

# Chapter 3

## Complexity of Terms, Generalized Superpositions and Generalized Hypersubstitutions

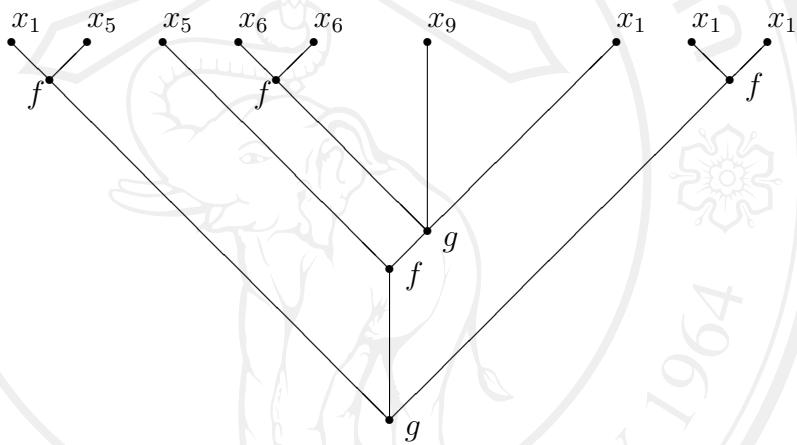
In this chapter, we consider the four useful measurements of the complexity of a term, called the maximum depth, the minimum depth, the variable count, and the operation count. We construct a formula for the complexity of the generalized superposition  $S^m(s, t_1, \dots, t_m)$  in terms of complexity of the inputs  $s, t_1, \dots, t_m$  for each of these measurements. We also obtain formulas for the complexity of  $\hat{\sigma}[t]$  in terms of the complexity of  $t$  where  $t$  is a compound term and  $\sigma$  is a generalized hypersubstitution. We apply these formulas to the theory of  $M$ -strongly solid varieties, examining the  $k$ -normalization chains of a variety with respect to these complexity measurements.

In Section 3.1, we recall the definition of the measurements of the complexity of a term which was defined by K. Denecke and S. L. Wismath [13]. We then consider the complexity of generalized superpositions and generalized hypersubstitutions and construct a formula for the complexity of the generalized superposition  $S^m(s, t_1, \dots, t_m)$  in terms of the complexity of the inputs  $s, t_1, \dots, t_m$  for each of these measurements. We also obtain formulas for the complexity of  $\hat{\sigma}[t]$  in terms of the complexity of  $t$  where  $t$  is a compound term and  $\sigma$  is a generalized hypersubstitution. In Section 3.3, we apply these formulas to the theory of  $M$ -strongly solid varieties. We examine the chains obtained by taking the  $k$ -normalizations of a given variety  $V$ , as defined in [12], and show that under suitable choices of a monoid  $N$ , each variety of this chain is  $M \cap N$ -strongly solid when the variety  $V$  is  $M$ -strongly solid. This can be used to construct an infinite chain of  $M \cap N$ -strongly solid varieties of any type.

### 3.1 Complexity of Terms

In this section, we recall the definition of measurements of the complexity of terms which was defined by K. Denecke and S. L. Wismath [13]. At first, we consider the following example.

**Example 3.1.1.** Let  $\tau = (2, 3)$  be a type, i.e. we have one binary operation symbol and one ternary operation symbol, say  $f$  and  $g$ , respectively. Consider the term  $t = g(f(x_1, x_5), f(x_5, g(f(x_6, x_6), x_9, x_1)), f(x_1, x_1))$  which can be represented by a tree as in Figure 1 below.



**Figure 1.**

There are several numbers we can associate with the term  $t$ , each measuring a different aspect of how complex this term is as follows:

- (i) the length of the longest path (from root to vertex) in  $t$  is 4,
- (ii) the length of the shortest path (from root to vertex) in  $t$  is 2,
- (iii) the total number of occurrences of variable symbols in  $t$  is 9,
- (iv) the number of distinct variables occurring in  $t$  is 4,
- (v) the total number of occurrences of operation symbols in  $t$  is 6.

**Definition 3.1.2.** ([13]) Let  $\tau = (n_i)_{i \in I}$  be a type and  $t \in W_\tau(X)$ .

- (a) The maximum depth of a term  $t$ , which is denoted by  $\text{maxdepth}(t)$ , is the length of the longest path from the root to a vertex in the tree. It is defined inductively by

- (i)  $\text{maxdepth}(t) = 0$  if  $t$  is a variable.
- (ii)  $\text{maxdepth}(t) = 1 + \max\{\text{maxdepth}(t_j) \mid 1 \leq j \leq n_i\}$  if  $t$  is a compound term,  $t = f_i(t_1, \dots, t_{n_i})$ .

(b) The minimum depth of a term  $t$ , which is denoted by  $\text{mindepth}(t)$ , is the length of the shortest path from the root to a vertex in the tree and is defined inductively by

- (i)  $\text{mindepth}(t) = 0$  if  $t$  is a variable.
- (ii)  $\text{mindepth}(t) = 1 + \min\{\text{mindepth}(t_j) \mid 1 \leq j \leq n_i\}$  if  $t$  is a compound term,  $t = f_i(t_1, \dots, t_{n_i})$ .

(c) The variable count or the length of a term  $t$ , denoted by  $\text{vb}(t)$ , is the total number of occurrences of variables in  $t$  (including multiplicities). This can be defined inductively by

- (i)  $\text{vb}(t) = 1$  if  $t$  is a variable.
- (ii)  $\text{vb}(t) = \sum_{j=1}^{n_i} \text{vb}(t_j)$  if  $t$  is a compound term,  $t = f_i(t_1, \dots, t_{n_i})$ .

(d) The operation symbol count of a term  $t$ , denoted by  $\text{op}(t)$ , is the total number of occurrences of operation symbols in  $t$  and is defined inductively by

- (i)  $\text{op}(t) = 0$  if  $t$  is a variable.
- (ii)  $\text{op}(t) = 1 + \sum_{j=1}^{n_i} \text{op}(t_j)$  if  $t$  is a compound term,  $t = f_i(t_1, \dots, t_{n_i})$ .

Let  $c : W_\tau(X) \rightarrow \mathbb{N} \cup \{0\}$  be a mapping from the set of all terms of type  $\tau$  to the set of all non-negative natural numbers, which assigns to each term  $t$  a complexity number  $c(t)$ . They refer to such a function as a complexity mapping or a cost function.

They also need to measure, for each variable  $x_i \in X$ , both how many times it occurs in  $t$  and the maximum depth and the minimum depth at which it occurs.

**Definition 3.1.3.** ([13]) Let  $t \in W_\tau(X_n)$  be an  $n$ -ary term. For each variable  $x_k$ , the maximum depth with respect to  $k$  of the term  $t$  denoted by  $\text{maxdepth}_k(t)$  is defined inductively as follows:

- (i) If  $t$  is a variable from  $X_n$ , then  $\text{maxdepth}_k(t) = 0$ .

(ii) If  $x_k \notin var(t)$ , then  $maxdepth_k(t) = 0$ .

(iii) If  $t = f_i(t_1, \dots, t_{n_i})$  and  $x_k \in var(t)$ , then

$$maxdepth_k(t) = 1 + max\{maxdepth_k(t_j) | 1 \leq j \leq n_i, x_k \in var(t_j)\}.$$

Similarly, they define the minimum depth with respect to  $k$  for any term  $t$  and any variable  $x_k$ .

**Definition 3.1.4.** ([13]) Let  $t \in W_\tau(X_n)$  be an  $n$ -ary term. For each variable  $x_k$ , the minimum depth with respect to  $k$  of the term  $t$  denoted by  $mindepth_k(t)$  is defined inductively as follows:

(i) If  $t$  is a variable from  $X_n$ , then  $mindepth_k(t) = 0$ .

(ii) If  $x_k \notin var(t)$ , then  $mindepth_k(t) = 0$ .

(iii) If  $t = f_i(t_1, \dots, t_{n_i})$  and  $x_k \in var(t)$ , then

$$mindepth_k(t) = 1 + min\{mindepth_k(t_j) | 1 \leq j \leq n_i, x_k \in var(t_j)\}.$$

They also need a function that counts the number of occurrences of a specific variable  $x_k$  in a term  $t$ .

**Definition 3.1.5.** ([13]) Let  $t \in W_\tau(X_n)$  be an  $n$ -ary term. For each variable  $x_k$ , the  $x_k$ -variable count  $vb_k(t)$  of  $t$  is defined inductively as follows:

(i)  $vb_k(x_k) = 1$ .

(ii) If  $x_k \notin var(t)$ , then  $vb_k(t) = 0$ .

(iii) If  $t = f_i(t_1, \dots, t_{n_i})$  and  $x_k \in var(t)$ , then  $vb_k(t) = \sum_{j=1}^{n_i} vb_k(t_j)$ .

## 3.2 Complexity of Generalized Superpositions and Generalized Hypersubstitutions

In this section, we generalize the concept of complexity of compositions and hypersubstitutions which were studied by K. Denecke and S. L. Wismath [13] to complexity of generalized superpositions and generalized hypersubstitutions. We have the following proposition.

**Proposition 3.2.1.** Let  $s, t_1, \dots, t_m \in W_\tau(X)$ . Then,

$$(i) \ mindepth(S^m(s, t_1, \dots, t_m)) = \min\{mindepth_j(s) + mindepth(t_j), mindepth_k(s) |$$

$$1 \leq j \leq m, k > m, x_j, x_k \in var(s)\}.$$

$$(ii) \ maxdepth(S^m(s, t_1, \dots, t_m)) = \max\{maxdepth_j(s) + maxdepth(t_j), maxdepth_k(s) |$$

$$1 \leq j \leq m, k > m, x_j, x_k \in var(s)\}.$$

$$(iii) \ vb(S^m(s, t_1, \dots, t_m)) = \sum_{j=1}^m vb_j(s)vb(t_j) + \sum_{j>m} vb_j(s).$$

$$(iv) \ op(S^m(s, t_1, \dots, t_m)) = \sum_{j=1}^m vb_j(s)op(t_j) + op(s).$$

**Proof.** We will prove all of (i)-(iv) by induction on the complexity of the term  $s$ .

(i) If  $s = x_l \in X$  for some  $1 \leq l \leq m$ , then

$$\begin{aligned} mindepth(S^m(s, t_1, \dots, t_m)) &= mindepth(t_l) \\ &= \min\{mindepth_j(s) + mindepth(t_j), mindepth_k(s) | \\ &\quad 1 \leq j \leq m, k > m, x_j, x_k \in var(s)\}. \end{aligned}$$

If  $s = x_l \in X$  for some  $l > m$ , then

$$\begin{aligned} mindepth(S^m(s, t_1, \dots, t_m)) &= 0 \\ &= \min\{mindepth_j(s) + mindepth(t_j), mindepth_k(s) | \\ &\quad 1 \leq j \leq m, k > m, x_j, x_k \in var(s)\}. \end{aligned}$$

Let  $s = f_i(s_1, \dots, s_{n_i})$  and the formula is satisfied for  $s_1, \dots, s_{n_i}$ . Then

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$$\begin{aligned}
& \text{mindepth}(S^m(s, t_1, \dots, t_m)) \\
&= \text{mindepth}(S^m(f_i(s_1, \dots, s_{n_i}), t_1, \dots, t_m)) \\
&= \text{mindepth}(f_i(S^m(s_1, t_1, \dots, t_m), \dots, S^m(s_{n_i}, t_1, \dots, t_m))) \\
&= \min\{\text{mindepth}(S^m(s_1, t_1, \dots, t_m)), \dots, \text{mindepth}(S^m(s_{n_i}, t_1, \dots, t_m))\} + 1 \\
&= \min\{\min\{\text{mindepth}_j(s_1) + \text{mindepth}(t_j), \text{mindepth}_k(s_1) \mid 1 \leq j \leq m, k > m, \\
&\quad x_j, x_k \in \text{var}(s_1)\}, \dots, \min\{\text{mindepth}_j(s_{n_i}) + \text{mindepth}(t_j), \text{mindepth}_k(s_{n_i}) \mid \\
&\quad 1 \leq j \leq m, k > m, x_j, x_k \in \text{var}(s_{n_i})\}\} + 1 \\
&= \min\{\min\{\text{mindepth}_j(s_1) + 1 + \text{mindepth}(t_j), \text{mindepth}_k(s_1) + 1 \mid 1 \leq j \leq m, \\
&\quad k > m, x_j, x_k \in \text{var}(s_1)\}, \dots, \min\{\text{mindepth}_j(s_{n_i}) + 1 + \text{mindepth}(t_j), \\
&\quad \text{mindepth}_k(s_{n_i}) + 1 \mid 1 \leq j \leq m, k > m, x_j, x_k \in \text{var}(s_{n_i})\}\} \\
&= \min\{\min\{\text{mindepth}_j(s_t) \mid 1 \leq t \leq n_i, x_j \in \text{var}(s_t)\} + 1 + \text{mindepth}(t_j), \\
&\quad \min\{\text{mindepth}_k(s_t) \mid 1 \leq t \leq n_i, x_k \in \text{var}(s_t)\} + 1 \mid 1 \leq j \leq m, k > m, x_j, x_k \\
&\quad \in \cup\{\text{var}(s_r) \mid 1 \leq r \leq n_i\}\} \\
&= \min\{\text{mindepth}_j(s) + \text{mindepth}(t_j), \text{mindepth}_k(s) \mid 1 \leq j \leq m, k > m, x_j, x_k \\
&\quad \in \text{var}(s)\}.
\end{aligned}$$

(ii) The proof is similar to the proof of (i).

(iii) If  $s = x_l \in X$  for some  $1 \leq l \leq m$ , then

$$\begin{aligned}
vb(S^m(s, t_1, \dots, t_m)) &= vb(t_l) \\
&= \sum_{j=1}^m vb_j(s)vb(t_j) + \sum_{j>m} vb_j(s).
\end{aligned}$$

If  $s = x_l \in X$  for some  $l > m$ , then

$$\begin{aligned}
vb(S^m(s, t_1, \dots, t_m)) &= 1 \\
&= \sum_{j=1}^m vb_j(s)vb(t_j) + \sum_{j>m} vb_j(s).
\end{aligned}$$

Let  $s = f_i(s_1, \dots, s_{n_i})$  and the formula is satisfied for  $s_1, \dots, s_{n_i}$ . Then

$$\begin{aligned}
 vb(S^m(s, t_1, \dots, t_m)) &= vb(S^m(f_i(s_1, \dots, s_{n_i}), t_1, \dots, t_m)) \\
 &= vb(f_i(S^m(s_1, t_1, \dots, t_m), \dots, S^m(s_{n_i}, t_1, \dots, t_m))) \\
 &= \sum_{k=1}^{n_i} vb(S^m(s_k, t_1, \dots, t_m)) \\
 &= \sum_{k=1}^{n_i} \left( \sum_{j=1}^m vb_j(s_k) vb(t_j) + \sum_{j>m} vb_j(s_k) \right) \\
 &= \sum_{k=1}^{n_i} \left( \sum_{j=1}^m vb_j(s_k) vb(t_j) \right) + \sum_{k=1}^{n_i} \left( \sum_{j>m} vb_j(s_k) \right) \\
 &= \sum_{j=1}^m \left( \sum_{k=1}^{n_i} vb_j(s_k) vb(t_j) \right) + \sum_{j>m} \left( \sum_{k=1}^{n_i} vb_j(s_k) \right) \\
 &= \sum_{j=1}^m \left( \left( \sum_{k=1}^{n_i} vb_j(s_k) \right) vb(t_j) \right) + \sum_{j>m} vb_j(s) \\
 &= \sum_{j=1}^m vb_j(s) vb(t_j) + \sum_{j>m} vb_j(s).
 \end{aligned}$$

(iv) If  $s = x_l \in X$  for some  $1 \leq l \leq m$ , then

$$\begin{aligned}
 op(S^m(s, t_1, \dots, t_m)) &= op(t_l) \\
 &= \sum_{j=1}^m vb_j(s) op(t_j) + op(s).
 \end{aligned}$$

If  $s = x_l \in X$  for some  $l > m$ , then

$$\begin{aligned}
 op(S^m(s, t_1, \dots, t_m)) &= 0 \\
 &= \sum_{j=1}^m vb_j(s) op(t_j) + op(s).
 \end{aligned}$$

Let  $s = f_i(s_1, \dots, s_{n_i})$  and the formula is satisfied for  $s_1, \dots, s_{n_i}$ . Then

$$\begin{aligned}
 op(S^m(s, t_1, \dots, t_m)) &= op(S^m(f_i(s_1, \dots, s_{n_i}), t_1, \dots, t_m)) \\
 &= op(f_i(S^m(s_1, t_1, \dots, t_m), \dots, S^m(s_{n_i}, t_1, \dots, t_m))) \\
 &= \sum_{k=1}^{n_i} op(S^m(s_k, t_1, \dots, t_m)) + 1 \\
 &= \sum_{k=1}^{n_i} \left( \sum_{j=1}^m vb_j(s_k) op(t_j) + op(s_k) \right) + 1 \\
 &= \sum_{k=1}^{n_i} \left( \sum_{j=1}^m vb_j(s_k) op(t_j) \right) + \sum_{k=1}^{n_i} op(s_k) + 1 \\
 &= \sum_{j=1}^m \left( \sum_{k=1}^{n_i} vb_j(s_k) op(t_j) \right) + op(s) \\
 &= \sum_{j=1}^m \left( \left( \sum_{k=1}^{n_i} vb_j(s_k) \right) op(t_j) \right) + op(s) \\
 &= \sum_{j=1}^m vb_j(s) op(t_j) + op(s).
 \end{aligned}$$

■

Using the fact that  $\hat{\sigma}[t]$  is defined by using generalized superposition, we have the following corollary.

**Corollary 3.2.2.** *Let  $\tau = (n_i)_{i \in I}$  be a type and let  $t$  be a compound term of the form  $t = f_i(t_1, \dots, t_{n_i})$  where  $f_i$  is an  $n_i$ -ary operation symbol. Let  $\sigma$  be a generalized hypersubstitution of type  $\tau$ . Then,*

$$(i) \ mindepth(\hat{\sigma}[t]) = \min\{mindepth_j(\sigma(f_i)) + mindepth(\hat{\sigma}[t_j]), mindepth_k(\sigma(f_i))|$$

$$1 \leq j \leq n_i, k > n_i, x_j, x_k \in var(\sigma(f_i))\}.$$

$$(ii) \ maxdepth(\hat{\sigma}[t]) = \max\{maxdepth_j(\sigma(f_i)) + maxdepth(\hat{\sigma}[t_j]), maxdepth_k(\sigma(f_i))|$$

$$1 \leq j \leq n_i, k > n_i, x_j, x_k \in var(\sigma(f_i))\}.$$

$$(iii) \ vb(\hat{\sigma}[t]) = \sum_{j=1}^{n_i} vb_j(\sigma(f_i)) vb(\hat{\sigma}[t_j]) + \sum_{j>n_i} vb_j(\sigma(f_i)).$$

$$(iv) \ op(\hat{\sigma}[t]) = \sum_{j=1}^{n_i} vb_j(\sigma(f_i)) op(\hat{\sigma}[t_j]) + op(\sigma(f_i)).$$

■

For the case of arity preserving hypersubstitutions is contained in this result.

### 3.3 $M$ -Strongly Solid Varieties

Firstly, we give some notations which are used to discuss the  $k$ -normalization of a variety. Let  $V$  be a variety of type  $\tau$  and let  $k$  be a non-negative natural number. Let  $c$  be one of the four complexity functions defined in Section 3.1. We define the  $k$ -normalization of  $V$ , with respect to the complexity function  $c$ , to be the variety  $N_k^c(V) = \text{Mod}\{u \approx v \in \text{Id}V \mid c(u), c(v) \geq k\}$ .

It is clear that  $N_0^c(V) = V$  and that the  $k$ -normalization of  $V$  forms a chain

$$V = N_0^c(V) \leq N_1^c(V) \leq N_2^c(V) \leq \dots$$

The properties of these varieties, and of the operator  $N_k^c$  for  $k \geq 0$ , have been studied for  $c = \text{mindepth}$  in [10] and  $c = \text{maxdepth}$  in [12].

Next, we will consider the  $M$ -strongly solidity properties of the varieties  $N_k^c(V)$ . Suppose that we start with an  $M$ -strongly solid variety  $V$  of type  $\tau$  for some monoid  $M$  of generalized hypersubstitutions of type  $\tau$ . To show that  $N_k^c(V)$  is also  $M$ -strongly solid where  $k \geq 1$ , we have to show that for any identity  $u \approx v$  of  $N_k^c(V)$  and any  $\sigma \in M$ , we have  $\hat{\sigma}[u] \approx \hat{\sigma}[v]$  also in  $\text{Id}N_k^c(V)$ . It suffices to consider an identity  $u \approx v$  from the defining basis for  $N_k^c(V)$ , that is we may assume that  $u \approx v$  is an identity of  $V$  with the property that both  $c(u)$  and  $c(v)$  are greater than or equal to  $k$ . Since  $V$  itself is  $M$ -strongly solid, we know that  $\hat{\sigma}[u] \approx \hat{\sigma}[v]$  is in  $\text{Id}V$ . Thus it suffices to show that  $c(\hat{\sigma}[u]) \geq k$  and  $c(\hat{\sigma}[v]) \geq k$ . In general, then, we need to compare the complexity of  $\hat{\sigma}[t]$  and would like to be able to show that  $c(\hat{\sigma}[t]) > c(t)$ . However, this is not always the case as in the following example.

**Example 3.3.1.** (i) Let  $\tau = (2)$  be a type, i.e. we have only one binary operation symbol, say  $f$ . Let  $t$  be the term  $f(x_1, f(x_2, x_3))$  so that  $\text{maxdepth}(t) = 2$ ,  $\text{mindepth}(t) = 1$ ,  $\text{vb}(t) = 3$  and  $\text{op}(t) = 2$ . Let  $\sigma$  be the generalized hypersubstitution mapping  $f$  to the term  $f(x_1, x_1)$ . Then, we have  $\hat{\sigma}[t] = f(x_1, x_1)$ , and this term has  $\text{maxdepth}(\hat{\sigma}[t]) = \text{mindepth}(\hat{\sigma}[t]) = \text{op}(\hat{\sigma}[t]) = 1$  and  $\text{vb}(\hat{\sigma}[t]) = 2$ . Thus all but  $\text{mindepth}$  result in lower complexity for  $\hat{\sigma}[t]$  than for  $t$ .

(ii) Let  $\tau = (2, 2)$  be a type, i.e. we have two binary operation symbols, say  $f$  and  $g$ . Let  $t$  be the term  $f(f(x_1, x_2), g(x_1, x_2))$ . Let  $\sigma$  be the generalized hypersubstitution mapping  $f$  to the term  $f(x_2, x_2)$  and  $g$  to the variable  $x_1$ . Then, although  $t$  has  $\text{mindepth}(t) = 2$ , the term  $\hat{\sigma}[t] = f(x_1, x_1)$  has  $\text{mindepth}(\hat{\sigma}[t])$  equal to 1. ■

Although not all generalized hypersubstitutions  $\sigma$  have the property that  $\hat{\sigma}[t]$  has a complexity greater than or equal to the complexity of  $t$ , there are conditions we can put on  $\sigma$  to ensure this property. Next, we will consider a kind of generalized hypersubstitutions, i.e. regular generalized hypersubstitutions which was introduced by S. Leeratanavalee in [24]. A generalized hypersubstitution  $\sigma \in Hyp_G(\tau)$  is called *regular* if for every  $i \in I$ , all the variables  $x_1, \dots, x_{n_i}$  occur in the term  $\sigma(f_i)$ . The set of all regular generalized hypersubstitutions of type  $\tau$  is denoted by  $Reg_G(\tau)$ . In [24] S. Leeratanavalee proved that  $Reg_G(\tau)$  forms a submonoid of  $Hyp_G(\tau)$ , and a variety which is  $M$ -strongly solid for this submonoid  $M$  is called *regular-strongly solid*.

Note that the concept of regularity in this section is different from the concept of regularity that was defined in Chapter 2, Section 2.1.

**Theorem 3.3.2.** *Let  $\tau = (n_i)_{i \in I}$  be a type,  $t \in W_\tau(X)$  be a term, and  $\sigma \in Hyp_G(\tau)$  be a generalized hypersubstitution of type  $\tau$ . Then the following statements hold:*

- (i) *If  $\sigma$  is a regular generalized hypersubstitution and  $n_i > 1$  for all  $i \in I$ , then  $\text{maxdepth}(\hat{\sigma}[t]) \geq \text{maxdepth}(t)$ .*
- (ii) *If  $\sigma$  is a regular generalized hypersubstitution, then  $\text{vb}(\hat{\sigma}[t]) \geq \text{vb}(t)$ .*
- (iii) *If  $\sigma$  is a regular generalized hypersubstitution and  $n_i > 1$  for all  $i \in I$ , then  $\text{op}(\hat{\sigma}[t]) \geq \text{op}(t)$ .*

**Proof.** We prove all of the three claims by induction on the complexity of the term  $t$ . In all cases, when  $t$  is a variable  $x \in X$ , we have  $\hat{\sigma}[t] = x = t$ , and both  $\hat{\sigma}[t]$  and  $t$  have the same complexity.

- (i) Let  $t = f_i(t_1, \dots, t_{n_i})$ . Since  $\sigma$  is a regular generalized hypersubstitution and  $n_i > 1$  for all  $i \in I$ , thus  $\sigma(f_i) \notin X$  and  $x_j \in \text{var}(\sigma(f_i))$  for all  $1 \leq j \leq n_i$ . So

$\maxdepth_j(\sigma(f_i)) \geq 1$  for all  $1 \leq j \leq n_i$ . We have

$$\begin{aligned}
 \maxdepth(\hat{\sigma}[t]) &= \max\{\maxdepth_j(\sigma(f_i)) + \maxdepth(\hat{\sigma}[t_j]), \maxdepth_k(\sigma(f_i)) \mid \\
 &\quad 1 \leq j \leq n_i, k > n_i, x_j, x_k \in \text{var}(\sigma(f_i))\} \\
 &= \max\{\maxdepth_j(\sigma(f_i)) + \maxdepth(\hat{\sigma}[t_j]), \maxdepth_k(\sigma(f_i)) \mid \\
 &\quad 1 \leq j \leq n_i, k > n_i, x_k \in \text{var}(\sigma(f_i))\} \quad (\text{since } \sigma \text{ is regular}) \\
 &\geq \max\{\maxdepth_j(\sigma(f_i)) + \maxdepth(\hat{\sigma}[t_j]) \mid 1 \leq j \leq n_i\} \\
 &\geq \max\{1 + \maxdepth(\hat{\sigma}[t_j]) \mid 1 \leq j \leq n_i\} \\
 &= 1 + \max\{\maxdepth(\hat{\sigma}[t_j]) \mid 1 \leq j \leq n_i\} \\
 &\geq 1 + \max\{\maxdepth(t_j) \mid 1 \leq j \leq n_i\} \quad (\text{by induction}) \\
 &= \maxdepth(t).
 \end{aligned}$$

(ii) Let  $t = f_i(t_1, \dots, t_{n_i})$ . Since  $\sigma$  is a regular generalized hypersubstitution, thus  $vb_j(\sigma(f_i)) \geq 1$  for all  $1 \leq j \leq n_i$ . We have

$$\begin{aligned}
 vb(\hat{\sigma}[t]) &= \sum_{j=1}^{n_i} vb_j(\sigma(f_i))vb(\hat{\sigma}[t_j]) + \sum_{j>n_i} vb_j(\sigma(f_i)) \\
 &\geq \sum_{j=1}^{n_i} vb_j(\sigma(f_i))vb(\hat{\sigma}[t_j]) \\
 &\geq \sum_{j=1}^{n_i} 1vb(\hat{\sigma}[t_j]) \\
 &= \sum_{j=1}^{n_i} vb(\hat{\sigma}[t_j]) \\
 &\geq \sum_{j=1}^{n_i} vb(t_j) \\
 &= vb(t).
 \end{aligned}$$

(iii) Let  $t = f_i(t_1, \dots, t_{n_i})$ . Since  $\sigma$  is a regular generalized hypersubstitution and  $n_i > 1$  for all  $i \in I$ , thus  $\sigma(f_i) \notin X$  and  $x_j \in \text{var}(\sigma(f_i))$  for all  $1 \leq j \leq n_i$ . So

$vb_j(\sigma(f_i)) \geq 1$  for all  $1 \leq j \leq n_i$ . Since  $\sigma(f_i) \notin X$ , thus  $op(\sigma(f_i)) \geq 1$ . Then

$$\begin{aligned}
 op(\hat{\sigma}[t]) &= \sum_{j=1}^{n_i} vb_j(\sigma(f_i))op(\hat{\sigma}[t_j]) + op(\sigma(f_i)) \\
 &\geq \sum_{j=1}^{n_i} 1op(\hat{\sigma}[t_j]) + 1 \\
 &= \sum_{j=1}^{n_i} op(\hat{\sigma}[t_j]) + 1 \\
 &\geq \sum_{j=1}^{n_i} op(t_j) + 1 \\
 &= op(t).
 \end{aligned}$$

■

The next example shows that if  $\sigma$  is a regular generalized hypersubstitution and  $t$  is a term, then  $maxdepth(\hat{\sigma}[t])$  and  $op(\hat{\sigma}[t])$  need not be greater than or equal to  $maxdepth(t)$  and  $op(t)$ , respectively. Moreover, the example shows that if  $\sigma$  is a regular generalized hypersubstitution,  $\tau$  is a type which does not contain a unary operation symbol and  $t$  is a term, then  $mindepth(\hat{\sigma}[t])$  need not be greater than or equal to  $mindepth(t)$ .

**Example 3.3.3.** (i) Let  $\tau = (1)$  be a type with one unary operation symbol  $f$ . Let  $t$  be the term  $f(f(x_5))$ . So that  $maxdepth(t) = op(t) = 2$ . Let  $\sigma$  be the generalized hypersubstitution mapping  $f$  to the term  $x_1$ . Then, we have  $\sigma$  is a regular generalized hypersubstitution and  $\hat{\sigma}[t] = x_5$ , and this term has  $maxdepth(\hat{\sigma}[t]) = op(\hat{\sigma}[t]) = 0$ . Hence  $maxdepth(\hat{\sigma}[t]) < maxdepth(t)$  and  $op(\hat{\sigma}[t]) < op(t)$ .

(ii) Let  $\tau = (2)$  be a type with one binary operation symbol  $f$ . Let  $t$  be the term  $f(f(x_1, x_2), f(x_1, x_2))$ . So that  $mindepth(t) = 2$ . Let  $\sigma$  be the generalized hypersubstitution mapping  $f$  to the term  $f(f(x_1, x_2), x_3)$ . Then, we have  $\sigma$  is a regular generalized hypersubstitution and  $\hat{\sigma}[t] = f(f(f(f(x_1, x_2), x_3), f(f(x_1, x_2), x_3)), x_3)$  and this term has  $mindepth(\hat{\sigma}[t]) = 1$ . Hence  $mindepth(\hat{\sigma}[t]) < mindepth(t)$ . ■

Combining Theorem 3.3.2 with the discussion preceding Theorem 3.3.2 gives the following result.

**Corollary 3.3.4.** Let  $\tau = (n_i)_{i \in I}$  be a type and  $V$  be a non-trivial  $M$ -strongly solid variety of type  $\tau$ . Let  $k \geq 1$ . Then the following statements hold:

- (i) For the maximum depth  $c$ , if  $n_i > 1$  for all  $i \in I$ , then each  $N_k^c(V)$  is  $(M \cap Reg)$ -strongly solid.

- (ii) For the variable count  $c$ , each  $N_k^c(V)$  is  $(M \cap \text{Reg})$ -strongly solid.
- (iii) For the operation count  $c$ , if  $n_i > 1$  for all  $i \in I$ , then each  $N_k^c(V)$  is  $(M \cap \text{Reg})$ -strongly solid. ■



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