cases} 1 & , \quad k \in \{j_1, j_2\} \\ 0 & , \quad \text{otherwise.} \end{cases}$$

Then  $(a_k) \notin K_{i_1 j_1}(1) \cup K_{i_2 j_2}(1)$ .

If  $i_1 < i_2$ , then we consider in two cases:

$j_1 = j_2$ : Let  $(a_k) \in V$  be such that

$$a_k = \begin{cases} 1 & , \quad k \in \{i_1, i_2\} \\ 0 & , \quad \text{otherwise.} \end{cases}$$

Then  $(a_k) \notin K_{i_1 j_1}(1) \cup K_{i_2 j_2}(1)$ .

$j_1 \neq j_2$ : Let  $(a_k) \in V$  be such that

$$a_k = \begin{cases} 1 & , \quad k \in \{i_2, j_1\} \\ 0 & , \quad \text{otherwise.} \end{cases}$$

Thus  $(a_k) \notin K_{i_1 j_1}(1) \cup K_{i_2 j_2}(1)$ . □

**Proposition 3.3.5.**  $M_\ell \cup K_{ij}(1) \cup S = V$  where  $S$  is a maximal submodule of  $V$  if and only if  $\ell = i$  and  $S = M_j$ ; or  $\ell = j$  and  $S = M_i$ .

**Proof.**  $(\Rightarrow)$  Let  $M_\ell \cup K_{ij}(1) \cup S = V$  such that  $S$  is a maximal submodule of  $V$ .

We show that  $S = M_t$  or  $S = K_{uv}(1)$ .

If  $S = K_{uv}(1)$ , we consider in two cases:

**Case 1:** If  $\ell \in \{i, j, u, v\}$ , then  $M_\ell \cup K_{ij}(1) \cup K_{uv}(1) \subseteq K_{ij}(1) \cup K_{uv}(1) \subsetneq V$ .

**Case 2:** If  $\ell \notin \{i, j, u, v\}$ , then there is  $(a_k) \in V - K_{ij}(1) \cup K_{uv}(1)$ . Let  $(b_k) \in V$  be such that

$$b_k = \begin{cases} 1 & , \quad k = \ell \\ a_k & , \quad k \neq \ell. \end{cases}$$

So  $(b_k) \notin M_\ell \cup K_{ij}(1) \cup K_{uv}(1)$  and thus  $M_\ell \cup K_{ij}(1) \cup K_{uv}(1) \subsetneq V$ .

Thus  $S = K_{uv}(1)$  can not be happen and hence  $S = M_t$ .

Next, we prove that  $\{\ell, t\} = \{i, j\}$ .

If  $\ell \notin \{i, j\}$ , then we consider in two cases.

**Case 1:** If  $t \notin \{i, j\}$ , then choose  $(a_k) \in V$  be such that

$$a_k = \begin{cases} 1 & , \quad k \in \{\ell, t, i\} \\ 0 & , \quad \text{otherwise.} \end{cases}$$

Then  $(a_k) \notin M_\ell \cup K_{ij}(1) \cup M_t$ .

**Case 2:** If  $t \in \{i, j\}$ , in this case we consider in two subcases.

(1) If  $t = i$ , then choose  $(a_k) \in V$  be such that

$$a_k = \begin{cases} 1 & , \quad k \in \{\ell, i\} \\ 0 & , \quad \text{otherwise.} \end{cases}$$

Then  $(a_k) \notin M_\ell \cup K_{ij}(1) \cup M_t$ .

(2) If  $t = j$ , then choose  $(a_k) \in V$  be such that

$$a_k = \begin{cases} 1 & , \quad k \in \{\ell, j\} \\ 0 & , \quad \text{otherwise.} \end{cases}$$

Then  $(a_k) \notin M_\ell \cup K_{ij}(1) \cup M_t$ .

Thus, we see that if  $\ell \notin \{i, j\}$ , then  $M_\ell \cup K_{ij}(1) \cup M_t \subsetneq V$ , which contradicts to our assumption.

Similarly, if  $t \notin \{i, j\}$ , also leads to a contradiction.

Thus  $\{\ell, t\} \subseteq \{i, j\}$ .

Now, we prove that  $\ell \neq t$ .

Suppose that  $\ell = t$ , then  $M_\ell \cup K_{ij}(1) \cup S = M_\ell \cup K_{ij}(1) \cup M_\ell = M_\ell \cup K_{ij}(1) \subsetneq V$ .

Since, if  $\ell = i$  we choose  $(a_k) \in V$  be such that  $a_\ell = 1 = a_i$ ,  $a_j = 0$ , then  $(a_k) \notin M_\ell \cup K_{ij}(1)$ , which is a contradiction.

If  $\ell = j$  we choose  $(a_k) \in V$  be such that  $a_i = 0$ ,  $a_\ell = 1 = a_j$ , then  $(a_k) \notin M_\ell \cup K_{ij}(1)$ , which is a contradiction.

Thus  $\ell \neq t$  and  $\{\ell, t\} = \{i, j\}$ .

Therefore,  $\ell = i$  and  $S = M_j$ ; or  $\ell = j$  and  $S = M_i$ .

( $\Leftarrow$ ) If  $\ell = i$  and  $S = M_j$ , then  $M_\ell \cup K_{ij}(1) \cup S = M_i \cup K_{ij}(1) \cup M_j = V$  by Lemma 3.2.14.

If  $\ell = j$  and  $S = M_i$ , then  $M_\ell \cup K_{ij}(1) \cup S = M_j \cup K_{ij}(1) \cup M_i = V$  by Lemma 3.2.14.  $\square$

**Lemma 3.3.6.**

$$(i) \quad K_{ij}(1) \cap K_{i\ell}(1) \cap K_{j\ell}(1) = \langle e_1, e_2, \dots, e_{i-1}, (e_i + e_j + e_\ell), e_{i+1}, \dots, e_{j-1}, \\ 0, e_{j+1}, \dots, e_{\ell-1}, 0, e_{\ell+1}, \dots \rangle.$$

$$(ii) \quad M_i \cap M_j \cap K_{ij}(1) = \langle e_1, e_2, \dots, e_{i-1}, 0, e_{i+1}, \dots, e_{j-1}, 0, e_{j+1}, \dots \rangle.$$

**Proof.** (i) Let  $(a_k) \in K_{ij}(1) \cap K_{i\ell}(1) \cap K_{j\ell}(1)$ , consider as follow.  
If  $a_i = 0$ , then  $a_j = 0$  and  $a_\ell = 0$ .

$$\begin{aligned} \text{Thus } (a_k) &= (a_1, a_2, \dots, a_{i-1}, 0, a_{i+1}, \dots, a_{j-1}, 0, a_{j+1}, \dots, a_{\ell-1}, 0, a_{\ell+1}, \dots). \\ &= a_1 e_1 + a_2 e_2 + \dots + a_{i-1} e_{i-1} + 0(e_i) + a_{i+1} e_{i+1} + \dots + a_{j-1} e_{j-1} \\ &\quad + 0(e_j) + a_{j+1} e_{j+1} + \dots + a_{\ell-1} e_{\ell-1} + 0(e_\ell) + a_{\ell+1} e_{\ell+1} + \dots \\ &= a_1 e_1 + a_2 e_2 + \dots + a_{i-1} e_{i-1} + 0(e_i + e_j + e_\ell) + a_{i+1} e_{i+1} + \dots \\ &\quad + a_{j-1} e_{j-1} + 0 + a_{j+1} e_{j+1} + \dots + a_{\ell-1} e_{\ell-1} + 0 + a_{\ell+1} e_{\ell+1} + \dots \end{aligned}$$

$$\text{Then } (a_k) \in \langle e_1, e_2, \dots, e_{i-1}, (e_i + e_j + e_\ell), e_{i+1}, \dots, e_{j-1}, 0, e_{j+1}, \dots, e_{\ell-1}, 0, e_{\ell+1}, \dots \rangle.$$

If  $a_i = 1$ , then  $a_j = 1$  and  $a_\ell = 1$ .

By the same prove as above then we get

$$(a_k) \in \langle e_1, e_2, \dots, e_{i-1}, (e_i + e_j + e_\ell), e_{i+1}, \dots, e_{j-1}, 0, e_{j+1}, \dots, e_{\ell-1}, 0, e_{\ell+1}, \dots \rangle.$$

Conversely,

$$\text{let } (a_k) \in \langle e_1, e_2, \dots, e_{i-1}, (e_i + e_j + e_\ell), e_{i+1}, \dots, e_{j-1}, 0, e_{j+1}, \dots, e_{\ell-1}, 0, e_{\ell+1}, \dots \rangle.$$

$$\begin{aligned} \text{Then } (a_k) &= a_1 e_1 + a_2 e_2 + \dots + a_{i-1} e_{i-1} + a_i(e_i + e_j + e_\ell) + a_{i+1} e_{i+1} + \dots \\ &\quad + a_{j-1} e_{j-1} + 0 + a_{j+1} e_{j+1} + \dots + a_{\ell-1} e_{\ell-1} + 0 + a_{\ell+1} e_{\ell+1} + \dots \\ &= (a_1, a_2, \dots, a_{i-1}, a_i, a_{i+1}, \dots, a_{j-1}, a_i, a_{j+1}, \dots, a_{\ell-1}, a_i, a_{\ell+1}, \dots). \end{aligned}$$

Thus  $(a_k) \in K_{ij}(1) \cap K_{i\ell}(1) \cap K_{j\ell}(1)$  since the components  $i, j, \ell$  are the same.

Therefore  $K_{ij}(1) \cap K_{i\ell}(1) \cap K_{j\ell}(1) = \langle e_1, e_2, \dots, e_{i-1}, (e_i + e_j + e_\ell), e_{i+1}, \dots, e_{j-1}, 0, e_{j+1}, \dots, e_{\ell-1}, 0, e_{\ell+1}, \dots \rangle$

(ii) By Lemma 3.2.14. let  $p = 2$ .

Therefore  $M_i \cap M_j \cap K_{ij}(1) = \langle e_1, e_2, \dots, e_{i-1}, 0, e_{i+1}, \dots, e_{j-1}, 0, e_{j+1}, \dots \rangle$ .  $\square$

For convenience, let

$$B = \langle e_1, e_2, \dots, e_{i-1}, 0, e_{i+1}, \dots, e_{j-1}, 0, e_{j+1}, \dots \rangle,$$

$$C = \langle e_1, e_2, \dots, e_{i-1}, (e_i + e_j + e_\ell), e_{i+1}, \dots, e_{j-1}, 0, e_{j+1}, \dots, e_{\ell-1}, 0, e_{\ell+1}, \dots \rangle,$$

and  $g_1 = e_i + e_j$ .

**Lemma 3.3.7.** (i)  $K_{ij}(1) = \langle C, e_\ell \rangle$  if  $i < j < \ell$ .

(ii)  $K_{ij}(1) = \langle B, g_1 \rangle$ .

**Proof.** (i) It is clear that  $e_\ell \in K_{ij}(1)$  and  $C \subseteq K_{ij}(1)$ .

Then  $\langle C, e_\ell \rangle \subseteq K_{ij}(1)$ .

Conversely, let  $(a_k) \in K_{ij}(1)$ .

$$\begin{aligned} \text{Thus } (a_k) &= a_1 e_1 + a_2 e_2 + \dots + a_{i-1} e_{i-1} + a_i (e_i + e_j) + a_{i+1} e_{i+1} + \dots + a_{j-1} e_{j-1} \\ &\quad + 0 e_j + a_{j+1} e_{j+1} + \dots + a_{\ell-1} e_{\ell-1} + a_\ell e_\ell + a_{\ell+1} e_{\ell+1} + \dots + a_m e_m \\ &= (a_1, a_2, \dots, a_{i-1}, a_i, a_{i+1}, \dots, a_{j-1}, a_i, a_{j+1}, \dots, a_{\ell-1}, a_\ell, a_{\ell+1}, \dots) \\ &= (a_1, a_2, \dots, a_{i-1}, a_i, a_{i+1}, \dots, a_{j-1}, a_i, a_{j+1}, \dots, a_{\ell-1}, a_i - a_i + a_\ell, a_{\ell+1}, \dots) \\ &= (a_1, a_2, \dots, a_{i-1}, a_i, a_{i+1}, \dots, a_{j-1}, a_i, a_{j+1}, \dots, a_{\ell-1}, a_i, a_{\ell+1}, \dots) + (a_\ell - a_i) e_\ell \\ &= (a_1 e_1 + a_2 e_2 + \dots + a_{i-1} e_{i-1} + a_i (e_i + e_j + e_\ell) + a_{i+1} e_{i+1} + \dots + a_{j-1} e_{j-1} \\ &\quad + 0 e_j + a_{j+1} e_{j+1} + \dots + a_{\ell-1} e_{\ell-1} + 0 e_\ell + a_{\ell+1} e_{\ell+1} + \dots + a_m e_m) + (a_\ell - a_i) e_\ell. \end{aligned}$$

Hence  $(a_k) \in \langle C, e_\ell \rangle$  and we must have  $K_{ij}(1) \subseteq \langle C, e_\ell \rangle$ .

Therefore  $K_{ij}(1) = \langle C, e_\ell \rangle$  where  $i < j < \ell$ .

(ii) is obvious by Lemma 3.2.15. when  $t = 1$ .  $\square$

Similarly as above lemma we can show that

(i)  $K_{i\ell}(1) = \langle C, e_j \rangle$  if  $i < j < \ell$ .

(ii)  $K_{j\ell}(1) = \langle C, e_i \rangle$  if  $i < j < \ell$ .



(iii)  $M_i = \langle B, e_j \rangle$  if  $i \neq j$ .

(iv)  $M_j = \langle B, e_i \rangle$  if  $i \neq j$ .

**Proposition 3.3.8.** *Let  $\mathfrak{S} = \{K_{ij}(1), K_{il}(1), K_{jl}(1)\}$ . Then  $\mathfrak{S}$  does not force linearity on  $V$ .*

**Proof.** Let  $S_1 = K_{ij}(1)$ ,  $S_2 = K_{il}(1)$  and  $S_3 = K_{jl}(1)$ .

Then  $V = S_1 \cup S_2 \cup S_3$  and  $S_1 = K_{ij}(1) = \langle C, e_\ell \rangle$  where  $i < j < \ell$ .

For convenience, we put  $C = \langle c_1, c_2, c_3, \dots \rangle$ .

Since  $e_\ell \neq 0$ , we define  $f : V \rightarrow V$  by

$$f(v) = \begin{cases} \beta e_\ell & , \quad v \in S_1 \text{ and } v = \sum_{s=1} \beta_s c_s + \beta e_\ell, \\ 0 & , \quad v \in S_2 \cup S_3. \end{cases}$$

We first show that  $f$  is well-defined.

If  $v \in S_1$  is also represented as  $v = \sum_{s=1} \gamma_s c_s + \gamma e_\ell$ , then we have  $(\beta - \gamma)e_\ell \in C$ .

This mean that  $(\beta - \gamma) = 0$ , since if  $(\beta - \gamma) \neq 0$ , then  $(\beta - \gamma) = 1$ , then we get  $e_\ell = (\beta - \gamma)e_\ell \in C$  which is a contradiction.

Consequently  $(\beta - \gamma)e_\ell = 0e_\ell = 0$ . Hence  $\beta e_\ell = \gamma e_\ell$ .

Suppose that  $v \in S_1 \cap S_t$ ,  $t \neq 1$ .

Then  $v$  also has a representation,  $v = \sum_{s=1} \delta_s c_s + \delta e_\ell$ .

Since  $\sum_{s=1} \delta_s c_s \in S_t$ , we obtain  $\delta e_\ell \in S_t$  which implies  $\delta = 0$ .

Consequently  $f(v) = \delta e_\ell = 0e_\ell = 0$ .

Thus  $f$  is well-defined on  $V$ .

We note that  $f$  is not the zero function since  $f(e_\ell) = e_\ell \neq 0$ .

Next, we show that  $f \in M_{\mathbb{Z}_2}(\mathbb{Z}_2^{(\mathbb{N})})$ .

For  $v \in S_1$ , say  $v = \sum_{s=1} \beta_s c_s + \beta e_\ell$  and for  $r \in \mathbb{Z}_2$ , we have  $rv \in S_1$ , so  $rf(v) = r\beta e_\ell = f(rv)$ .

Now, suppose  $v \in S_t$ ,  $t \neq 1$ .

Then  $f(v) = 0$  and for any  $r \in \mathbb{Z}_2$ ,  $rf(v) = 0$ .

Moreover,  $rv \in S_t$ , which implies  $f(rv) = 0$ .

Thus,  $rf(v) = f(rv)$  for all  $r \in \mathbb{Z}_2$  and  $v \in V$ .

Hence  $f \in M_{\mathbb{Z}_2}(\mathbb{Z}_2^{(\mathbb{N})})$ .

It is clear that  $f$  is linear on each  $S_i$  in  $\mathfrak{S}$ .

Since  $e_i + e_\ell \notin S_1$ ,  $f(e_i + e_\ell) = 0$ .

But  $f(e_i) + f(e_\ell) = 0 + e_\ell \neq 0$ .

Then  $f \notin \text{End}_{\mathbb{Z}_2}(\mathbb{Z}_2^{(\mathbb{N})})$ .

This shows that  $\mathfrak{S}$  does not force linearity on  $V$ . □

**Proposition 3.3.9.** *Let  $\mathfrak{S} = \{M_i, M_j, K_{ij}(1)\}$ . Then  $\mathfrak{S}$  does not force linearity on  $V$ .*

**Proof.** Since  $p = 2$  and  $k = 1$ , then by Proposition 3.2.16 we get  $\mathfrak{S}$  does not force linearity on  $V = \mathbb{Z}_2^{(\mathbb{N})}$ . □

Next, we show that  $\text{fln}(V) = 4$  where  $V = \mathbb{Z}_2^{(\mathbb{N})}$ .

**Proposition 3.3.10.** *The forcing linearity number of  $V = \mathbb{Z}_2^{(\mathbb{N})}$  is 4.*

**Proof.** By Proposition 3.2.13, Proposition 3.2.17. and Corollary 3.2.21, we get  $\text{fin}(V) \leq 4$  and  $\text{fln}(V) \notin \{0, 1, 2\}$ .

Now, we show that  $\text{fln}(V) \neq 3$ .

Let  $\mathfrak{S} = \{S_1, S_2, S_3\}$  be a collection of proper submodules of  $V$ , then by Lemma 3.2.20. there exists  $\mathfrak{S}' = \{S'_1, S'_2, S'_3\}$  a collection of maximal submodules of  $V$  such that  $S_i \subseteq S'_i$  for all  $i = 1, 2, 3$ .

If  $\bigcup_{i=1}^3 S'_i \subsetneq V$ , then  $\mathfrak{S}'$  does not force linearity on  $V$  by Proposition 3.1.6.

If  $\bigcup_{i=1}^3 S'_i = V$ , then by Proposition 3.3.3 and Proposition 3.3.5 we get  $\mathfrak{S}' = \{K_{ij}(1), K_{il}(1), K_{j\ell}(1)\}$  or  $\mathfrak{S}' = \{M_i, M_j, K_{ij}(1)\}$ , we consider in two cases:

**Case i:** If  $\mathfrak{S}' = \{K_{ij}(1), K_{il}(1), K_{j\ell}(1)\}$ , then by Proposition 3.3.8 we get  $\mathfrak{S}'$  does not force linearity on  $V$ . This means that there exists a homogeneous function  $f$  such that  $f$  is linear on each  $S'_i$  in  $\mathfrak{S}'$  but  $f$  is not linear on  $V$ .

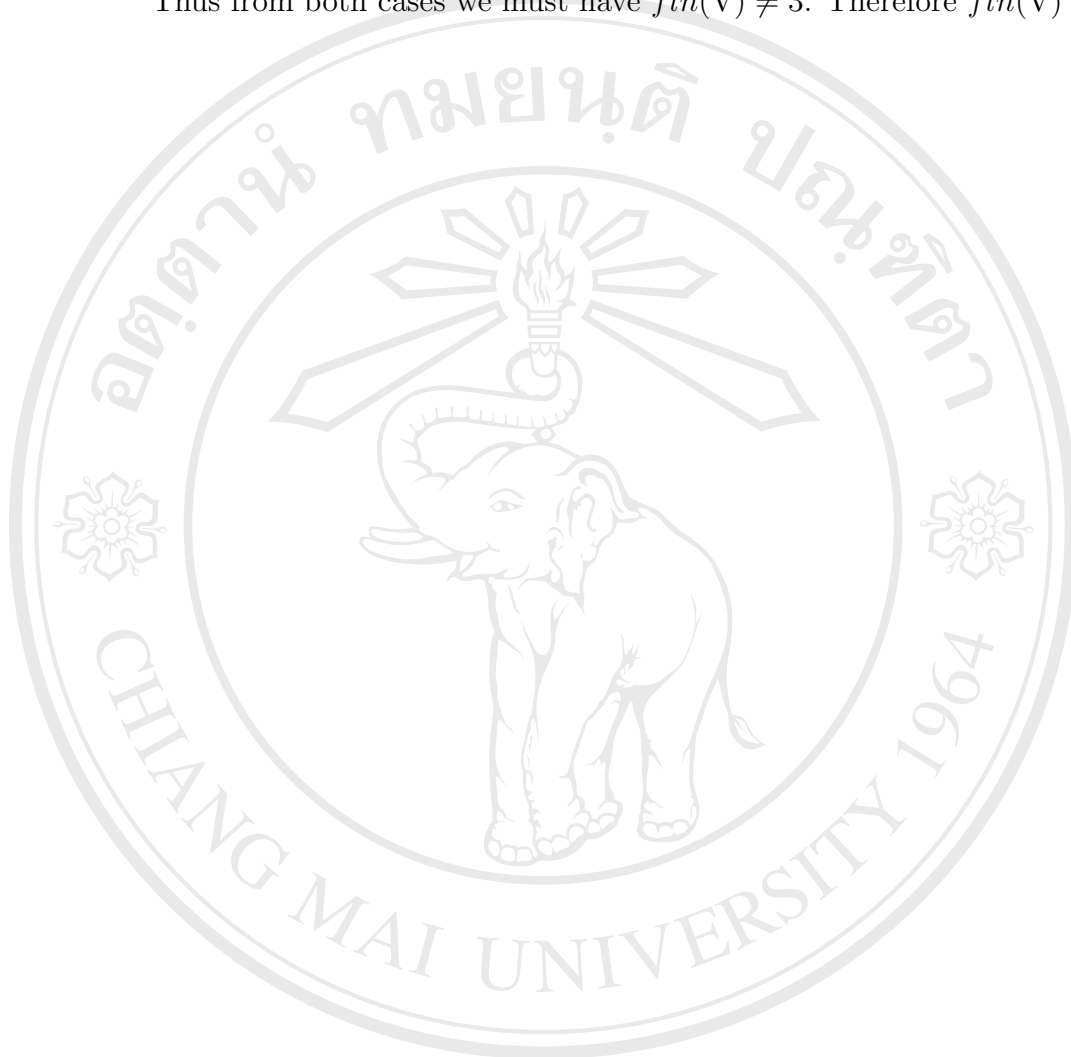
Thus  $f$  is linear on each  $S_i$  in  $\mathfrak{S}$  but not linear on  $V$  where  $1 \leq i \leq 3$ .

Then we get  $\mathfrak{S}$  does not force linearity on  $V$ .

**Case ii :** If  $\mathfrak{S}' = \{M_i, M_j, K_{ij}(1)\}$ , then by Proposition 3.3.9 we get  $\mathfrak{S}'$  does not force linearity on  $V$ . By considering as the above case, then we get  $\mathfrak{S}$  does not

force linearity on  $V$ .

Thus from both cases we must have  $fln(V) \neq 3$ . Therefore  $fln(V) = 4$ .  $\square$



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